CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-06 Medicare Financial Management	Centers for Medicare & Medicaid Services (CMS)
Transmittal 11124	Date: November 18, 2021
	Change Request 12518

SUBJECT: Updates to Medicare Financial Management Manual Chapter 3, Section 140.1 Bankruptcy Forms

I. SUMMARY OF CHANGES: This Change Request (CR) clarifies and updates the bankruptcy referral checklist Tier I and II instructions (Under the Federal Claims Collection) of the Financial Management Manual, previously issued in CR 11386, Transmittal 335, dated January 31, 2020.

EFFECTIVE DATE: December 21 2021
Unless otherwise specified, the effective date is the date of service.
IMPLEMENTATION DATE: December 21 2021

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
R	3/140.1/Bankruptcy Forms

III. FUNDING:
For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

Business Requirements
Manual Instruction

Attachment - Business Requirements

Pub. 100-06	Transmittal: 11124	Date: November 18, 2021	Change Request: 12518

SUBJECT: Updates to Medicare Financial Management Manual Chapter 3, Section 140.1 Bankruptcy Forms

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I. GENERAL INFORMATION

A. Background: Bankruptcy is a form of litigation. Under the Federal Claims Collection Act of 1966, as amended, each agency of the Federal Government (pursuant to regulations jointly promulgated by the Attorney General and the Comptroller General of the U.S.) must attempt collection of Federal Government claims for money arising out of activities of the agency.

Upon receipt of a new bankruptcy notification, contractors shall consult closely with the Regional Office before taking any actions regarding a bankrupt provider, supplier or beneficiary. Time is of the essence when a provider, supplier or beneficiary files for bankruptcy. Thus, timely notification will help protect the Medicare Trust Fund and will ensure proper handling of the Medicare financial obligations in accordance with the applicable bankruptcy regulations.

The purpose of a bankruptcy referral checklist is to provide applicable provider, supplier or beneficiary information and all outstanding overpayments eligible for repayment. Every overpayment, regardless of the cause of the overpayment or the status of the provider, supplier or beneficiary, shall meet the requirements for referral.

B. Policy: This CR does not affect legislation or policy.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility								
		A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
12518.1	Contractors shall take necessary actions to implement the attached instructions, primarily by ensuring that the bankruptcy referral checklist conforms to this change.	X	X	X	X					
12518.2	Contractors shall use the Healthcare Integrated General Ledger Accounting System (HIGLAS), System Tracking for Audit and Reimbursement (STAR), ViPS Medicare System (VMS), Fiscal Intermediary Shared System (FISS), Multi-Carrier	X	X	X	X					

Number	Requirement	Responsibility								
		A/B MAC			D M E M A C	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
	System (MCS), Provider Enrollment, Chain, and Ownership System, and internal systems to complete the bankruptcy referral checklist.									
12518.3	Upon receipt of a new bankruptcy notice, contractors shall immediately notify the appropriate CMS Regional Office (RO) of the bankruptcy and begin the process of collecting the required information on the Bankruptcy Referral Checklist.	X	X	X	X					
12518.4	The contractor shall email its fraud check request spreadsheet along with a completed CPI data request form to CPIFraudcheck-OFMDebt@cms.hhs.gov.	X	X	X	X					
12518.5	The contractor shall include a copy of the fraud check report for the National Provider Identifiers (NPIs) that are included in the Bankruptcy Tier II section on the checklist spreadsheet that is sent to the RO.	X	X	X	X					
12518.6	Upon receipt of instructions from the RO to place a provider/supplier in bankruptcy status, the contractor shall update the provider/suppler to BNK status at the Customer Level in HIGLAS and the Part A Provider Audit STAR system, Provider Profile, "if applicable".	X	X	X	X					
12518.7	The contractor shall submit a screen print of the HIGLAS Customer Status History showing the BNK code in the Customer Status.	X	X	X	X					
12518.8	Upon receipt of instructions from the RO to remove a provider/supplier from bankruptcy status, the contractor shall update the provider/suppler to INIT status at the Customer Level in HIGLAS and the Part A Provider Audit STAR system, Provider Profile, "if applicable".	X	X	X	X					
12518.9	The contractor shall submit a screen print of the HIGLAS Customer Status History showing the INIT code in the Customer Status.	X	X	X	X					

III.   PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility

		A/B MAC			D M E M A C	C E D I
		A	B	H H H		
	None					

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Hugh Vance, 206-615-2329 or hugh.vance@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 3

Medicare Financial Management
Chapter 3 – Overpayments

140.1 –Bankruptcy Forms
(Rev.11124 Issued:11-18-21 , Effective: 12-21-21, Implementation:12-21-21)

Upon receipt of a new bankruptcy notice, contractors shall immediately notify the appropriate CMS Regional Office (RO) of the bankruptcy and begin the process of collecting the required information on the Bankruptcy Referral Checklist. The Bankruptcy Referral Checklist is divided into three tiers, each designed to gather the appropriate bankruptcy information within a reasonable timeframe. Tier I information is being updated to clarify the Debts Recalled from Treasury N/A option. Tier II information is being updated to include clarification on the Fraud check and including HIGLAS Customer Level screen to be submitted to the RO within ten business days of receipt of the bankruptcy notification. The submission time frames for Tier II are extended by 5 business days, to account for the Fraud Check Request.

Tier I

Debts Recalled from Treasury (Y/N or N/A)?

If debt(s) have been recalled from Treasury due to the bankruptcy case list Y. If debt(s) are currently at Treasury list N. If debt(s) are currently not referred to Treasury list N/A.

Tier II

Fraud Check Report Request Instructions

1. *The contractor shall email its fraud check request spreadsheet along with a completed CPI data request form (Attachment #1) to CPIFraudcheck-OFMDebt@cms.hhs.gov with the subject line "Contractor/Jurisdiction Fraud Check Request" (example – ABC/J2 Fraud Check Request).*

2. *The contractor should expect to receive the fraud check report from CPI within one week of sending the fraud check request to CPI. The contractor shall use the fraud check report to determine if the provider/supplier has an open fraud case. Please see Attachment #2 for a sample UCM fraud check report and note the following:*
   - *The NPI is listed in column A (PRVDR_NPI_NUM).*
   - *Column B (UCM_FRAUD_CHECK) will indicate a "Y" if the NPI was found in UCM. An "N" in column B will indicate that there are no records listed for the NPI. Therefore, all other fields will be blank.*
   - *If there is a "Y" in column B, proceed to column P (RFRL_OPEN_IND) to determine if the case is open or closed. If there is a "Y", the case is open. An "N" indicates that the provider's fraud case is closed.*
   - *If there are more than one open and/or closed fraud cases for a given provider/supplier, filter column P with the "Ys" only to get those with open fraud cases. If all entries for a given NPI have an "N" in columns B or P, then the provider/suppler has no open fraud cases. However, if one or more of a given provider/supplier NPI's entries has a "Y" in column P, the provider/supplier has an open fraud case.*

The contractor shall include a copy of the fraud check report for the NPIs that are included on the Bankruptcy on the checklist spreadsheet Tier II that is sent to the RO.

*Note: The CPI Fraud check applies to bankrupt providers/suppliers with NPI's. Bankrupt providers/suppliers with no NPI's should follow the current process of checking for fraud.

HIGLAS Customer Status Instructions

1. *Upon receipt of instructions from the RO to place a provider/supplier in bankruptcy status, the contractor shall update the provider/suppler to BNK status at the Customer level in HIGLAS and the Part A Provider Audit STAR system, Provider Profile, "if applicable".*
2. *The contractor shall submit a screen print of the HIGLAS Customer Status History showing the BNK code in the Customer Status.*
3. *Upon receipt of instructions from the RO to remove a provider/supplier from bankruptcy status, the contractor shall update the provider/suppler to INIT status at the Customer level in HIGLAS and the Part A Provider Audit STAR system, Provider Profile, "if applicable".*
4. *The contractor shall submit a screen print of the HIGLAS Customer Status History showing the INIT code in the Customer Status.*

Below is a list of the requirements for a basic bankruptcy referral to use when the contractor first receives notice of a new bankruptcy (it is not all-inclusive).

EXHIBIT 1

Bankruptcy Referral Checklist Tier II
(Submit to the RO as an Excel file, via email, within ten business days from receipt of the bankruptcy notification. Please note that Excel file contains a tab with instructions on what is expected.)

BANKRUPTCY NOTIFICATIONS

Tier II: Due 10 Business Days upon receipt of bankruptcy notice. Example: Received July 9, XXXX. Due July 19,

	MAC Response	MAC Comments
Any Open Claims (Y/N)?		
Amounts of Claims on Payment Floor?		
Any open cost reports (Y/N or N/A)? (Part A Only)		
Year & Status of Open Cost Reports? (Part A Only)		
Cost Reporting Years in Appeal (Part A Only)		
Pending Cost Report Re-openings(Y/N)? (Part A Only)		
Any claims under appeal (Y/N)?		

Any Overpayments in Appeals Status (Y/N)?			
Any Fraud Overpayments or Investigations (Y/N)?			
Date of fraud cases, if applicable			
Evidence of a Recent or Pending CHOW (Y/N)?		*Recent = Within a year of the Petition Date*	
HIGLAS Customer Status Screen			

Referral Checklist Instructions:

Category	Data Element	Instruction	Example
Tier I	Bankruptcy Case #	Prescribed format for this field is Court Abbreviation + BNK Case Number	TNMBKE-19-12345
Tier I	Bankruptcy Court	Court State and Region (if applicable)	Tennessee Middle
Tier I	Petition Date	Date Petition Filed in US Bankruptcy Court	01/01/2019
Tier I	Provider Name		
Tier I	Provider Number(s)	DME PTAN(s) or HIGLAS Supplier Number(s)	**VMS** - 1234560000, **HIGLAS** - CONTRACTOR WKLOAD-PROV NUMBER-NPI
Tier I	Provider Tax ID		12-34567890
Tier I	Open Overpayment Amount	Will automatically populate from Receivables Summary tab	
Tier I	List of open debts attached (Y/N)?		
Tier I	Provider Detail Screen Attached (Y/N)?	Attach screen print from FISS, MCS, or VMS APPL	FISS - Financial Master - Administrative Screen 2, MCS - Provider Eligibility Screen (PE), VMS - APPL H1
Tier I	Still billing Medicare (Y/N)?	Has provider submitted claims in the last 6 months	
Tier I	Medicare Termination Date	If multiple, list most current	
Tier I	Last Payment Date	Date of last claim payment made to provider	
Tier I	Is there an active Surety Bond policy (Y/N)?	DME Only	

Tier I	Debts Recalled from Treasury (Y/N or N/A)?	If debt(s) have been recalled from Treasury due to the bankruptcy case list Y. If debt(s) are currently at Treasury list N. If debt(s) are currently not referred to Treasury list N/A	
Tier I	Debts Placed in Bankruptcy Status (Y/N)?	Are the provider's overpayments in a Bankruptcy AR Status	
Tier I	Active ERS (Y/N)?	Does provider have an active Extended Repayment Schedule	If in default, list as No and add comment
Tier I	Money Held (Y/N)? If yes, Amount & Hold Type	See example	Yes; $1,000.00, Administrative Freeze
Tier I	PIP & Pass Through Payment Amounts Due Provider (Y/N)?	If yes, provide amount	
Tier I	Any Open Claims (Y/N)?	Does the provider have any open claims in the system that are unpaid	
Tier I	Amounts of Claims on Payment Floor?	Amounts of approved claims scheduled to be paid	
Tier II	Any open cost reports (Y/N or N/A)?	If not Part A workload, list N/A	Yes
Tier II	Year & Status of Open Cost Reports?	If not Part A workload, list N/A	2016, Unfiled
Tier II	Cost Reporting Years in Appeal	If not Part A workload, list N/A	
Tier II	Pending Cost Report Re-openings (Y/N)?	If not Part A workload, list N/A	
Tier II	Any claims under appeal (Y/N)?		
Tier II	Any Overpayments in Appeals Status (Y/N)?	If Yes, provide stage of Appeal (Ex: Reconsideration)	
Tier II	Any Fraud Overpayments or Investigations (Y/N)?	CPI Fraud Check Request	
Tier II	Date of fraud cases, if applicable	CPI Fraud Check Request	
Tier II	Evidence of a Recent or Pending CHOW (Y/N)?	Recent = within 1 year of Petition Date	
Tier II	HIGLAS Customer Status Screen	Place provider/supplier in BNK or INIT status based on RO instructions	
Tier I	Receivables Summary	Required fields - Provider Name and Number, AR or DCN #, Seq # (DME only), AR Status, Letter Date, Overpayment Amount, Current Principal Balance, Current Interest Balance, Pre and Post-Petition Interest, Reason / Discovery Codes, Dates of Service	MAC can manually calculate and enter pre and post-petition interest amounts if they experience problems with

			the formulas. The most current RBD report should be the data source for all HIGLAS workloads

Data Request Form

To request a fraud check report from CPI Unified Case Management (UCM) system, please complete and submit this form **one week before the desired delivery date** to CPIFraudcheck-OFMDebt@cms.hhs.gov.

Requestor Information			
Requester:		Component/Contractor	
Phone Number:		Date:	
Email Address:		Desired Date:	
Frequency :	*One time request*		

Data Request Information	
Summary	**Contractor is requesting fraud checks for the National Provider Identifiers that are included on the attached spreadsheet(s).**
Description	**Fraud checks are required for providers/suppliers who have Medicare debts that are associated with a bankruptcy case. If it is determined that the provider/supplier has an open fraud case, its debts will not be eligible for termination of collection.**
Business Need	**See description.**
Comments	

Column Name	Report Column Descriptions
PRVDR_NPI_NUM	NPI Number of the Provider
UCM_FRAUD_CHECK	NPI found in UCM the indicator will be 'Y', else 'N'. If 'N', all other columns other than NPI are blank.
MSTR_PRVDR_NAME	The self designated legal name of the entity. The first name, Last Name or given name of the individual being enumerated.
UCM_PRVDR_NAME	The self designated legal name of the entity. The first name, Last Name or given name of the individual being enumerated.
UCM_CASE_REC_ID	Unique identifier assigned to each Case by Unified Case Management system for case record type
INVSTGTN_STRT_DT	The date when an investigation is stated. If no data then blank
INVSTGTN_CLSD_DT	The date when an investigation is closed. If no data then blank
LE_CASE_NUM	The case number of case referred to law enforcement. If no data then blank
AGNCY_TYPE_CD	A code representing a Contractor or Agency
AGNCY_TYPE_NAME	The name of Contractor or Agency
UCM_RFRL_REC_ID	Unique identifier assigned to each Referral by Unified Case Management system (UCM)
RFRD_DT	The date when the referral for a case is referred to law enforcement. If no data then blank
ACPTD_DT	The date referral was accepted. If no data then blank
RTRND_DT	The date referral was returned. If no data then blank
CLSD_DT	The date the referral was closed. If no data then blank
RFRL_OPEN_IND	Indicator of 'Y' if the referral open or 'N' if closed

UCM Fraud Report Example
(NPIs and provider names are fictitious)

PRVDR_NPI_NUM	UCM_FRAUD_CHECK	MSTR_PRVDR_NAME	MSTR_PRVDR_NAME	UCM_CASE_REC_ID	INVSTGTN_STRT_DT	INVSTGTN_CLSD_DT	LE_CASE_NUM	AGNCY_TYPE_CD	AGNCY_TYPE_NAME	UCM_RFRL_REC_ID	RFRD_DT	ACPTD_DT	RTRND_DT	CLSD_DT	RFRL_OPEN_IND
10030159831	Y	VALENCIA	VALENCIA	CSE-110119-00001	01/19/2011	05/20/2011		1	Law Enforcement	REF-110119-00002	01/19/2011		02/11/2011	05/20/2011	N
10030218901	Y	AJAX	AJAX	CSE-140323-00058	01/17/2014	03/15/2014		1	Law Enforcement	REF-120518-00001	05/18/2012	06/27/2012		09/30/2015	N
10030218901	Y	AJAX	AJAX	CSE-120802-00052	08/02/2012	08/15/2012		1	Law Enforcement	REF-110606-00002	06/06/2011	06/06/2011		12/10/2015	N
10231703211	Y	SAINTS	SAINTS	CSE-140828-00006	08/28/2014	12/31/2014		1	Law Enforcement	REF-180319-00003	03/19/2018			07/19/2018	N
10231868551	N														
10231878951	N														
10232857231	Y	CARDINALS	CARDINALS	CSE-130411-14594	04/11/2013			1	Law Enforcement	REF-131126-00003	11/26/2013			11/26/2014	N
10232857231	Y	CARDINALS	CARDINALS	CSE-131126-00005	11/26/2013	11/26/2014		1	Law Enforcement	REF-131126-00005	11/26/2013			11/26/2014	N
10233101171	N														
10331745291	Y	PACKERS	PACKERS	CSE-090526-00004	05/26/2009	11/17/2011		1	Law Enforcement	REF-100608-00006	06/08/2010		07/19/2010	11/17/2011	N
10331753931	N														
10332137231	Y	CHIEFS	CHIEFS	CSE-150714-00002	07/14/2015	07/20/2016	209BPG78737	1	Law Enforcement	REF-150713-00003	07/13/2015	07/27/2015		07/20/2016	N
10332285641	N														
10432741031	Y	ATLETICO	ATLETICO	CSE-120410-61643	04/10/2012	04/11/2012		1	Law Enforcement	REF-120410-04091	04/10/2012	04/10/2012		04/11/2012	Y
10432741031	Y	ATLETICO	ATLETICO	CSE-080214-60167	02/14/2008	01/23/2015		1	Law Enforcement	REF-080214-03865	02/14/2008	03/27/2008		01/23/2015	N
10533791721	Y	BORUSSIA DORTMUND	BORUSSIA DORTMUND	CSE-130619-00019	06/19/2013	09/25/2014		1	Law Enforcement	REF-110412-00004	04/12/2011	04/28/2011		03/11/2016	N
11240152271	N														
11240339801	N														
11342301211	Y	JETS	JETS	CSE-120711-00012	07/11/2012	05/05/2016		1	Law Enforcement	REF-120711-00003	07/11/2012	12/03/2012		05/05/2016	N
11342301211	Y	JETS	JETS	CSE-100304-00027	03/04/2010	01/28/2011		1	Law Enforcement	REF-110526-00001	05/26/2011	08/15/2011		12/31/2013	N
11342593281	Y	RAMS	RAMS	CSE-080717-38296	07/17/2008	09/10/2008	2-09-00294-9	1	Law Enforcement	REF-090624-00001	06/24/2009	08/04/2009		07/28/2013	N
11443173481	Y	BENFICA	BENFICA	CSE-131009-00001	10/09/2013	06/02/2016		1	Law Enforcement	REF-141021-00001	10/08/2013	12/24/2014	11/14/2013	06/02/2016	N
11647270381	Y	BAYER LEVERKUSEN	BAYER LEVERKUSEN	CSE-170613-00020	04/24/2018	08/10/2018		1	Law Enforcement	REF-180115-00002	01/15/2018				N
11745740321	Y	LIONS	LIONS	CSE-130107-00004	01/07/2013	05/31/2013		1	Law Enforcement	REF-130107-00004	01/07/2013		03/25/2013	05/31/2013	N
11947415121	Y	TEXANS	TEXANS	CSE-111114-00019	11/14/2011	03/25/2016	L-11-0-0553-9	1	Law Enforcement	REF-111114-00003	11/14/2011	12/06/2011	02/03/2014	02/03/2014	N
12058225661	Y	STEELERS	STEELERS	CSE-041122-00003	11/22/2004	07/24/2008		1	Law Enforcement	REF-041122-00001	11/22/2004			07/24/2008	N
12251213381	Y	BAYERN MUNICH	BAYERN MUNICH	CSE-140922-00003	09/22/2014	03/17/2015		1	Law Enforcement	REF-140922-00003	09/22/2014		01/28/2015	03/17/2015	N
12253646151	Y	DOLPHINS	DOLPHINS	CSE-140312-00024	03/12/2014	07/31/2015		1	Law Enforcement	REF-140312-00002	03/12/2014	04/18/2014	05/04/2015	07/31/2015	N
12253646151	Y	DOLPHINS	DOLPHINS	CSE-120615-00011	06/15/2012	08/18/2014		1	Law Enforcement	REF-140909-00005	09/09/2014	09/09/2014	03/06/2015	06/01/2015	N
12558465561	Y	LYON	LYON	CSE-190110-00009				1	Law Enforcement	REF-190123-00013	01/23/2019		03/04/2019	03/04/2019	N
12654390041	Y	GALATASARAY	GALATASARAY	CSE-170623-00014	07/13/2017	10/31/2017		1	Law Enforcement	REF-161012-02028			12/20/2013	01/27/2015	N
12654390041	Y	GALATASARAY	GALATASARAY	CSE-180418-00028				1	Law Enforcement	REF-161012-02028			12/20/2013	01/27/2015	N
12757322651	Y	LIVERPOOL	LIVERPOOL	CSE-150929-55015	09/29/2015	06/18/2018		1	Law Enforcement	REF-170717-00004	07/17/2017	09/28/2017		10/02/2017	N
12757322651	Y	LIVERPOOL	LIVERPOOL	CSE-160406-00006	03/30/2018	07/05/2018		1	Law Enforcement	REF-170717-00004	07/17/2017	09/28/2017		10/02/2017	N
12856346181	Y	GIANTS	GIANTS	CSE-130123-00033	01/23/2013	01/28/2013	2-16-00167-9	1	Law Enforcement	REF-170512-00001	05/12/2017	05/15/2017		03/07/2018	N
12856346181	Y	GIANTS	GIANTS	CSE-131104-00062	11/04/2013	11/07/2013	2-16-00167-9	1	Law Enforcement	REF-170512-00001	05/12/2017	05/15/2017		03/07/2018	N
13160877601	Y	PHILLIES	PHILLIES	CSE-120131-00011	01/31/2012	06/24/2014		1	Law Enforcement	REF-120131-00003	01/31/2012	02/21/2012		06/24/2014	N
13467941121	Y	SEAHAWKS	SEAHAWKS	CSE-171023-00005				1	Law Enforcement	REF-181016-00001	10/15/2018	03/06/2019			Y
13563229942	Y	BUCS	BUCS	CSE-080827-00030	02/01/2009	03/06/2012		1	Law Enforcement	REF-000101-01171				03/06/2012	N
13563419604	Y	CHARGERS	CHARGERS	CSE-131024-00009	10/24/2013	05/10/2016		1	Law Enforcement	REF-131024-00002	10/24/2013			05/10/2016	N
13567566396	Y	NINERS	NINERS	CSE-171025-00001	01/09/2018	05/24/2018		1	Law Enforcement	REF-170516-00001	05/16/2017	05/22/2017		05/24/2018	N
13664685149	Y	NAPOLI	NAPOLI	CSE-120110-00007	01/10/2012	04/29/2015		1	Law Enforcement	REF-131031-00006	10/31/2013		11/14/2013	03/11/2016	N
13766402923	Y	CHELSEA	CHELSEA	CSE-121212-00002	12/12/2012	01/10/2014	2-12-00216-9	1	Law Enforcement	REF-121212-00001	12/12/2012	02/28/2013		01/10/2014	N
13860175727	Y	DINAMO ZAGREB	DINAMO ZAGREB	CSE-180417-00012	05/14/2018			1	Law Enforcement	REF-181012-00009	10/12/2018	02/21/2019			Y
13860175727	Y	DINAMO ZAGREB	DINAMO ZAGREB	CSE-181016-00005				1	Law Enforcement	REF-181012-00009	10/12/2018	02/21/2019			Y
13967487948	Y	JAGUARS	JAGUARS	CSE-131126-00004	11/26/2013	11/26/2014		1	Law Enforcement	REF-140709-00003	07/09/2014			11/26/2014	N
14270362013	Y	BILLS	BILLS	CSE-050913-00019	09/13/2005	12/17/2012	L07006619	1	Law Enforcement	REF-100106-00004	01/06/2010	01/22/2010	01/04/2012	12/17/2012	N
14372358016	Y	YANKEES	YANKEES	CSE-171129-00010	12/21/2017	06/12/2018		1	Law Enforcement	REF-180801-00032	08/01/2018	10/03/2018			Y
14372358016	Y	YANKEES	YANKEES	CSE-190416-00034	05/06/2019			1	Law Enforcement	REF-180801-00032	08/01/2018	10/03/2018			Y
14472825875	Y	LEICESTER	LEICESTER	CSE-110427-00002	04/27/2011	05/24/2012	2-11-00291-9	1	Law Enforcement	REF-110427-00002	04/27/2011		05/11/2011	05/24/2011	N
14573248992	Y	TOTTENHAM HOTSPUR	TOTTENHAM HOTSPUR	CSE-100730-00015	07/30/2010	03/12/2013		1	Law Enforcement	REF-100624-03993	06/24/2010			04/04/2012	N
14678028273	Y	RAIDERS	RAIDERS	CSE-180823-00001				1	Law Enforcement	REF-180402-00001	03/30/2018	04/10/2018		04/11/2018	N
14779216660	Y	SHAKHTAR DONETSK	SHAKHTAR DONETSK	CSE-170308-00013	03/08/2017	03/09/2018		1	Law Enforcement	REF-180517-00001	05/16/2018	09/25/2018			Y
14877387464	Y	ROMA	ROMA	CSE-181105-00006	11/08/2018		1-19-0-0107-9	1	Law Enforcement	REF-190716-00003	07/16/2019	08/02/2019		08/02/2019	N
14978219463	Y	TITANS	TITANS	CSE-110108-00025	01/08/2011	06/03/2014		1	Law Enforcement	REF-160516-00003	05/16/2016			05/18/2016	N
14978219463	Y	TITANS	TITANS	CSE-140903-57234	09/03/2014	09/30/2014		1	Law Enforcement	REF-160516-00003	05/16/2016			05/18/2016	N
15089216938	Y	PANTHERS	PANTHERS	CSE-110303-00003	03/03/2011	04/19/2011		1	Law Enforcement	REF-110303-00004	03/03/2011			04/19/2011	N
15180332732	Y	MANCHESTER CITY	MANCHESTER CITY	CSE-120516-00004	05/16/2012	11/27/2012		1	Law Enforcement	REF-120516-00003	05/16/2012		08/27/2012	11/27/2012	N
15180863398	Y	GUADALAJARA	GUADALAJARA	CSE-130516-00004	05/16/2013	08/15/2014	4-13-30177-9	1	Law Enforcement	REF-130516-00001	05/16/2013	07/03/2013		08/15/2014	N
15280160375	Y	OLYMPIAKOS	OLYMPIAKOS	CSE-060413-00029	04/13/2006	01/05/2012	L-5-00562-9	1	Law Enforcement	REF-061207-00001	12/07/2006	01/18/2007		01/05/2012	N
15283098205	Y	RANGERS	RANGERS	CSE-180907-00014			2-18-00162-9	1	Law Enforcement	REF-181114-00004	11/14/2018	03/04/2019		06/11/2019	N
15283098205	Y	RANGERS	RANGERS	CSE-170929-00016	10/24/2017		2-18-00162-9	1	Law Enforcement	REF-181114-00004	11/14/2018	03/04/2019		06/11/2019	N
15383958018	Y	JUVENTUS	JUVENTUS	CSE-130621-00007	06/21/2013	05/28/2015		1	Law Enforcement	REF-130621-00004	06/21/2013			05/28/2015	N
15483433954	Y	EAGLES	EAGLES	CSE-140620-00012	06/20/2014	06/01/2015		1	Law Enforcement	REF-141031-00002	10/31/2014			06/01/2015	N
15684619039	Y	COLTS	COLTS	CSE-100525-00056	05/25/2010	05/26/2010	M-08-00227-9	1	Law Enforcement	REF-000101-01093				06/30/2010	N
15684619039	Y	COLTS	COLTS	CSE-080710-00037	02/01/2009	06/30/2010	M-08-00227-9	1	Law Enforcement	REF-000101-01093				06/30/2010	N
15686238585	Y	WOLVERHAMPTON	WOLVERHAMPTON	CSE-100408-21001	04/08/2010	03/25/2015	4-09-00-233-9	1	Law Enforcement	REF-161012-01771			05/18/2010	03/25/2015	N
15686238585	Y	WOLVERHAMPTON	WOLVERHAMPTON	CSE-120410-54878	04/10/2012	02/25/2015	4-09-00-233-9	1	Law Enforcement	REF-161012-01771			05/18/2010	03/25/2015	N
15688282673	Y	VIKINGS	VIKINGS	CSE-170130-00026	03/03/2017	03/14/2018		1	Law Enforcement	REF-171010-00003	10/10/2017			03/14/2018	N
15688282673	Y	VIKINGS	VIKINGS	CSE-161019-52125	10/19/2016	01/12/2017		1	Law Enforcement	REF-171010-00003	10/10/2017			03/14/2018	N
15886527962	Y	BROWNS	BROWNS	CSE-140103-00001	01/03/2014	04/28/2016	2-12-00021-9	1	Law Enforcement	REF-140102-00001	01/02/2014	01/27/2014		04/28/2016	N
15980298378	Y	BRONCOS	BRONCOS	CSE-150605-03534	06/05/2015	03/14/2016		1	Law Enforcement	REF-140821-04187	08/21/2014		12/10/2014	01/05/2016	N
15980298378	Y	BRONCOS	BRONCOS	CSE-140821-61714	08/21/2014	01/05/2016		1	Law Enforcement	REF-140821-04187	08/21/2014		12/10/2014	01/05/2016	N
16090740534	Y	ARSENAL	ARSENAL	CSE-150506-61704	05/06/2015	08/19/2015		1	Law Enforcement	REF-150506-04202	05/06/2015	06/12/2015		08/19/2015	N
16091072831	Y	BEARS	BEARS	CSE-130325-58139	03/25/2013	03/27/2013		1	Law Enforcement	REF-140131-04174	01/31/2014	03/06/2014	12/13/2017	09/28/2018	N
16091072831	Y	BEARS	BEARS	CSE-130815-58221	08/15/2013	08/15/2013		1	Law Enforcement	REF-140131-04174	01/31/2014	03/06/2014	12/13/2017	09/28/2018	N
16192283503	Y	RAVENS	RAVENS	CSE-111212-00077	12/09/2011	12/19/2011	M-11-0-0409-9	1	Law Enforcement	REF-120801-00001	09/22/2011	12/14/2012	01/04/2012	11/14/2013	N
16199721307	Y	ASTON VILLA	ASTON VILLA	CSE-141027-58395	10/27/2014	10/28/2014		1	Law Enforcement	REF-140811-00001	07/02/2014	04/07/2015	07/31/2014	07/24/2015	N
16199784348	Y	ORIOLES	ORIOLES	CSE-130116-00025	01/16/2013	01/23/2013		1	Law Enforcement	REF-130503-00004	04/14/2013		10/28/2013	07/22/2016	N
16199784348	Y	ORIOLES	ORIOLES	CSE-140911-58390	09/11/2014	10/15/2014		1	Law Enforcement	REF-130503-00004	04/14/2013		10/28/2013	07/22/2016	N
16291055493	Y	BENGALS	BENGALS	CSE-050712-00016	07/12/2005	10/22/2014	9-08-00114-9	1	Law Enforcement	REF-080204-00002	02/04/2008	04/24/2008		08/16/2013	N
16292051252	Y	PATRIOTS	PATRIOTS	CSE-170503-00001				1	Law Enforcement	REF-180523-00003	05/22/2018	09/24/2018		06/05/2019	Y
16294201205	Y	FALCONS	FALCONS	CSE-190328-00002				1	Law Enforcement	REF-180803-00004	08/03/2018	10/12/2018			Y
16294201205	Y	FALCONS	FALCONS	CSE-190416-00032				1	Law Enforcement	REF-180803-00004	08/03/2018	10/12/2018			Y
16695796457	Y	COWBOYS	COWBOYS	CSE-060301-69547	03/01/2006	12/15/2004		1	Law Enforcement	REF-180803-00002	08/03/2018	10/12/2018			Y
16695796457	Y	COWBOYS	COWBOYS	CSE-171120-00013	01/02/2018			1	Law Enforcement	REF-180803-00002	08/03/2018	10/12/2018			Y
16795222054	Y	BARCELONA	BARCELONA	CSE-130123-00033	01/23/2013	01/28/2013	2-16-00167-9	1	Law Enforcement	REF-170512-00001	05/12/2017	05/15/2017		03/07/2018	N
16795222054	Y	BARCELONA	BARCELONA	CSE-131104-00062	11/04/2013	11/07/2013	2-16-00167-9	1	Law Enforcement	REF-170512-00001	05/12/2017	05/15/2017		03/07/2018	N
16896957023	Y	SKINS	SKINS	CSE-031204-00001	12/04/2003	09/25/2013	6-04-00015-9	1	Law Enforcement	REF-031219-00001	12/19/2003	02/07/2005		09/25/2013	N

Please find the UCM Fraud Check Report you requested on 8/2/2019 attached to this email.
The CPI contractor QSSI completed the report per my request using agreed upon data elements from UCM on 8/16/2019.
The standard Fraud Check Report password is used. Let me know if you have any questions or concerns.

Wendy Alexander, PhD.
Centers for Medicare and Medicaid Services (CMS)
Center for Program Integrity (CPI)
Data, Analytics and Systems Group (DASG)
Division of Modeling and Analytics (DMA)
Location: 7210 Ambassador Rd., Mailstop AR-18-50
Office: 410-786-5245
Cell: 443-615-1261

BANKRUPTCY NOTIFICATIONS - LEAN PROCESS
Implementation Plan - Develop Form to Notify CMS RO
Tier I (0 - 2 Business Days)*

	MAC Response	**MAC Comments**
Bankruptcy Case #		
Bankruptcy Court		
Petition Date		
Provider Name		
Provider Number(s)		
Provider Tax ID		
Open Overpayment Amount		
List of open debts attached		
Provider Detail Screen Attached (Y/N)?		
Still billing Medicare (Y/N)?		
Medicare Termination Date		
Last Payment Date		
Is there an active Surety Bond policy (Y/N)?		
Debts Recalled from Treasury (Y/N or N/A)?		
Debts Placed in Bankruptcy Status (Y/N)?		
Active ERS (Y/N)?		
Money Held (Y/N)? If yes, Amount & Hold Type		
PIP & Pass Through Payment Amounts Due Provider (Y/N)?		

* If paper document received by MAC, deadline extended to 5 business days

BANKRUPTCY NOTIFICATIONS - LEAN PROCESS
Implementation Plan - Develop Form to Notify CMS RO
Tier II (10 Business Days)

	MAC Response	MAC Comments
Any Open Claims (Y/N)?		
Amount of Claims on Payment Floor?	$	-
Any open cost reports (Y/N or N/A)?	Part A Only	
Year & Status of Open Cost Reports?	Part A Only	
Cost Reporting Years in Appeal	Part A Only	
Pending Cost Report Reopenings (Y/N)?	Part A Only	
Any claims under appeal (Y/N)?		
Any Overpayments in Appeals Status (Y/N)?		
Any Fraud Overpayments or Investigations (Y/N)?		
Date of fraud cases, if applicable		
Evidence of a Recent or Pending CHOW (Y/N)?		Recent = Within a year of the Petition Date
HIGLAS Customer Status Screen		

BANKRUPTCY NOTIFICATIONS - LEAN PROCESS
Implementation Plan - Develop Form to Notify CMS RO
Tier III (Provided only upon request by CMS)

Potential Examples:

Provider Participating in Medicaid program?

Provide copies of Demand Letters

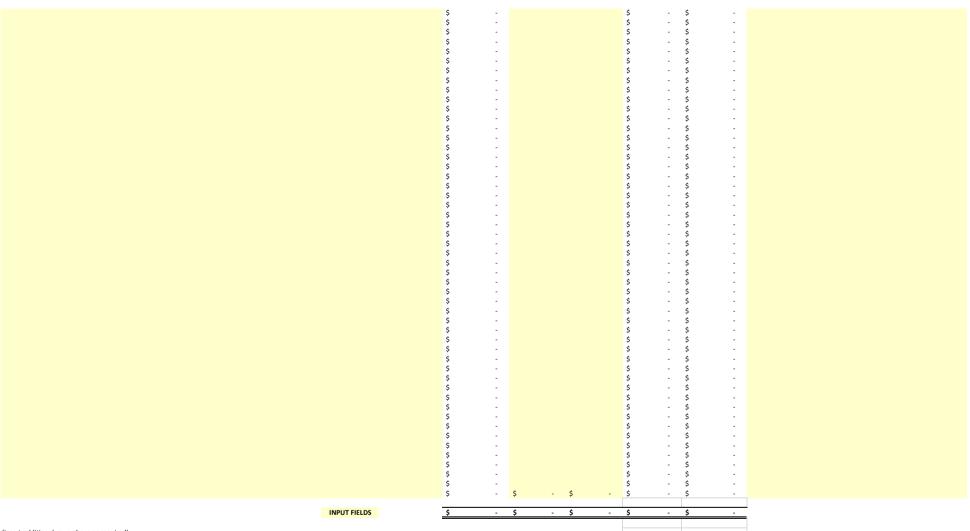Claims paid in last 12 months?

Other

Other

Other

Other

Other

Other

PROVIDER NUMBER	DCN (DME) or AR# (HIGLAS)	SEQ (DME Only)	AR STATUS	LETTER DT	OVERPAYMENT	TOTAL BALANCE	PRINCIPAL	INTEREST	INTEREST PRE-PETITION	INTEREST POST PETITION	REASON CODE	DISCOVERY CODE	INT RATE	DOS FR	DOS TO
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(insert additional rows above as required)

Data Request Form

To request a fraud check

Requestor Information
Requester:
Phone Number:
Email Address:
Frequency :

Data Request Information
Summary
Description Business Need
Comments

report from CPI Unified Case Management (UCM) system, please complete and submit this form **one week before the desired delivery date**

@cms.hhs.gov.

	Component/Contractor	
	Date:	
	Desired Date:	
One time request		

Contractor is requesting fraud checks for the National Provider Identifiers that are included on the attached spreadsheet(s).	
Fraud checks are required for providers/suppliers who have Medicare debts that are being reviewed for potential termination of collection. If it is determined that the provider/supplier has an open fraud case, its debts will not be eligible for termination of collection.	
See description.	

PROVIDE SCREEN PRINT FROM ONE OF THE FOLLOWING:

APPL / H1 HEADER SCREEN - VMS
FISS - Financial Master - Administrative Screen 2
MCS - Provider Eligibility Screen (PE)

Category	Data Element
Tier I	Bankruptcy Case #
Tier I	Bankruptcy Court
Tier I	Petition Date
Tier I	Provider Name
Tier I	Provider Number(s)
Tier I	Provider Tax ID
Tier I	Open Overpayment Amount
Tier I	List of open debts attached (Y/N)?
Tier I	Provider Detail Screen Attached (Y/N)?
Tier I	Still billing Medicare (Y/N)?
Tier I	Medicare Termination Date
Tier I	Last Payment Date
Tier I	Is there an active Surety Bond policy (Y/N)?
Tier I	Debts Recalled from Treasury (Y/N or N/A)?
Tier I	Debts Placed in Bankruptcy Status (Y/N)?
Tier I	Active ERS (Y/N)?
Tier I	Money Held (Y/N)? If yes, Amount & Hold Type
Tier I	PIP & Pass Through Payment Amounts Due Provider (Y/N)?
Tier I	Any Open Claims (Y/N)?
Tier I	Amount of Claims on Payment Floor?
Tier II	Any open cost reports (Y/N or N/A)?
Tier II	Year & Status of Open Cost Reports?
Tier II	Cost Reporting Years in Appeal
Tier II	Pending Cost Report Reopenings (Y/N)?
Tier II	Any claims under appeal (Y/N)?
Tier II	Any Overpayments in Appeals Status (Y/N)?
Tier II	Any Fraud Overpayments or Investigations (Y/N)?
Tier II	Date of fraud cases, if applicable
Tier II	Evidence of a Recent or Pending CHOW (Y/N)?
Tier II	HIGLAS Customer Status Screen

Tier I	Receivables Summary

Instruction	Example
Prescribed format for this field is Court Abbreviation + BNK Case Number	TNMBKE-19-12345
Court State and Region (if applicable)	Tennessee Middle
Date Petition Filed in US Bankruptcy Court	01/01/2019
DME PTAN(s) or HIGLAS Supplier Number(s)	**VMS** - 1234560001 , **HIGLAS** - CONTRACTOR WKLOAD-PROV NUMBER-NPI
	12-34567890
Will automatically populate from Receivables Summary tab	
Attach screen print from FISS, MCS, or VMS APPL	FISS - Financial Master - Administrative Screen 2, MCS - Provider Eligibility Screen (PE), VMS - APPL H1
Has provider submitted claims in the last 6 months	
If multiple, list most current	
Date of last claim payment made to provider	
DME Only	
If debt(s) have been recalled from Treasury due to the bankruptcy case list Y. If debt(s) are currently at Treasury list N. If debt(s) are currently not referred to Treasury list N/A	
Are the provider's overpayments in a Bankruptcy AR Status	
Does provider have an active Extended Repayment Schedule	If in default, list as No and add comment
See example	Yes; $1,000.00, Administrative Freeze
If yes, provide amount	
Does the provider have any open claims in the system that are unpaid	
Amount of approved claims scheduled to be paid	
If not Part A workload, list N/A	Yes
If not Part A workload, list N/A	2016, Unfiled
If not Part A workload, list N/A	
If not Part A workload, list N/A	
If Yes, provide stage of Appeal (Ex: Reconsideration)	
CPI Fraud Check Request	
CPI Fraud Check Request	
Recent = within 1 year of Petition Date	
Place provider/supplier in BNK or INIT status based on RO instructions	

Required fields - Provider Name and Number, AR or DCN #, Seq # (DME only), AR Status, Letter Date, Overpayment Amount, Current Principal Balance, Current Interest Balance, Pre and Post Petition Interest, Reason / Discovery Codes, Dates of Service	MAC can manually calculate and enter pre and post petition interest amounts if they experience problems with the formulas. The most cuurrent RBD report should be the datasource for all HIGLAS workloads