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User Guide for Covered Recipients

O P E N P A Y M E N T S

C R E A T I N G P U B L I C T R A N S P A R E N C Y
I N T O I N D U S T R Y - P H Y S I C I A N
F I N A N C I A L R E L A T I O N S H I P S

January 2025

Disclaimer: The Centers for Medicare & Medicaid Services (CMS) is providing this guidance document as informational material on Open Payments. Although every reasonable effort has been made to assure the accuracy of the information, it is the responsibility of the user to ensure adherence to the requirements of the Open Payments implementing regulations, the Medicare, Medicaid, Children's Health Insurance Programs; Transparency Reports and Reporting of Physician Ownership or Investment Interests Final Rule codified at 42 C.F.R. Parts 402 and 403 [CMS-5060-F]. This User Guide is not intended as a supplement or replacement of the Final Rule.

Table of Contents

Purpose of the Open Payments User Guide	1
Chapter 1: Introduction to Open Payments (the Sunshine Act)	3
Section 1.1: Program Overview	3
1.1a: What is the Affordable Care Act Section 6002?	3
1.1b: What is the Purpose of Open Payments (the Sunshine Act)?	3
1.1c: Who Participates in Open Payments (the Sunshine Act)?	4
1.1d: Key Dates for Open Payments Program Years	4
Section 1.2: Determining if an Entity is an Applicable Manufacturer or Applicable GPO	6
Section 1.3: Who Are Entities Reporting On	8
Section 1.4: Open Payments System Overview	9
1.4a: Open Payments System Browser Requirements	10
1.4b: Functionalities within the Open Payments System	11
1.4c: Setting Email Filters to Accept Open Payments Emails	11
1.4d: Accessibility Guidance	11
Section 1.5: Additional Information and Resources	12
1.5a: Open Payments Website	12
1.5b: Open Payments Resources	12
1.5c: Open Payments Help Desk	13
1.5d: Open Payments Mailing List	13
Chapter 2: Introduction to Reporting and Data Collection	16
Section 2.1: General Payments Reporting and Data Collection	16
Section 2.2: Research Payments Reporting and Data Collection	17
Section 2.3: Physician Ownership/Investment Interests Reporting and Data Collection	18
Chapter 3: Physician, NPP, and Teaching Hospital Registration	19
Section 3.1: Two-Step Registration Process	19
Section 3.2: Identity Management (IDM) Registration and Open Payments Access	20
3.2a: System Requirements	20
3.2b: IDM New User Registration	21
3.2c: Requesting Access to Open Payments with IDM Credentials	28

Open Payments User Guide for Covered Recipients – July 2024

If you would like to request this role, go to Step 3c: Choosing the Open Payments Foreign User Role: (below).....	32
Step 3a: Choosing the Reporting Entity Officer or Teaching Hospital Authorized Official Role:.....	32
Step 3b: Choosing the Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance Role:.....	34
Step 3c: Choosing the Open Payments Foreign User Role:	37
3.2d: Adding additional Multi-Factor Authentication (MFA) Devices.....	40
Section 3.3: Open Payments System Users and User Roles for Physicians, NPPs, and Teaching Hospitals	44
3.3a: Creating an Open Payments Profile as a Physician: First Time System Users	47
3.3b: Creating a profile as a Physician for a User with another Role (Returning System Users).....	57
3.3c: Physician and NPP Vetting	60
3.3d: Creating a profile as an NPP (First Time System Users).....	61
3.3e: Creating a profile as a NPP for a User with another Role (Returning System Users)	70
3.3f: NPP Vetting.....	73
3.3g: Registering a Teaching Hospital (First Time System User).....	74
3.3h: Registering a Teaching Hospital: Returning System Users	91
3.3i Registering a Teaching Hospital: Prior Program Year	92
3.3j: Using the Switch User Functionality	95
Section 3.4: Open Payments Covered Recipient Users and User Roles.....	97
3.4a: Physician and NPP User Roles.....	97
3.4b: Teaching Hospital User Roles.....	98
Section 3.5: Nominations.....	99
3.5a: Nominating an Authorized Representative for Physicians or NPPs (Returning System Users).....	101
3.5b: Nominating Additional Roles for a Teaching Hospital: Returning System Users.....	104
3.5c: Accepting or Rejecting a Nomination: Authorized Representative – First-Time Users	108
3.5d: Accepting or Rejecting a Nomination: Physician or NPP First Time System Users.....	118
3.5e: Accepting or Rejecting a Nomination (Teaching Hospital – First Time System Users)	127
3.5f: Accepting or Rejecting a Nomination (Teaching Hospital – Returning System Users).....	135
3.5g: Self-Nomination: Requesting a Role: Teaching Hospital - First Time System Users	137
3.5h: Self-Nomination: Requesting a Role: Teaching Hospital - Returning System Users.....	146
3.5i: Approving or Modifying a Nomination: Authorized Official Returning Users Only	149

Open Payments User Guide for Covered Recipients – July 2024

3.5j: Deactivating a User	154
Section 3.6: Updating Profile Information in the Open Payments System.....	157
3.6a: Updating a Covered Recipient Profile as an Authorized Representative	158
3.6b: Updating Covered Recipient or Covered Recipient Authorized Representative Personal Profile	160
Chapter 4: Review and Dispute for Physicians, NPPs, Teaching Hospitals, and Principal Investigators...	164
Section 4.1: Review and Dispute Process Overview	164
4.1a: Review, Dispute, and Correction Period	165
4.1b: Dispute Resolution: Changed Record	166
4.1c: Review and Dispute Statuses	166
Section 4.2: Reviewing Records	167
Section 4.3: Affirming Records.....	178
Section 4.4: Disputing Records	182
Section 4.5: Withdrawing Disputes.....	186
Section 4.6: Exporting Data.....	190
Chapter 5: System Messages	192
Section 5.1: System Messages	192
5.1a: Instructions for searching messages.....	192
Appendix A: Glossary of Terms for Open Payments.....	198
Disclosure	204

List of Figures

Figure 1: Key Dates for the Open Payments Program Year	6
Figure 2: Determining if an Entity Is an Applicable Manufacturer	7
Figure 3: Determining if an Entity Is an Applicable GPO.....	8
Figure 4: High-Level Process Flow for Open Payments.....	10
Figure 5: Useful JAWS Keystrokes.....	12
Figure 6: Open Payments System Resources Page	12
Figure 7: Receive Email Updates.....	13
Figure 8: CMS New Subscriber Screen	14
Figure 9: Quick Subscribe.....	15
Figure 10: Open Payments System "Resources" Tab for Reporting Entities	18
Figure 11: Open Payments System Public Landing Page	21
Figure 12: Select Your Application	22
Figure 13 Select Your Application	22
Figure 14: Register Your Information Page.....	24
Figure 15: Create User ID, Password & Security Question/Answer Page	25
Figure 16: New User Registration Summary Page	27
Figure 17: Confirmation Page	28
Figure 18: CMS.gov Enterprise Portal Landing Page.....	28
Figure 19: Displaying Email as the initial MFA device.....	29
Figure 20: Entering Device MFA Information	30
Figure 21: "My Portal" Page.....	30
Figure 22: "Request Application Access" Page	31
Figure 23: Request Application Access	32
Figure 24: Reason for Request.....	33
Figure 25: Confirmation	33
Figure 26: Request Application Access	34
Figure 27: Reason for Request.....	35
Figure 28: Confirmation	36
Figure 29: Second Confirmation	36
Figure 30: Request Application Access	37
Figure 31: Reason for Request.....	38
Figure 32: Confirmation	39
Figure 33: Second Confirmation	39
Figure 34: My Profile.....	40
Figure 35: Manage MFA Device Register a Device Page.....	41
Figure 36: Register MFA Devices	42
Figure 37: Send MFA Code.....	43
Figure 38: Enter MFA Device Code	43
Figure 39: Manage MFA Devices Page.....	44

Open Payments User Guide for Covered Recipients – July 2024

Figure 40: Physician and NPP Registration Process	44
Figure 41: Required and Optional Fields for Physician Profiles	45
Figure 42: Required and Optional Fields for NPP Profiles	45
Figure 43: Required and Optional Fields for Individual User Profiles.....	45
Figure 44: Teaching Hospital Registration Process	46
Figure 45: Required and Optional Fields for Teaching Hospital Profiles	46
Figure 46: Required and Optional Fields for Individual User Profiles.....	47
Figure 47: Open Payments System Log In Page	49
Figure 48: Open Payments Home Page for First-Time System Users	49
Figure 49: Create My Profile Page	50
Figure 50: Select Profile Type Page.....	51
Figure 51: Covered Recipient Personal Information Page	52
Figure 52: Covered Recipient Details Page	54
Figure 53: Decision to NOT Nominate an Authorized Representative	55
Figure 54: Decision to Nominate an Authorized Representative	56
Figure 55: Review and Submit Profile Page	57
Figure 56: Registration Complete On-Screen Message	57
Figure 57: Open Payments System Landing Page	58
Figure 58: Open Payments System “Switch User Type” Option	59
Figure 59: Open Payments System Home Page for First-Time System Users	60
Figure 60: Open Payments System Log In Page	62
Figure 61: Open Payments Home Page for First-Time System Users	62
Figure 62: Create My Profile Page	63
Figure 63: Select Profile Type Page.....	64
Figure 64: Covered Recipient Personal Information Page	65
Figure 65: Covered Recipient Details Page	67
Figure 66: Decision to NOT Nominate an Authorized Representative	68
Figure 67: Decision to Nominate an Authorized Representative	69
Figure 68: Review and Submit Profile Page	70
Figure 69: Registration Complete On-Screen Message	70
Figure 70: Open Payments System Landing Page Profile Icon.....	71
Figure 71: Open Payments System “Switch User Type” Option	72
Figure 72: Open Payments System Landing Page for First-Time System Users.....	73
Figure 73: Open Payments System Log In Page for First-Time System Users.....	75
Figure 74: Identity Verification Alert	75
Figure 75: Identity Verification Overview	76
Figure 76: Identity Verification Terms and Conditions	76
Figure 77: Enter Your Identity Verification Information	78
Figure 78: Successful Identity Verification Confirmation	78
Figure 79: Unable to Verify Information	79
Figure 80: Create a Profile	79
Figure 81: Create My Profile Page	80

Open Payments User Guide for Covered Recipients – July 2024

Figure 82: Select Profile Type Page.....	81
Figure 83: Teaching Hospital Search Page	82
Figure 84: Teaching Hospital Search Page Search Results	83
Figure 85: Register Teaching Hospital Page.....	84
Figure 86: Teaching Hospital Your Role Page.....	85
Figure 87: Teaching Hospital Nominate Roles Page	86
Figure 88: Teaching Hospital Nominate Roles Page Add Nominee	87
Figure 89: Teaching Hospital Nominate Roles Page with Added Nominees.....	88
Figure 90: Personal Information Page	89
Figure 91: Review and Submit Profile Page	90
Figure 92: Success Confirmed On-Screen Message	90
Figure 93: Open Payments Home Page for Returning System Users	91
Figure 94: Manage Teaching Hospitals Page	92
Figure 95: Teaching Hospital Nominate Roles Page	92
Figure 96: Open Payments System Home Page for Returning System Users.....	93
Figure 97: Manage Teaching Hospitals Page	93
Figure 98: Teaching Hospital Search Page	94
Figure 99: Teaching Hospital Search Page: Prior Program Year	95
Figure 100: Open Payments Landing Page for Returning Reporting Entity User	96
Figure 101: Open Payments Landing Page for First-Time User	96
Figure 102: Open Payments Landing Page for Returning User.....	97
Figure 103: Physician User Roles and User Role Functions	98
Figure 104: Teaching Hospital User Roles and User Role Functions.....	99
Figure 105: Accepting Nominations Process.....	100
Figure 106: User Role Statuses for Physicians, NPPs, and Teaching Hospitals.....	101
Figure 107: Open Payments Landing Page for Returning User.....	102
Figure 108: My Profile Page.....	102
Figure 109: My Profile Details Page	103
Figure 110: My Profile Details Tab Editing.....	104
Figure 111: Open Payments Landing Page for Returning User.....	105
Figure 112: Manage Teaching Hospitals Page	106
Figure 113: Teaching Hospital Information Page.....	106
Figure 114: Manage Teaching Hospital Manage Roles Tab	107
Figure 115: Teaching Hospital Manage Roles Tab Nominate Roles.....	107
Figure 116: Teaching Hospital Manage Roles Tab Confirmation	108
Figure 117: Open Payments Landing Page for First-Time System Users	109
Figure 118: Create My Profile Page	110
Figure 119: Select Profile Type Page.....	111
Figure 120: Search for Nomination Page	112
Figure 121: Search for Nomination Page with Nomination Shown	113
Figure 122: Confirm Covered Recipient Information Page	114
Figure 123: Confirm Nomination Page.....	115

Open Payments User Guide for Covered Recipients – July 2024

Figure 124: Authorized Representative Page	116
Figure 125: Review and Submit Profile Page	117
Figure 126: Success Confirmed Page	117
Figure 127: Open Payments Landing Page for First-Time System Users	118
Figure 128: Create Profile Page	119
Figure 129: Select Profile Type Page.....	120
Figure 130: Search for Nomination Screen	121
Figure 131: Nomination Search Results Page	122
Figure 132: Confirm Covered Recipient Information.....	123
Figure 133: Authorized Representative Basic Information.....	125
Figure 134: Review and Submit Profile Page	126
Figure 135: Nomination Accepted Page	126
Figure 136: Open Payments Landing Page for First-Time System Users	127
Figure 137: Create Profile Page	128
Figure 138: Select Profile Type Page.....	129
Figure 139: Search for Nomination Page	130
Figure 140: Search for Nomination Select Page	131
Figure 141: Confirm Nomination Page.....	132
Figure 142: Personal Information Page	133
Figure 143: Review and Submit Profile Page	134
Figure 144: Success Confirmed Page	135
Figure 145: Open Payments Landing Page for Returning Teaching Hospital Users	135
Figure 146: My Profile Page.....	136
Figure 147: My Profile Page My Roles and Nominations.....	136
Figure 148: Success.....	137
Figure 149: Open Payments Landing Page for First-Time Teaching Hospital User.....	137
Figure 150: Create Profile Page	138
Figure 151: Select Profile Type Page.....	139
Figure 152: Teaching Hospital Search Page	140
Figure 153: Teaching Hospital Search Page Search Results.....	141
Figure 154: Register Teaching Hospital Page.....	141
Figure 155: Teaching Hospital Your Role Page	142
Figure 156: Personal Information Page	143
Figure 157: Review and Submit Profile Page	144
Figure 158: Success Confirmation Page	145
Figure 159: Open Payments Landing Page for Returning User.....	146
Figure 160: Create My Profile Page	147
Figure 161: Select Profile Type	148
Figure 162: Open Payments Landing Page for Returning User.....	149
Figure 163: Manage Teaching Hospitals Page	150
Figure 164: Teaching Hospital Information Page.....	150
Figure 165: Teaching Hospital Manage Roles Tab	151

Open Payments User Guide for Covered Recipients – July 2024

Figure 166: Teaching Hospital Manage Roles Tab Confirmation	152
Figure 167: Teaching Hospital Manage Roles Tab Modify Roles	153
Figure 168: Modify Role Page	153
Figure 169: Teaching Hospital Manage Roles Tab Confirmation	154
Figure 170: Open Payments Landing Page for Returning User.....	155
Figure 171: Manage Teaching Hospitals Page	155
Figure 172: Teaching Hospital Information Page.....	156
Figure 173: Teaching Hospital Manage Roles Tab Deactivate User.....	157
Figure 174: Teaching Hospital Manage Roles Tab- Confirmation Page.....	157
Figure 175: Open Payments Landing Page	158
Figure 176: Manage Covered Recipients Page	158
Figure 177: Covered Recipient Details.....	159
Figure 178: Update Covered Recipient.....	160
Figure 179: Open Payments Landing Page for Returning Physician and NPP Users.....	161
Figure 180: My Profile Page.....	161
Figure 181: My Profile Details Page.....	162
Figure 182: My Profile Details Tab.....	163
Figure 183: Open Payments Home Page: Review and Dispute Tab.....	168
Figure 184: Review and Dispute Overview Page: Important Information.....	169
Figure 185: Review and Dispute Overview Page: Review Summary Button	171
Figure 186: Review and Dispute Overview Page: Review Records Button.....	172
Figure 187: Review and Dispute Page: Covered Recipient Records	173
Figure 188: Review and Dispute Page: Available for Review and Dispute Button	174
Figure 189: Review and Dispute Page: Deleted and in Progress Records Button	175
Figure 190: Review and Dispute Page: Record ID Pages.....	176
Figure 191: Review and Dispute Page: Dispute Details View Hyperlink.....	177
Figure 192: Review and Dispute Page: Dispute Details View Window.....	178
Figure 193: Review and Dispute Page: Search Filter for Affirmed Records.....	179
Figure 194: Review and Dispute Page: Affirm Record(s) Button	180
Figure 195: Affirm Records Window: Affirm Button.....	181
Figure 196: Affirm Record Confirmation Message	181
Figure 197: Review and Dispute Page: Record Filtering Tool	182
Figure 198: Review and Dispute Page: Dispute Record Button.....	183
Figure 199: Dispute Record Window: Send Dispute Button.....	185
Figure 200: Dispute Confirmed Window	186
Figure 201: Review and Dispute Page: Record Filtering Tool	187
Figure 202: Review and Dispute Page: Dispute Details Column “View” Link	188
Figure 203: Dispute Details Window: Withdraw Dispute Button	189
Figure 204: Withdraw Dispute ID: Withdraw Dispute Button	190
Figure 205: Dispute Withdrawn Confirmation Window	190
Figure 206: Review and Dispute Page: Download Zip File Link	191
Figure 207: Open Payments Messages Tab: System Messages Option.....	192

Open Payments User Guide for Covered Recipients – July 2024

Figure 208: Messages Page: System Messages Search Criteria.....	193
Figure 209: Search Button for System Messages.....	194
Figure 210: System Messages Search Results Filter Button	195
Figure 211: Message Subject Hyperlink.....	196
Figure 212: Full Message Window: Close Button	197
Figure 213: Special Characters Allowed in Free-Text Fields	201
Figure 214: Conversions for Foreign Language Characters	202

INTRODUCTION

Purpose of the Open Payments User Guide

This Open Payments User Guide includes definitions, descriptions, screenshots, tools, and tips designed to help [physicians](#), [non-physician practitioners \(NPPs\)](#), and [teaching hospitals](#) better understand how to comply with Open Payments (the Sunshine Act).

As the Open Payments system develops, the Open Payments User Guide will be updated at least once annually. The User Guide consists of the following chapters:

- **Introduction to Open Payments**
- **Introduction to Reporting and Data Collection**
- **Physician, NPPs, and Teaching Hospital Registration**
- **Review and Dispute**
- **Additional Information and Resources**

Revision History

Version	Date Published	Description	Version Updates
1.0	August 2013	Initial Release	Chapters 1, 2, 3, & 4
2.0	June 2014	Update	Chapters 1, 2, 3, 4, & 7
3.0	July 2014	Update	Chapters 5, 8, & 9
3.1	August 2014	Update	Minor corrections and updates
4.0	December 2014	Update	Updates made to Chapter 5
5.0	January 2015	Update	Chapter 10 and updates for registration and data submission
6.0	March 2015	Update	Updates for registration and data submission
7.0	April 2015	Update	Updates for review and dispute
8.0	June 2015	Update	Updated Chapter 10 for PY2014 data publication
9.0	January 2016	Update	Updated throughout to reflect system updates in Jan 2016
10.0	June 2016	Update	Updated publication chapter, added to glossary
11.0	January 2017	Update	Updated to reflect changes to registration and data submission
12.0	June 2017	Update	Updated publication chapter, removed outdated information
13.0	September 2017	Update	Updated to reflect system updates
14.0	January 2018	Update	Updated to reflect system updates
15.0	March 2018	Update	Updated to reflect system updates for Review and Dispute
16.0	January 2019	Update	Updated to reflect system updates
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27.0	January 2025	Update	Updated to reflect system updates

Chapter 1: Introduction to Open Payments (the Sunshine Act)

This introduction chapter provides general information about the program, an overview of the Open Payments system, and an explanation of how to determine if an entity is required by Open Payments to report certain payments or other transfers of value to physicians, non-physician practitioners (NPP) and teaching hospitals, or certain physician ownership or investment interests.

Section 1.1: Program Overview

1.1a: What is the Affordable Care Act Section 6002?

Section 6002 of the Affordable Care Act [P.L. 110-148] amends Title XI of the Social Security Act to add Section 1128G, which mandates the creation of a program for (1) reporting payments and other transfers of value made to [covered recipients](#) and [physician owners or investors](#), by manufacturers of drugs, devices, biologicals, or medical supplies for which payment is available under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP); and (2) reporting ownership or investment interests held by physicians or their immediate family members in [applicable manufacturers](#) and [applicable group purchasing organizations \(GPOs\)](#), as well as reporting payments or other transfers of value made by these applicable manufacturers and applicable GPOs to these physicians.

As of January 2022, the Open Payments program is updated per [section 403.902](#) of the Affordable Care Act to include the NPPs as covered recipients in the Open Payments program. Per the Final Rule, the updated definition of covered recipient means— (1) Any physician, physician assistant, nurse practitioner, clinical nurse specialist, certified registered nurse anesthetist, anesthesiologist assistant, or certified nurse-midwife who is not a bona fide employee of the applicable manufacturer that is reporting the payment; or (2) A teaching hospital, which is any institution that received a payment under 1886(d)(5)(B), 1886(h), or 1886(s) of the Act during the last calendar year for which such information is available.

This program establishes a system for annually reporting this data to the Centers for Medicare & Medicaid Services (CMS).

1.1b: What is the Purpose of Open Payments (the Sunshine Act)?

Open Payments is a national disclosure program that promotes transparency by publishing data on the financial relationships between the healthcare industry (applicable manufacturers and applicable GPOs; together referred to as reporting entities) and healthcare providers (physicians, NPPs, and teaching hospitals) on a publicly accessible website. This publicly available website is designed to increase access to, and knowledge about, these relationships and provide the public with information to enable them to make informed decisions. The public can search, download, and evaluate the reported data.

Disclosure of the financial relationships between industry and healthcare providers is not intended to signify an inappropriate relationship, and Open Payments does nothing to prohibit such transactions. Collaborations among the medical product industry, physicians, NPPs, and teaching hospitals contribute to the design and delivery of life-saving drugs, devices, biologicals, and medical supplies. However, these

Open Payments User Guide for Covered Recipients – July 2024

relationships may also influence research, education, and clinical decision-making in ways that compromise clinical integrity and patient care and may potentially lead to increased healthcare costs. While disclosure alone is not sufficient to differentiate between the beneficial financial relationships and those that may create conflicts of interest, transparency will shed light on the nature and extent of the relationships that exist and discourage the development of inappropriate relationships.

1.1c: Who Participates in Open Payments (the Sunshine Act)?

Open Payments requires participation from certain manufacturers of drugs, devices, biologicals, or medical supplies covered under Title XVIII of the Social Security Act (Medicare), or a State plan under Title XIX (Medicaid) of XXI of the Social Security Act (CHIP) and certain GPOs. These products are defined as “Covered Products” under Open Payments.

Applicable manufacturers of covered products, and entities under [common ownership](#) with applicable manufacturers who also provide [assistance and support](#), are required to annually report to CMS:

- Payments or other transfers of value, including general and research, made to physicians, NPPs, and teaching hospitals.
- Certain ownership or investment interests held by physicians or their immediate family members.
- Applicable GPOs are required to annually report to CMS:
 - Payments or other transfers of value, including general and research, made to physician owners or investors.
 - Certain ownership or investment interests held by physicians or their immediate family members.

While not required to participate, Open Payments encourages physicians, NPPs, and teaching hospitals to participate by tracking their financial relationships with applicable manufacturers and applicable GPOs and reviewing data reported about them in the Open Payments system to ensure the accuracy of the information.

Open Payments also encourages the public and healthcare consumers to access, review, and use the data to make informed healthcare decisions.

1.1d: Key Dates for Open Payments Program Years

For each program year, the following dates are of key importance:

Applicable manufacturers and applicable GPOs are required to **collect data** documenting their financial relationships with certain physicians, NPPs, and teaching hospitals for the period of January 1 to December 31 of each year.

Open Payments User Guide for Covered Recipients – July 2024

Data submission for a program year begins in February of the following year and runs through the end of March. For example, data submission for Program Year 2022 took place in February and March of 2023.

The review and dispute period occurs immediately after the submission period ends and extends for at least 45 days. During this period, physicians, NPPs, and teaching hospitals review and, if necessary, dispute data submitted by reporting entities. Applicable manufacturers and applicable GPOs can then correct the data to resolve any disputes for an additional fifteen-day correction period. Review, dispute, and correction activities can occur until the end of the calendar year that the record was submitted, though the initial publication of the program year data will reflect the state of the record as of the end of the correction period. Disputes initiated after the review and dispute period, or corrections made after the end of the correction period will be reflected in later publications. For details refer to the “Methodology and Data Dictionary Document” available on the Resources page of the Open Payments website, at <https://www.cms.gov/OpenPayments/Resources>.

Data publication occurs twice per year, with a June publication and an early year publication.

- **June Publication - Initial Publication**

- The first publication of the eligible records submitted and attested on or before the submission closing date of the latest program year.
- The republication of eligible records from prior program years, including updates to previously published records made since the previous publication.
- The data published is the latest attested version of the payment records at the end of the correction period of the latest program year.

- **Early Year Publication - Refresh Publication**

- Publishes updates to the data made since the Initial Publication.
- The second publication of eligible records submitted and attested on or before the submission closing date of the latest program year.
- Contains updates made to records after the correction period of the latest program year and before the end of the calendar year (or before the modified-without-dispute cutoff date in November for records not under dispute).
- The data published is the latest attested version of the data at the end of the calendar year.

For example, the June 2023, data publication included the initial publication of Program Year 2022 data and republication of prior program years. The early 2023 data publication included the refresh publication of Program Year 2022 data and republication of prior program years.

Registration for the Open Payments system, and the ability to dispute data, is available year-round. Information on these activities and time periods is provided throughout this document.

Open Payments User Guide for Covered Recipients – July 2024

The table below lists key program dates for a program year, which is the year the reported payments or other transfers of value were made.

Figure 1: Key Dates for the Open Payments Program Year

Program Activities	Program Timeline
Data Collection: Reporting entities collects data	January 1 – December 31 of program year
Data Submission: Reporting entities submits and attests to data in the Open Payments system	February – March of the calendar year after the program year
Pre-publication Review Dispute and correction: Physicians, NPPs, and teaching hospitals review the reported data and dispute any data they believe is inaccurate; industry makes corrections to the data (reflected in the initial publication)	Review, Dispute, and Correction period: April – May
Annual Data Publication: Initial program year initial data publication Prior program year data refresh publication	On or by June 30
Physicians, NPPs, and teaching hospitals continue to review and dispute data; industry continues to make corrections to the data (reflected in the data refresh publication)	May 15 – December 31
Data Refresh: Open Payments data refresh	January

Section 1.2: Determining if an Entity is an Applicable Manufacturer or Applicable GPO

Open Payments requires certain entities that make payments or other transfers of value to physicians, NPPs, or teaching hospitals to report relevant data regarding the payment or other transfers of value to CMS.

To determine if a particular entity is required to report, follow these steps:

- Step 1:** Determine if the entity operates in the United States (including any territory, possession, or commonwealth of the United States). See the reference guide in **Figure 2**.
- Step 2:** Determine if the entity engages in activities of Type 1 or Type 2 applicable manufacturer. See the reference guide in **Figure 2**.
- Step 3:** Determine if the entity’s products are covered drugs, devices, biologicals, or medical supplies, or covered products. See the reference guide in **Figure 2**.
- Step 4:** If the entity possesses the characteristics illustrated in **Figure 2**, **the entity is determined to be an applicable manufacturer in Open Payments**.

Open Payments User Guide for Covered Recipients – July 2024

If the entity does not meet these characteristics, the entity is not determined to be an applicable manufacturer. Note that the entity still may be an applicable GPO in Open Payments.

Proceed to Step 5 to determine if the entity is an applicable GPO.

Step 5: Determine if the entity operates in the United States (includes any territory, possession, or commonwealth of the United States). See the reference guide in **Figure 3**.

Step 6: Determine if the entity engages in activities of an applicable GPO. See the reference guide in **Figure 3**.

Step 7: Determine if the entity’s products are covered drugs, devices, biologicals, or medical supplies, or covered products. See the reference guide in **Figure 3**.

Step 8: If the entity possesses the characteristics illustrated in **Figure 3**, **the entity is determined to be an applicable GPO in Open Payments**.

If the entity does not possess the characteristics as illustrated in **Figure 3**, the entity is not determined to be an applicable GPO in Open Payments.

The below figure provides a reference guide for determining if a drug, device, biological, or medical supply manufacturer is an applicable manufacturer in accordance with Open Payments and outlines the characteristics of two types of applicable manufacturers.

Figure 2: Determining if an Entity Is an Applicable Manufacturer

Characteristic	Type 1 Manufacturer IF	Type 2 Manufacturer IF
Operate in US?	<ul style="list-style-type: none"> • Entity’s physical location is within the United States and/or it conducts activities within the United States. This includes any territory, possession, or commonwealth of the United States, either directly or through a legally authorized agent. 	<ul style="list-style-type: none"> • Same as Type 1 Manufacturer.
Activities	<ul style="list-style-type: none"> • Engages in the production, preparation, propagation, compounding, or conversion of a covered drug, device, biological, or medical supply. • This includes distributors or wholesalers that hold title to a covered drug, device, biological, or medical supply. 	<ul style="list-style-type: none"> • Exists under common ownership with a Type 1 applicable manufacturer AND • Provides assistance or support to such an entity with respect to the production, preparation, propagation, compounding, conversion, marketing, promotion, sale, or distribution of a covered drug, device, biological, or medical supply.

Open Payments User Guide for Covered Recipients – July 2024

Characteristic	Type 1 Manufacturer IF	Type 2 Manufacturer IF
Covered Products	<ul style="list-style-type: none"> • Reimbursed by Medicare, Medicaid, or Children’s Health Insurance Program AND • If the product is a drug or biological, and it requires a prescription (or doctor’s authorization) to administer OR • If the product is a device or medical supply, and it requires premarket approval or premarket notification by the Food and Drug Administration (FDA). 	<ul style="list-style-type: none"> • Same as Type 1 Manufacturer.

Figure 3 provides a reference guide for determining if a drug, device, biological, or medical supply purchasing entity is an applicable GPO in accordance with Open Payments. **Figure 3** also outlines the characteristics of applicable GPOs.

Figure 3: Determining if an Entity Is an Applicable GPO

Characteristic	Group Purchasing Organization IF
Operate in US?	<ul style="list-style-type: none"> • Entity’s physical location is within the United States and/or it conducts activities within the United States. This includes any territory, possession, or commonwealth of the United States, either directly or through a legally authorized agent.
Activities	<ul style="list-style-type: none"> • Purchases, arranges for, or negotiates the purchase of a covered drug, device, biological, or medical supply for a group of individuals or entities, but not solely for use by the entity itself.
Covered products	<ul style="list-style-type: none"> • Reimbursed by Medicare, Medicaid, or Children’s Health Insurance Program AND • If the product is a drug or biological, it requires a prescription (or doctor’s authorization) to administer OR • If the product is a device or medical supply, it requires pre-market approval or pre-market notification by the FDA.

Section 1.3: Who Are Entities Reporting On

Applicable manufacturers and applicable GPOs are required to report payments or other transfers of value to covered recipients, and physician owners/investors. Covered recipients in Open Payments include any physician, physician assistant, nurse practitioner, clinical nurse specialist, certified registered nurse anesthetist, anesthesiologist assistant, or certified nurse-midwife who is not a bona fide employee of the applicable manufacturer that is reporting the payment, and teaching hospitals.

Open Payments User Guide for Covered Recipients – July 2024

For the purposes of Open Payments, physicians are defined as legally authorized to practice by their state as follows:

- Doctors of medicine or osteopathy practicing medicine or surgery
- Doctors of dental medicine or dental surgery practicing dentistry
- Doctors of podiatric medicine
- Doctors of optometry
- Chiropractors

For the purposes of Open Payments, NPPs are defined as legally authorized to practice by their state as follows:

- Physician assistant
- Nurse practitioner
- Clinical nurse specialist
- Certified registered nurse anesthetist
- Certified nurse-midwife
- Anesthesiologist assistant

A teaching hospital is any institution that received a payment for Medicare direct graduate medical education (GME), inpatient prospective payment system (IPPS) indirect medical education (IME), or psychiatric hospital IME programs under 1886(d) (5) (B), 1886(h), or 1886(s) of the Social Security Act during the last calendar year for which such information is available.

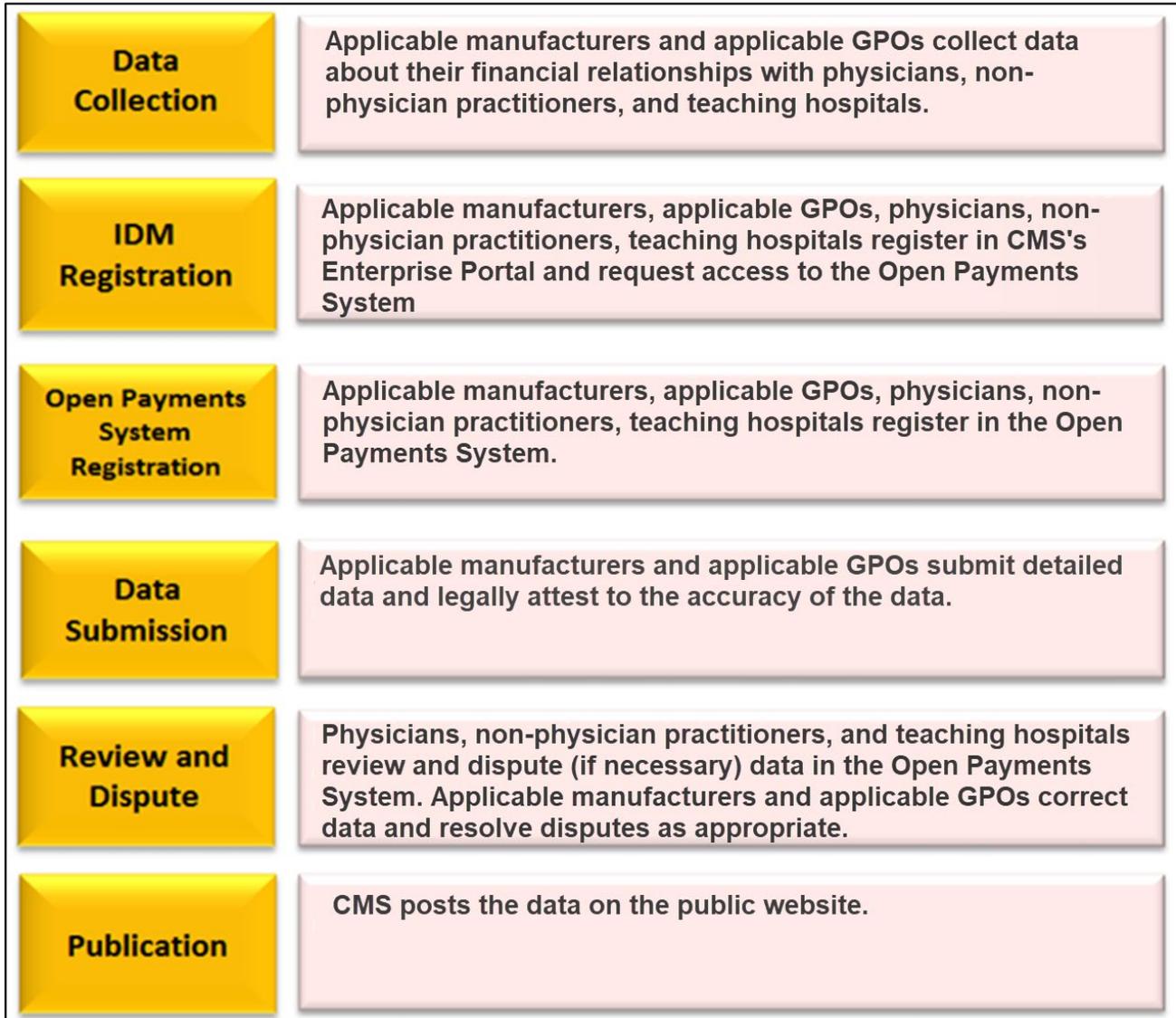
Additionally, applicable manufacturers and applicable GPOs are required to report ownership or investment interests in the entity held by a physician (referred to as a physician owner or investor) or the physician's immediate family members, and report payments or other transfers of value to these physicians holding ownership or investment interests. A physician's immediate family member is the physician's (1) spouse; (2) natural or adoptive parent, child, or sibling; (3) stepparent, stepchild, stepbrother, or stepsister; (4) father, mother, daughter, son, brother, or sister-in-law; (5) grandparent or grandchild; or the (6) spouse of a grandparent or grandchild.

Section 1.4: Open Payments System Overview

The Open Payments system is the tool developed to support Open Payments. Users will interact with the system to perform several functions based on their role.

Applicable manufacturers, applicable GPOs, physicians, NPPs, and teaching hospitals who participate in the Open Payments program must register in the Open Payments system. **Figure 4** provides a high-level process flow.

Figure 4: High-Level Process Flow for Open Payments



1.4a: Open Payments System Browser Requirements

The Open Payments system is a web-based application and can be run on one of the listed browsers below:

- Google Chrome (Version 89 or higher),
- Microsoft Edge (Version 89 or higher),
- Safari (Version 13.1.2), and
- Mozilla Firefox (Version 83 or higher) browsers.

1.4b: Functionalities within the Open Payments System

Users will perform functions within the Open Payments system based on what is required of them by the program and their specific user roles. Most of the functions required by Open Payments will require interaction with the Open Payments system.

Key functions of Open Payments system users include the following:

- For applicable manufacturers/applicable GPOs: Create a profile in the system, submit data into the system, verify the data, and attest to the accuracy of the data.
- For physicians/NPPs/teaching hospitals: Create a profile, review the data supplied by applicable manufacturers and/or applicable GPOs, and dispute or affirm the data.

This User Guide will cover functions of the system in detail in each chapter.

1.4c: Setting Email Filters to Accept Open Payments Emails

The Open Payments system will transmit emails to communicate with users. Take precautions to ensure that these emails are not directed into your junk mail or spam folders. Open Payments notification emails will come from the address openpaymentsnotifications@cms.hhs.gov.

1.4d: Accessibility Guidance

This section provides some basic guidance for keyboard and JAWS screen reader users. While not all screen reader users use JAWS and not all JAWS users have the same version, this guidance should be applicable to other screen readers and most versions of JAWS.

1.4d (1): "Skip to Main Content" Link

The "Skip to main content" link provides a shortcut to the main content of the page. Using the link allows a keyboard user to reach the core information on the page without having to tab through the global portal banner.

Screen reader users can use the link to jump their screen reader to the start of the portal content and skip the global banner area on each page. Activating the "Skip to main content" link brings the user to the beginning of the Open Payments content area on the page.

1.4d (2): Useful JAWS Keystrokes

The keystrokes given in **Figure 5** find the next occurrence of a particular element on a page. Using the Shift key at the same time will find the previous occurrence. These shortcuts allow a screen reader user to quickly jump their screen reader between the form fields on each page or explore the overall structure of the page by jumping between the different headings on the page that denote the start of different content areas on the page.

Figure 5: Useful JAWS Keystrokes

Key	What it finds	Why this is useful
F	Form field	The F key allows users to move from form field to form field. Many pages on the Open Payments website are made of forms. The F key allows JAWS users to determine what fields are on the Open Payments form pages quickly.
H	Heading	The H key allows users to move from heading to heading. Headings define the structure of many pages. Moving between sections of an Open Payments page allows for a quick guide to page structure and a way to access sections easily.

Section 1.5: Additional Information and Resources

CMS supports Open Payments system users through several different methods. These include webinars, Frequently Asked Questions (FAQs), step-by-step quick reference guides (QRGs), and technical support provided by the Open Payments Help Desk.

1.5a: Open Payments Website

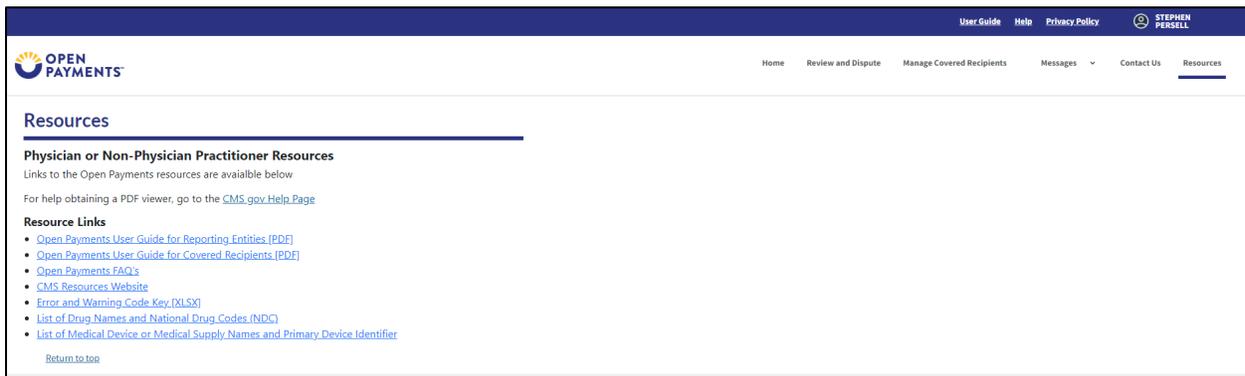
The Open Payments website at <https://www.cms.gov/openpayments> is your primary resource for information about Open Payments. This website contains numerous resources aimed at preparing and informing users about Open Payments regulations as well as the system. Check this website often for updated tools, resources, and important announcements on Open Payments.

1.5b: Open Payments Resources

CMS has developed many resources to help applicable manufacturers, applicable GPOs, physicians, NPPs, and teaching hospitals understand and participate in the Open Payments program. These resources cover topics such as registration, data collection and submission, the review and dispute process, and data publication. They can be found on the Resources page of the Open Payments website, at <https://www.cms.gov/OpenPayments/Resources>.

Covered recipients can also access the Open Payments User Guide for Covered Recipients, the Open Payments FAQ’s and other useful resources within the Open Payments system on the “Resources” tab.

Figure 6: Open Payments System Resources Page



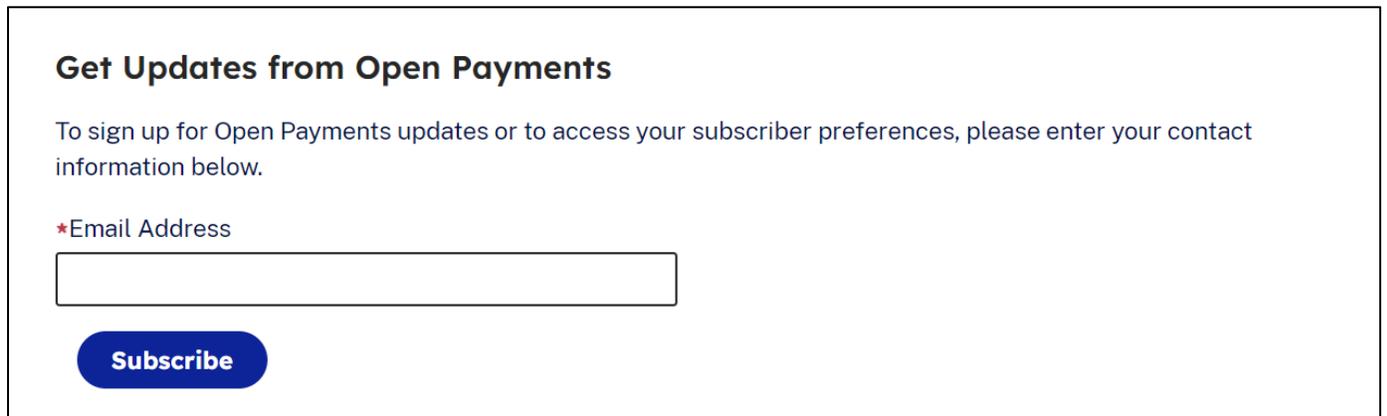
1.5c: Open Payments Help Desk

The Open Payments Help Desk serves as the single point of contact for all Open Payments-related inquiries. The Help Desk offers guidance on Open Payments, actions users can take in the system, and technical support. You can submit inquiries to openpayments@cms.hhs.gov or call 1-855-326-8366. For the TTY line, call 1-844-649-2766. The Help Desk's hours of operation are posted on the Open Payments website.

1.5d: Open Payments Mailing List

By registering for the Open Payments mailing list, you will be signed up to receive periodic email notifications regarding program, system, and resource updates. This is a good way to keep informed on any updates, changes, or important messages from CMS. To sign up for the Open Payments mailing list, visit the Open Payments website at <https://www.cms.gov/priorities/key-initiatives/open-payments/contact> and enter your email address at the bottom of the home page.

Figure 7: Receive Email Updates



The screenshot shows a web form titled "Get Updates from Open Payments". Below the title is a paragraph: "To sign up for Open Payments updates or to access your subscriber preferences, please enter your contact information below." There is a red asterisk followed by the text "Email Address" above a rectangular input field. Below the input field is a blue button with the word "Subscribe" in white text.

Entering your email address will take you to a page where you can select which mailing list you want to subscribe to.

Figure 8: CMS New Subscriber Screen

CMS Centers for Medicare & Medicaid Services
www.cms.gov www.medicaid.gov www.medicare.gov

New Subscriber

Primary Email Address
You must enter a primary email address. You will use this to access and update your subscriptions or modify your subscriber preferences.

Email Address * Subscriber@yopmail.com

Confirm Email Address *

Email Delivery Preference
If you would like to change how subscription updates are delivered to you, select an option below.

Send updates immediately by email
 Send updates daily by email
 Send updates weekly by email

Certain high priority updates may be sent regardless of your delivery preferences.

Optional Password
Enter an optional password to add password protection to your subscriber preferences.

Password
Confirm Password

Your contact information is used to deliver requested updates or to access your subscriber preferences.

[Privacy Policy - Help](#)

Under the Section “Open Payments,” select “General Updates on Open Payments” and then the “Submit” button at the bottom of the page to join the mailing list. You may also select either the boxes entitled “Physicians and teaching hospitals”, “Non-physician practitioner”, or “Applicable Manufacturers and Group Purchasing Organizations”. Make sure you also select the general updates box to ensure you receive all appropriate email updates.

Figure 9: Quick Subscribe



Centers for Medicare & Medicaid Services
www.cms.gov www.medicaid.gov www.medicare.gov

Quick Subscribe for subscriber@yopmail.com

Centers for Medicare & Medicaid Services (CMS) offers updates on the topics below. Subscribe by checking the boxes; unsubscribe by unchecking the boxes.

Access your [subscriber preferences](#) to update your subscriptions or modify your password or email address without adding subscriptions.

- Medicare Tools & Information
- Medicare-Medicaid Coordination
- Innovations
- Regulations & Guidance
- Research, Statistics, Data, & Systems
- Outreach & Education
- Office of Acquisition and Grants Management
- CMS Internal Communications
- Regional Office Lists
- Resources & Tools
- WETG Email Updates
- Chief Technology Office
- Open Payments
 - General Updates on Open Payments
 - Physicians and Teaching Hospitals
 - Applicable Manufacturers and Group Purchasing Organizations
 - Non-physician practitioner
- CMS System Notifications/Outages
- IQIES S&C
- Provider Compliance
- OIS Portal Project
- Master Data Management (MDM)

Your contact information is used to deliver requested updates or to access your subscriber preferences.

[Privacy Policy](#) | [Cookie Statement](#) | [Help](#)

Reporting and Data Collection

Chapter 2: Introduction to Reporting and Data Collection

This chapter provides information about data collection for entities required to report certain payments and other transfers of value in Open Payments.

Data is reported to the Open Payments system through bulk file uploads using character-separated values (CSV) files and through manual data entry through a graphic user interface (GUI).

A complete listing of metadata elements used for payments data input into the Open Payments system can be found in the “Submission Data Mapping Document.” Due to changes in record formats, there are three versions of the Submission Data Mapping document, one for Program Years “2016-2020”, one for Program Years 2021-2022, one for Program Years “2023 and Onwards”. The three documents are available on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/Resources>. These documents provide specific, detailed descriptions of the information that must be collected by reporting entities to document general, research, physician ownership/investment interest payments.

Section 2.1: General Payments Reporting and Data Collection

This section on general payments reporting and data collection provides information about data collection for entities required to report certain general payments and other transfers of value in the Open Payments system. Five categories of information related to [general payments](#) made by applicable manufacturers and applicable GPOs to recipient physicians, NPPs, and teaching hospitals are captured during data collection; these categories are shown in the bulleted list below.

Submission File Information contains metadata elements collected to properly identify and attribute submitted files.

Recipient Demographic Information identifies the recipient of the general payment or other transfers of value.

Associated Drug, Device, Biological, or Medical Supply Information identifies the drug, device, biological, or medical supply that is related to the payment or other transfers of value.

Payment or Other Transfers of Value Information specifies information regarding the general payment or other transfers of value.

General Record Information captures other general information about the payment or other transfers of value.

On the Resources page of the Open Payments website (<https://www.cms.gov/OpenPayments/Resources>), users can find and download the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Section 2.2: Research Payments Reporting and Data Collection

This section on research payments reporting and data collection provides information about data collection for entities required to report certain research-related payments and other transfers of value in the Open Payments system. Five categories of information related to [research payments](#) made by applicable manufacturers and applicable GPOs to covered recipient physicians, NPPs, and teaching hospitals are captured during data collection; these categories are shown in the bulleted list below.

Submission File Information contains metadata elements collected to properly identify and attribute submitted files.

Recipient Demographic Information identifies the recipient of the research payments or other transfers of value.

Associated Drug, Device, Biological or Medical Supply Information identifies the drug, device, biological, or medical supply that is related to the payment or other transfers of value.

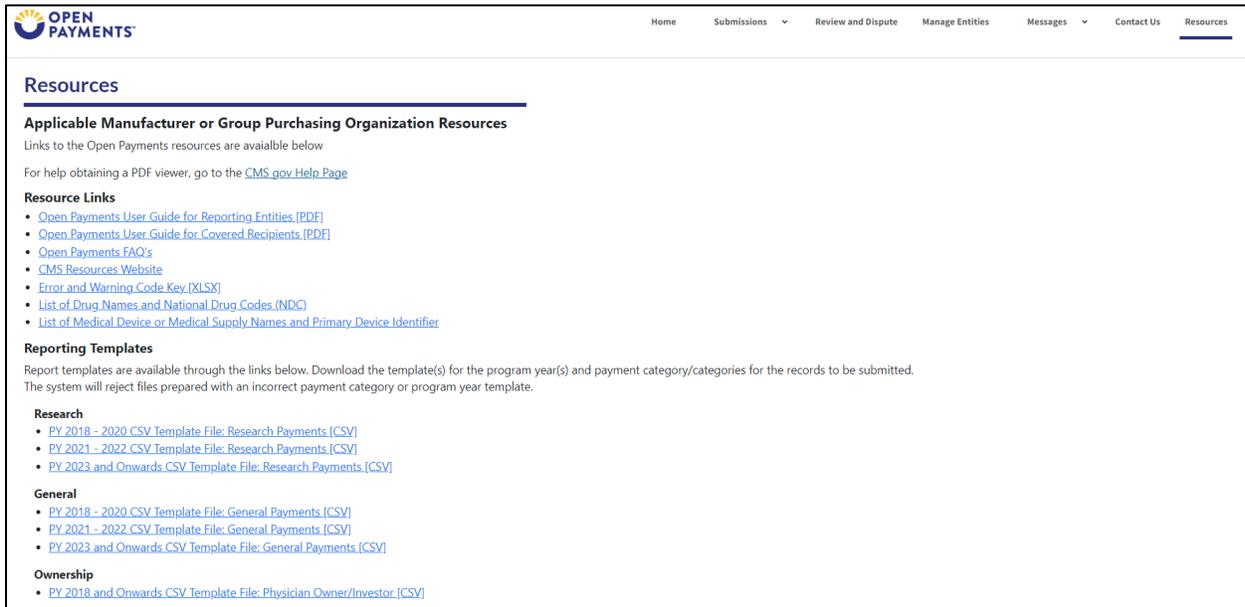
Payment or Other Transfers of Value Information specifies information regarding the research payment or other transfers of value.

Research-related Information captures specific information about payments or other transfers of value for research activities.

On the Resources page of the Open Payments website (<https://www.cms.gov/OpenPayments/Resources>), users can find the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Users who hold a submitter role can access submission templates within the Open Payments system on the “Resources” tab.

Figure 10: Open Payments System "Resources" Tab for Reporting Entities



Section 2.3: Physician Ownership/Investment Interests Reporting and Data Collection

This section on physician ownership/investment interests reporting and data collection provides information about data collection for reporting entities required to report physician ownership or investment interests in the Open Payments system.

Three categories of information related to ownership/investment interests held by physicians in applicable manufacturers and applicable GPOs are captured during data collection; these categories are shown in the bulleted list below.

Submission File Information contains metadata elements collected to properly identify and attribute submitted files.

Physician Demographic Information identifies the recipient of the ownership or investment interests.

Ownership or Investment Information captures information about the ownership or investment.

On the Resources page of the Open Payments website <https://www.cms.gov/OpenPayments/Resources>, users can find the CSV sample files that show how the data elements listed below will be reported to the Open Payments system.

Registration

Chapter 3: Physician, NPP, and Teaching Hospital Registration

This chapter provides information for physician, NPP, and teaching hospital (known collectively as “covered recipients”) registration in CMS’s Identity Management system (IDM) and creation of a profile in the Open Payments system. Both activities are required to obtain access to the Open Payments system. All covered recipients who wish to review data reported about them must complete both activities prior to accessing the system.

This chapter is divided into the following sections:

3.1: Two-Step Registration Process provides an overview of the registration process. Registration includes user registration in IDM, requesting a role in the Open Payments system, and user registration in the Open Payments system.

3.2: IDM Registration provides an overview of the first step in registration, how to register in IDM and request access to the Open Payments system.

3.3: Open Payments System Users and User Roles for Physicians, NPPs, and Teaching Hospitals provides walkthroughs of various scenarios for registering a physician, an NPP, or a teaching hospital in the Open Payments system.

3.4: Open Payments Covered Recipient Users and User Roles contains information on who is authorized to register in the Open Payments system and the user roles that may be filled by the Open Payments system users.

3.5: Nominations contains information on how to nominate other individuals for roles associated with physicians, NPPs, and teaching hospitals.

3.6: Updating Profile Information in the Open Payments System contains information on how physicians, NPPs, their authorized representatives, and users affiliated with teaching hospitals can update their profiles in the Open Payments system.

Section 3.1: Two-Step Registration Process

Covered recipients must register in the Open Payments system to view, review, and/or dispute data submitted about them. Registration for Open Payments is a two-step process. First, users must register in IDM to obtain IDM credentials and request further access to the Open Payments system. Once the user has obtained IDM credentials, he or she will be able to complete the registration process in the Open Payments system.

Physicians, NPPs, and teaching hospitals are not required to participate, but are encouraged to do so to view information reported about them prior to CMS’s publication of the data, as well as ensuring accuracy of the data.

Section 3.2: Identity Management (IDM) Registration and Open Payments Access

Identity Management (IDM) is an identity management and services system that (1) serves as an identity proofing tool to verify a person’s identity; and (2) provides you with access to various CMS applications. Registering in IDM provides you with log in credentials required for access to the Open Payments system. You will be able to set up your own log in credentials in the form of a user ID and password during the IDM registration process.

To begin the registration process, IDM can be accessed via the Open Payments system at <https://openpayments.system.cms.gov>. A list of IDM FAQs is available on the CMS Portal website at <https://portal.cms.gov/portal/help/digital/faqs?app=portal>. If you already have IDM credentials, you can move ahead to [section 3.3](#), Registering in the Open Payments System.

IDM will lock your user account if no activity is reported in the account for 60 or more days. When you log in after 60-days, the system will display the “Unlock my Account” view. To unlock the account, enter the user ID and correctly answer the challenge question. Then enter a new password in the input fields of “New Password” and “Confirm New Password”. If the account does not reactivate, contact the Open Payments Help Desk at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

IDM will automatically deprovision your account if you have not logged in for two years or more. IDM will send out a series of deprovision warning emails to the email address in your account prior to deprovisioning. Once deprovisioned, the IDM account cannot be reactivated again, and you will be required to set up a new IDM account using steps in Section 3.2b through 3.2d, followed by steps in Section 3.4.

NOTE FOR INDIVIDUALS WITH FOREIGN ADDRESSES: Individuals with addresses outside of the United States can enter their foreign address during registration. However, identity proofing may require a manual process for only those users creating a new reporting entity. Contact the Open Payments Help Desk at 1-855-326-8366 for questions regarding this manual proofing process.

3.2a: System Requirements

CMS screens are designed to be viewed at a minimum screen resolution of 1024 x 768. For optimal performance, screen resolution should be set to 1920 x 1080. The following additional considerations optimize access to the Open Payments system:

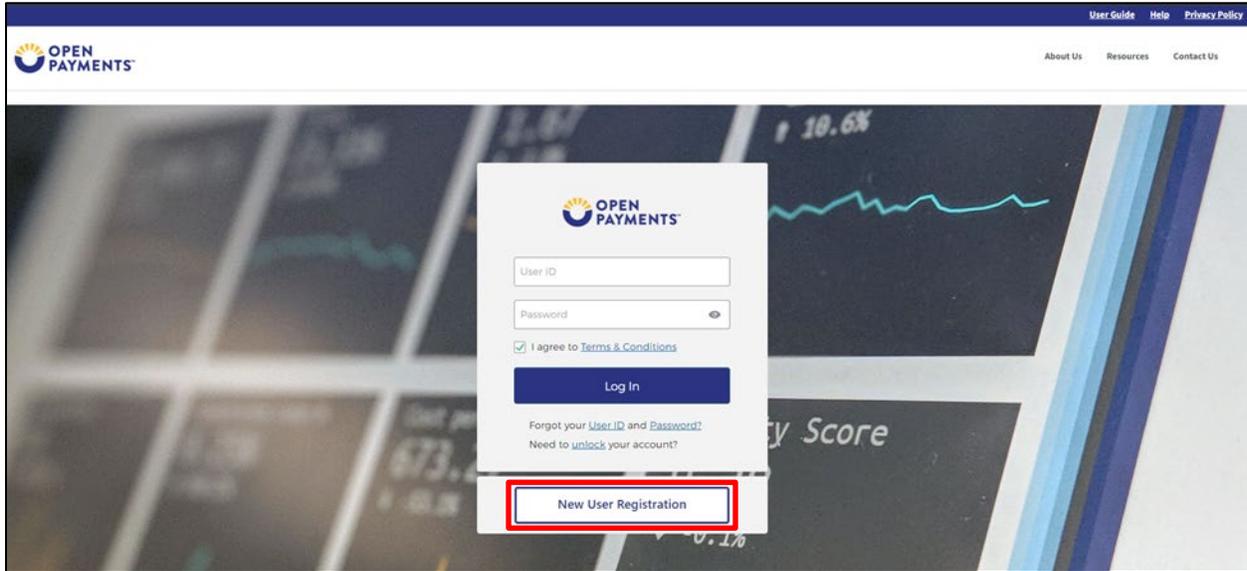
- Disable pop-up blockers prior to accessing CMS Enterprise Portal.
- Use one of the following browsers with JavaScript enabled:
 - Microsoft Edge
 - Chrome (recommended for optimal performance)

- Firefox
- Safari

3.2b: IDM New User Registration

Step 1: On the Open Payments system website, <https://openpayments.system.cms.gov>, and select **New User Registration** to continue. You will be sent to the CMS IDM portal to complete the process that includes IDM registration and requesting role in the Open Payments system.

Figure 11: Open Payments System Public Landing Page



Step 2: On the “Select Your Application” page, select “Open Payments” from the drop-down list and then select **Next** to continue.

Figure 12: Select Your Application

Step #1: Select Your Application ?

Step 1 of 3 - Select your application from the dropdown. You will then need to agree to the terms & conditions.

Select Your Application

- OneMAC
- OneMAC Micro
- Open Payments**
- PARHM Dashboard
- Payment Recovery Information System (PRIS) - RAC & RADV Appeals
- PCG-FHIR

Step 3: Accept the Terms and Conditions of the CMS Portal and then select **Next** to continue.

Figure 13 Select Your Application

Step #1: Select Your Application ?

Step 1 of 3 - Select your application from the dropdown. You will then need to agree to the terms & conditions.

Open Payments

Application Description : Open Payments is a national disclosure program that promotes a transparent and accountable health care system by making the financial relationships between applicable manufacturers and group purchasing organizations (GPOs) and health care providers available to the public. The Open Payments System (OPS) is used by applicable manufacturers and GPOs to report payments and other transfers of value made to covered recipients, as well as certain information regarding the ownership or investment interests held by physicians or physicians' immediate family members. OPS is also available for covered recipients and provides functionalities related to review and dispute of reported data. Covered recipients may use OPS for activities related to review and dispute. Covered Recipients include physicians, non-physician practitioners and teaching hospitals. For more information and full definitions of covered recipient types visit the Open Payments website at: www.cms.gov/OpenPayments.

Terms & Conditions

OMB No.0938-1236 | Expiration Date: 08/31/2025 | [Paperwork Reduction Act](#)

Consent to Monitoring

By logging onto this website, you consent to be monitored. Unauthorized attempts to upload information and/or change information on this web site are strictly prohibited and are subject to prosecution under the Computer Fraud and Abuse Act of 1986 and Title 18 U.S.C. Sec.1001 and 1030. We encourage you to read the [HHS Rules of Behavior](#).

[Protecting Your Privacy](#)

I agree to the Terms and Conditions

Next [Cancel](#)

Open Payments User Guide for Covered Recipients – July 2024

Two Terms and Conditions sections of particular importance to read are:

- Consent to monitoring; and
- Collection of Personal Identifiable Information (PII).

“Consent to monitoring” means that you agree to allow CMS to monitor your activity and actions performed through CMS applications while logged into the CMS Enterprise Portal and accessed through IDM.

“PII” is information that someone can use to uniquely identify, contact, or locate a single person, or use with other sources to uniquely identify a single individual. CMS applications collect personal information collected to use for the purpose of verifying your identity only.

Step 4: On the “Register Your Information” page, enter your personal user information. Be sure to enter all information in all required fields. Select **Next** when you have entered all the information. **Selecting “Cancel” causes you to lose all data entered.**

Data fields to be completed are the following:

- Name (First, Middle, Last, Suffix)
- Date of Birth (Month, Date, Year)
- Is Your Address US Based (Yes/No)
- Home Address, City, State, Zip Code
- Personal Email Address
- Confirm Email Address
- Personal Phone Number

Enter your legal name, current home address, primary personal phone number, and personal email address correctly.

Figure 14: Register Your Information Page

Step #2: Register Your Information

Step 2 of 3 - Please enter your personal and contact information.

All fields are required unless marked (optional).

Enter First Name Enter Middle Name (optional) Enter Last Name Suffix (optional) ▾

Select Birth Month ▾ Select Birth Date ▾ Select Birth Year ▾

Is Your Home Address U.S. Based?
 Yes No

Enter Home Address Line 1 Enter Home Address 2 (optional)

Enter City Select State ▾ Enter ZIP Code Enter ZIP+4 Code (optional)

Enter Email Address Confirm Email Address

Enter Phone Number

Back **Next** [Cancel](#)

Step 5: On the “Create User ID, Password & Security Question/Answer” page, create an IDM username and password in accordance with the requirements provided below. This User ID and password will be used to log in to the Open Payments system. You must select a security question and provide a security answer. Select **Next** when finished.

User IDs cannot be changed once your account is created. Passwords never expire, as long as you log in at least every 60 days.

Selecting Cancel causes you to lose all data entered.

Figure 15: Create User ID, Password & Security Question/Answer Page

Step #3: Create User ID, Password & Security Question/Answer

Step 3 of 3 - Please create User ID and Password. Select a Security Question and provide Answer.

All fields are required unless marked (optional).

Enter User ID

Enter Password  Confirm Password 

Security answer to be used in case you forget your password or you need to unlock your account.

Select Security Question 

Enter Security Answer

[Back](#) **Next** [Cancel](#)

- The IDM/Open Payments system User ID:
 - Must be between 6 - 74 characters and contain at least one letter;
 - Can contain alphanumeric characters;
 - Allowed special characters are limited to hyphens (-), underscores (_), apostrophes ('), and periods (.)
 - The @ symbol is allowed only if the User ID is in a valid email address format (j.doe@abc.edu or 123@abc.com)
 - Cannot contain eight (8) consecutive numbers;
 - Cannot begin or end with special characters;
 - Cannot contain more than one (1) consecutive special character;
 - User IDs are not case-sensitive.
- Password Requirements:
 - Password must be a minimum of 15 characters;
 - Password must contain: one (1) upper case and one (1) lower case letter, and one (1) number;

Open Payments User Guide for Covered Recipients – July 2024

- The following special characters may be used: " ! # \$ % & ' () * + , - . / \ : ; < = > ? @ [] ^ _ ` { | } ~
- Password cannot contain: Parts of User ID, First Name, Last Name, common passwords;
- Password can only be changed once every 24 hours;
- Password must be different from the last six (6) passwords;
- Password must not exceed 60 characters;
- Confirm password:
 - Confirm password;
 - Enter the same password;
- Select your security question:
 - Select a question from the drop-down list of questions;
- Security answer:
 - Can contain alphanumeric characters;
 - Can contain spaces;
 - Must be at least four (4) characters;
 - Cannot contain part of the security question;
 - Your security answer is required for you to reset your password or unlock your account

Open Payments User Guide for Covered Recipients – July 2024

Step 6: On the “New User Registration Summary” page, review the information and make any necessary changes before submitting. Select **Submit User** to submit the information.

Figure 16: New User Registration Summary Page

New User Registration Summary

Please review your information and make any necessary changes before submitting.

Open Payments

Application Description: Open Payments is a national disclosure program that promotes a transparent and accountable health care system by making the financial relationships between applicable manufacturers and group purchasing organizations (GPOs) and health care providers available to the public. The Open Payments System (OPS) is used by applicable manufacturers and GPOs to report payments and other transfers of value made to covered recipients, as well as certain information regarding the ownership or investment interests held by physicians or physicians' immediate family members. OPS is also available for covered recipients and provides functionalities related to review and dispute of reported data. Covered recipients may use OPS for activities related to review and dispute. Covered Recipients include physicians, non-physician practitioners and teaching hospitals. For more information and full definitions of covered recipient types visit the Open Payments website at: www.cms.gov/OpenPayments.

First Name Andy	Enter Middle Name (optional)	Last Name Smith	Suffix (optional)
Birth Month October	Birth Date 17	Birth Year 1990	
Home Address Line 1 3502 Stagecoach Lane		Enter Home Address 2 (optional)	
City Bogdon	State Texas	ZIP Code 78234	Enter ZIP+4 Code (optional)
Email Address sbliss@index-analytics.com		Confirm Email Address sbliss@index-analytics.com	
Phone Number 210-602-4955			

All fields are required unless marked (optional).

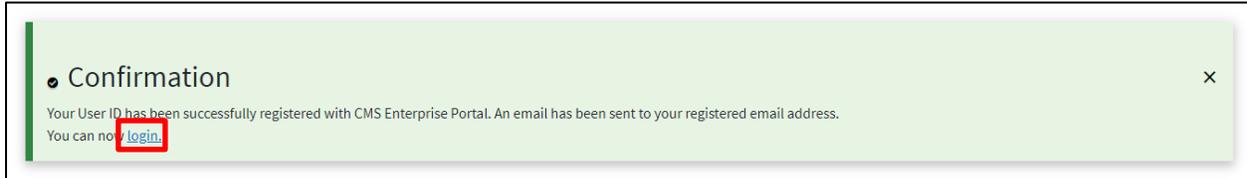
User ID ANDREW	
Enter Password *****	Confirm Password *****
Security Question What is the food you least liked as a child?	
Security Answer Beets	

Submit User [Cancel](#)

Open Payments User Guide for Covered Recipients – July 2024

Step 7: A “Confirmation” page displays acknowledging successful registration in IDM. Select the **Login** link in the green window to go to the portal log in page. The profile configuration can take up to 24 hours but is normally instant. If you are unable to login after 24 hours, call the Open Payments Help Desk at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

Figure 17: Confirmation Page

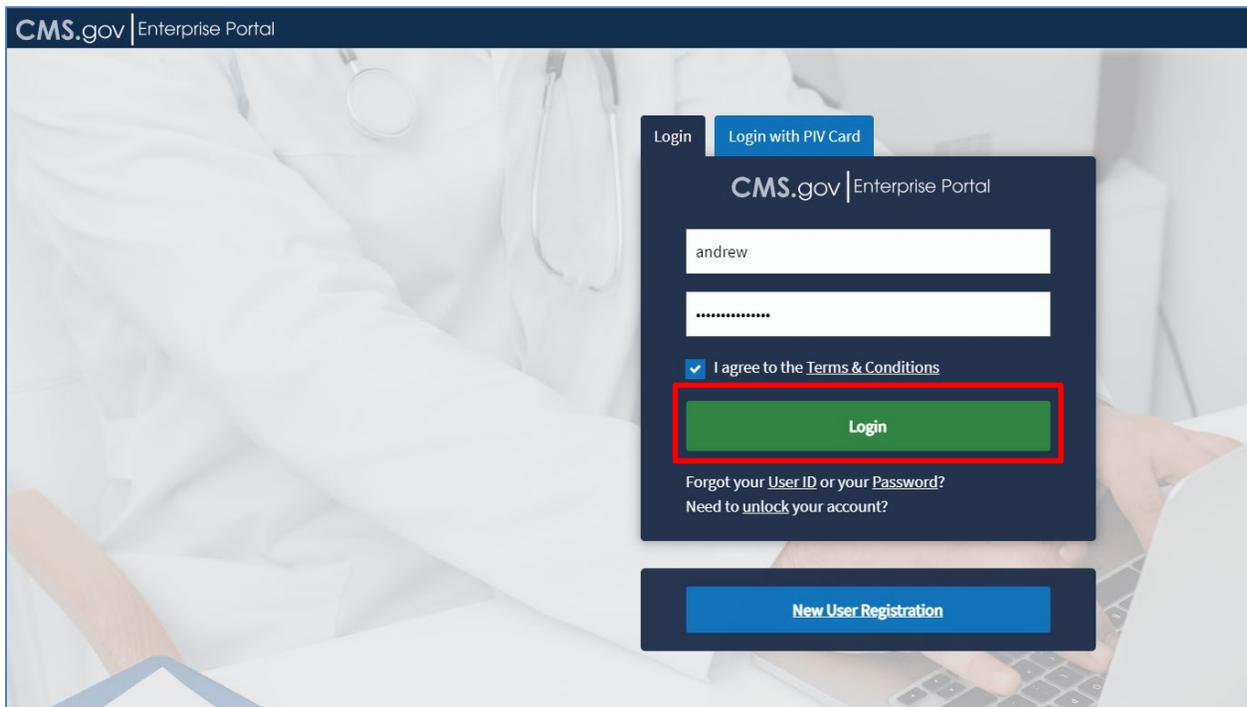


Step 8: Request access to the Open Payments system.

3.2c: Requesting Access to Open Payments with IDM Credentials

Step 1: After selecting **Login** in the last step in section 3.2b, you will return to the CMS Portal website. On the IDM Portal page (portal.cms.gov, in case you closed your browser after the last step), enter your User ID, Password, and agree to “Terms & Conditions” by selecting the checkbox next to “I agree to the Terms & Conditions” link. Select **Login** to log in.

Figure 18: CMS.gov Enterprise Portal Landing Page

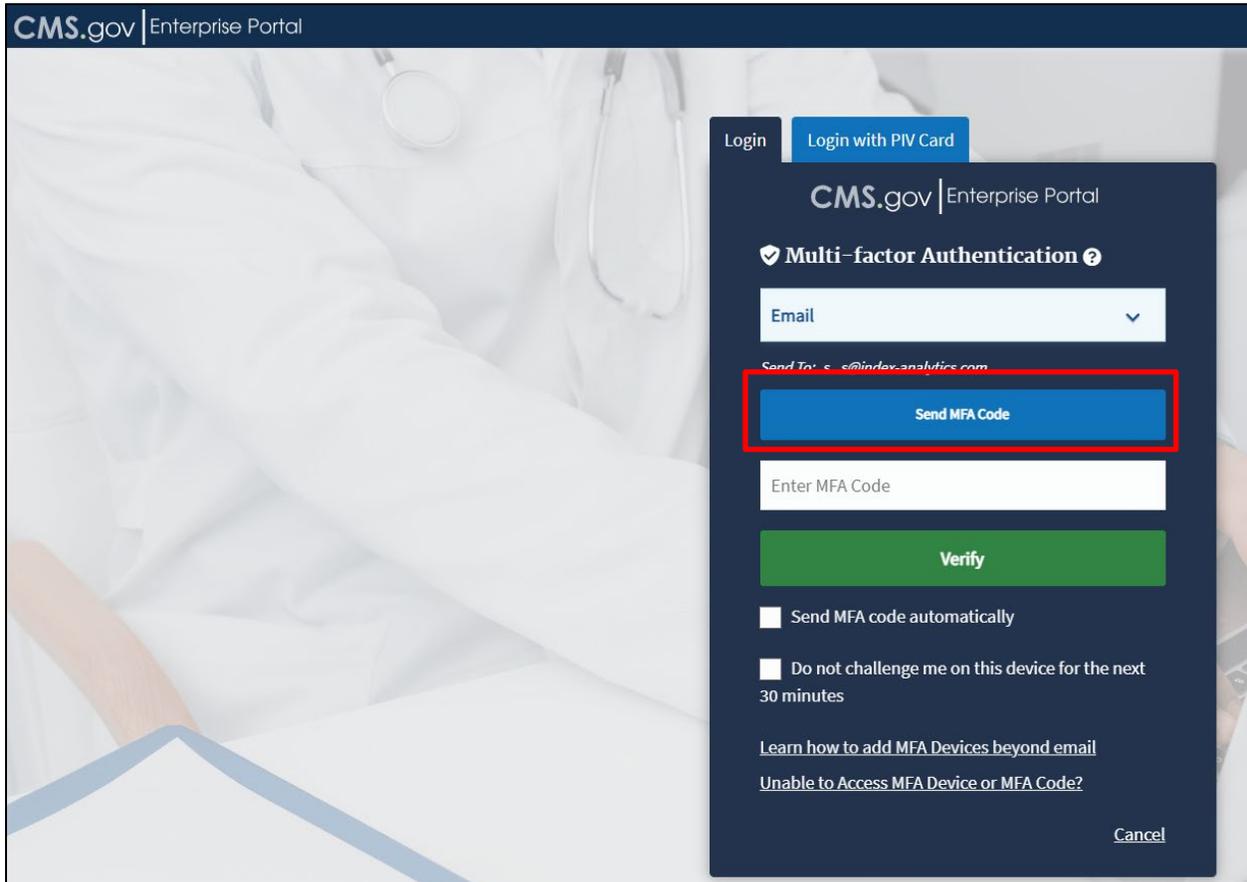


Open Payments User Guide for Covered Recipients – July 2024

You will receive a prompt to enter a Multi-Factor Authentication (MFA) device.

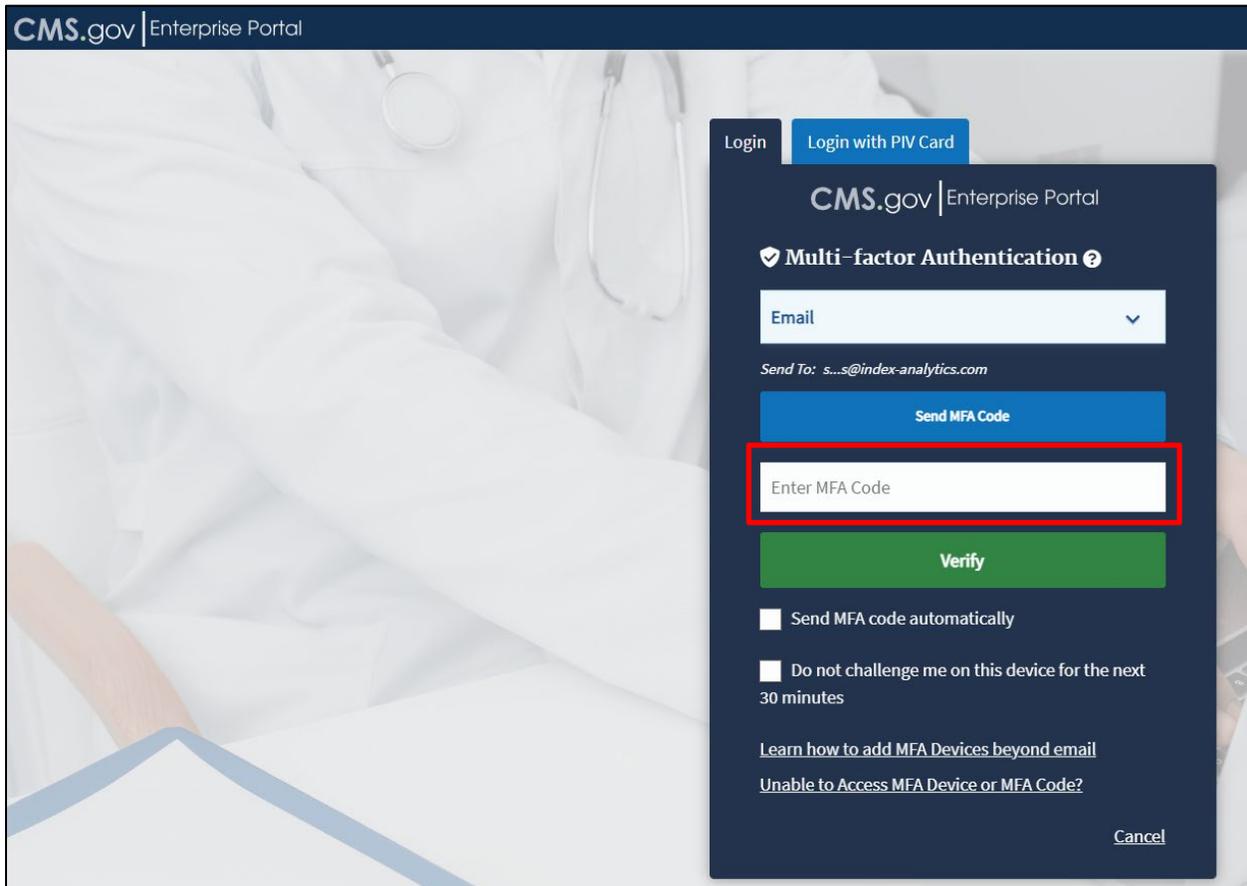
The personal email address you entered during registration will become the initial MFA device by default. The email MFA is required and cannot be deleted. You may, however, change the email for the MFA. To proceed, select **Send MFA Code** to receive the code in your email.

Figure 19: Displaying Email as the initial MFA device



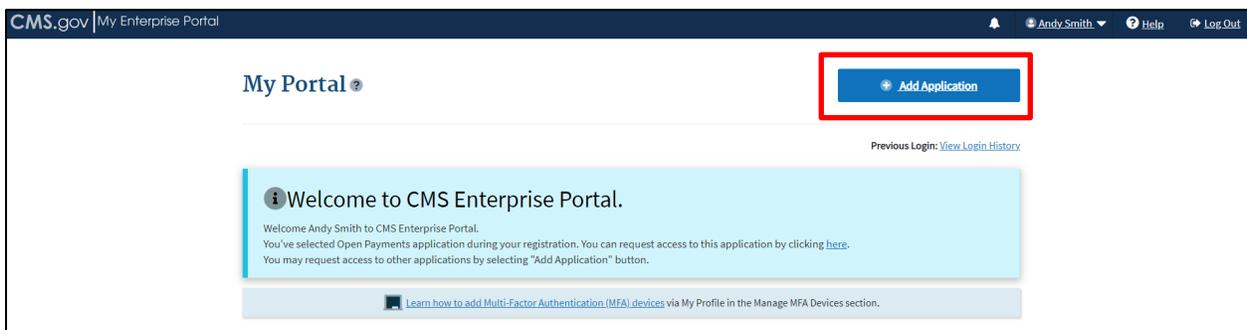
Next, enter the information MFA code you received, and select **Verify**.

Figure 20: Entering Device MFA Information



Step 2: You will land on the “My Portal” page. Select **Add Application**.

Figure 21: “My Portal” Page



Open Payments User Guide for Covered Recipients – July 2024

Step 3: Select “Open Payments” from the drop-down list and then select **Next**.

You are now asked to select a role. The role you select will determine how you later create your Open Payments system profile.

There are many roles to choose from, however only one of three may be selected by Open Payments reporting entity and covered recipient end users.

Figure 22: “Request Application Access” Page



The screenshot shows a web interface for selecting a role. At the top, there is a section titled "2 Select a Role" with a dropdown menu labeled "Select a Role". Below the dropdown, a list of roles is displayed. The role "Reporting Entity Officer or Teaching Hospital Authorized Official" is highlighted with a red rectangular box. Other roles visible in the list include "CMS Compliance", "Government Agents File Transfer", "Research File Transfer", "Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance", and "Open Payments Foreign User".

Reporting Entity Officer or Teaching Hospital Authorized Official Role:

You should select this role if you are a US user that would like to register a **NEW** reporting entity (applicable manufacturer or applicable group purchasing organization) or a **teaching hospital** that is not currently registered in the Open Payments system.

If you would like to request this role, go to Step 3a: Choosing the Reporting Entity Officer or Teaching Hospital Authorized Official Role: (below).

Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance Role:

You should select this role if you fall into one of the following scenarios:

- US and foreign (foreign users are those that do not have a US-based address and/or a US Social Security number) physicians, non-physician practitioners, and users nominated for a role by a covered recipient/registered entity.
- US and foreign (foreign users are those that do not have a US-based address and/or a US Social Security number) that have been nominated to associate with a teaching hospital that already exists in the Open Payments system.
- US and foreign (foreign users are those users that do not have a US-based address and/or a US Social Security number) that have been nominated (or are self-nominating) to a role in the Open Payments system.

Open Payments User Guide for Covered Recipients – July 2024

If you would like to request this role, go to Step 3b: Choosing the Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance Role: (below).

Open Payments Foreign User Role:

You should select this role if you are a foreign user (those users that do not have a US-based address and/or a US Social Security number) that falls into one of the following scenarios:

- You would like to create a NEW teaching hospital profile or have been nominated for a role in a teaching hospital or with a physician or NPP.

After selecting this role, users should wait for an email from the Open Payments Help Desk that will provide additional information.

If you would like to request this role, go to Step 3c: Choosing the Open Payments Foreign User Role: (below).

Step 3a: Choosing the Reporting Entity Officer or Teaching Hospital Authorized Official Role:

- 1) Select the Reporting Entity Officer or Teaching Hospital Authorized Official Role, and then select **Next**.

Figure 23: Request Application Access

Request Application Access ?

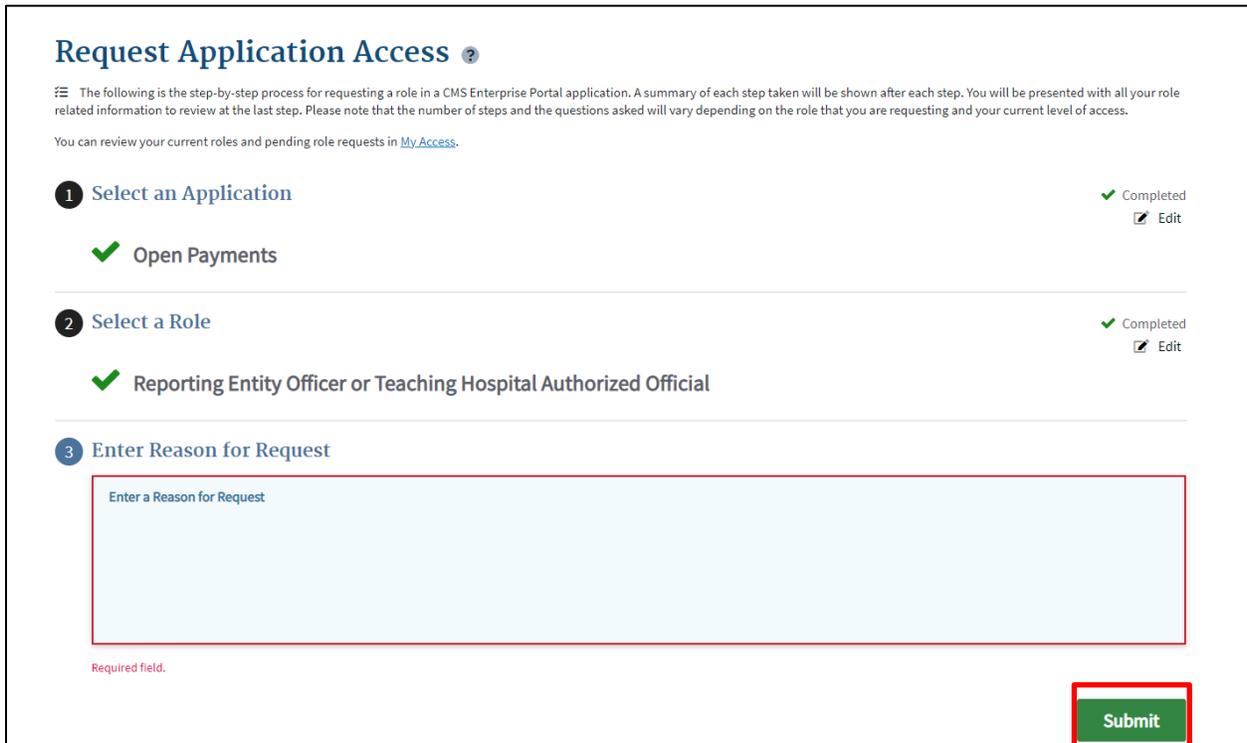
☰ The following is the step-by-step process for requesting a role in a CMS Enterprise Portal application. A summary of each step taken will be shown after each step. You will be presented with all your role related information to review at the last step. Please note that the number of steps and the questions asked will vary depending on the role that you are requesting and your current level of access.

You can review your current roles and pending role requests in [My Access](#).

- 1 Select an Application** ✔ Completed
✎ Edit
✔ Open Payments
- 2 Select a Role**
Role
Reporting Entity Officer or Teaching Hospital Authorized Official x ▾
Role Description: Select this role if you are an officer of an applicable manufacturer or GPO trying to report data, or an authorized official of a Teaching Hospital trying to access data that was reported about the teaching hospital. If you are an officer of an applicable manufacturer or GPO and based outside of United States, you need to request Open Payments foreign user role.
Next

- 2) Enter a brief statement about why you are requesting the role, then select **Submit**.

Figure 24: Reason for Request



Request Application Access ?

The following is the step-by-step process for requesting a role in a CMS Enterprise Portal application. A summary of each step taken will be shown after each step. You will be presented with all your role related information to review at the last step. Please note that the number of steps and the questions asked will vary depending on the role that you are requesting and your current level of access.

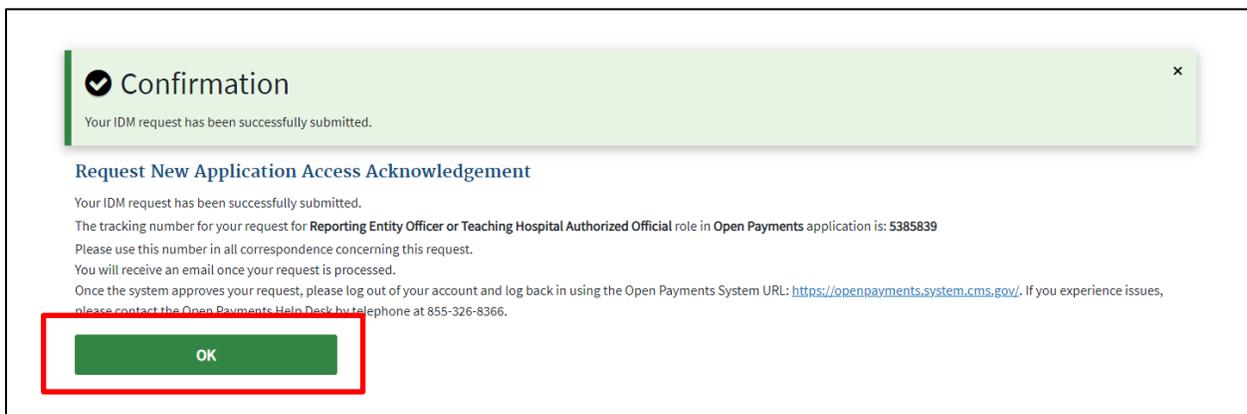
You can review your current roles and pending role requests in [My Access](#).

- 1 Select an Application** ✓ Completed
✎ Edit
✓ Open Payments
- 2 Select a Role** ✓ Completed
✎ Edit
✓ Reporting Entity Officer or Teaching Hospital Authorized Official
- 3 Enter Reason for Request**

Required field.
Submit

- 3) You will be shown a confirmation screen. Select **OK**.

Figure 25: Confirmation



Confirmation ×
Your IDM request has been successfully submitted.

Request New Application Access Acknowledgement
Your IDM request has been successfully submitted.
The tracking number for your request for **Reporting Entity Officer or Teaching Hospital Authorized Official** role in **Open Payments** application is: 5385839
Please use this number in all correspondence concerning this request.
You will receive an email once your request is processed.
Once the system approves your request, please log out of your account and log back in using the Open Payments System URL: <https://openpayments.system.cms.gov/>. If you experience issues, please contact the **Open Payments Help Desk** by telephone at 855-326-8366.

OK

- 4) After selecting **OK**, see [Section 3.3](#) to proceed with creating your Open Payments system profile.

Step 3b: Choosing the Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance Role:

- 1) Select the Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance role, and then select **Next**.

Figure 26: Request Application Access

The screenshot shows a web interface titled "Request Application Access" with a help icon. Below the title is a summary of the process and a link to "My Access". The interface is divided into three steps:

- Step 1: Select an Application** (Completed): Shows "Open Payments" with a green checkmark and an "Edit" button.
- Step 2: Select a Role**: A dropdown menu is open, showing the selected role: "Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance". Below the dropdown is a "Role Description" box with a blue header and text explaining the role. A blue "Next" button is highlighted with a red box.
- Step 3: Enter Reason for Request**: A text input field is present, with a "Cancel" button at the bottom right.

Enter a brief reason for requesting the role and then select **Submit**.

Figure 27: Reason for Request

Request Application Access ?

☰ The following is the step-by-step process for requesting a role in a CMS Enterprise Portal application. A summary of each step taken will be shown after each step. You will be presented with all your role related information to review at the last step. Please note that the number of steps and the questions asked will vary depending on the role that you are requesting and your current level of access.

You can review your current roles and pending role requests in [My Access](#).

- 1 Select an Application** ✓ Completed
✎ Edit
✓ Open Payments
- 2 Select a Role** ✓ Completed
✎ Edit
✓ Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance
- 3 Enter Reason for Request**

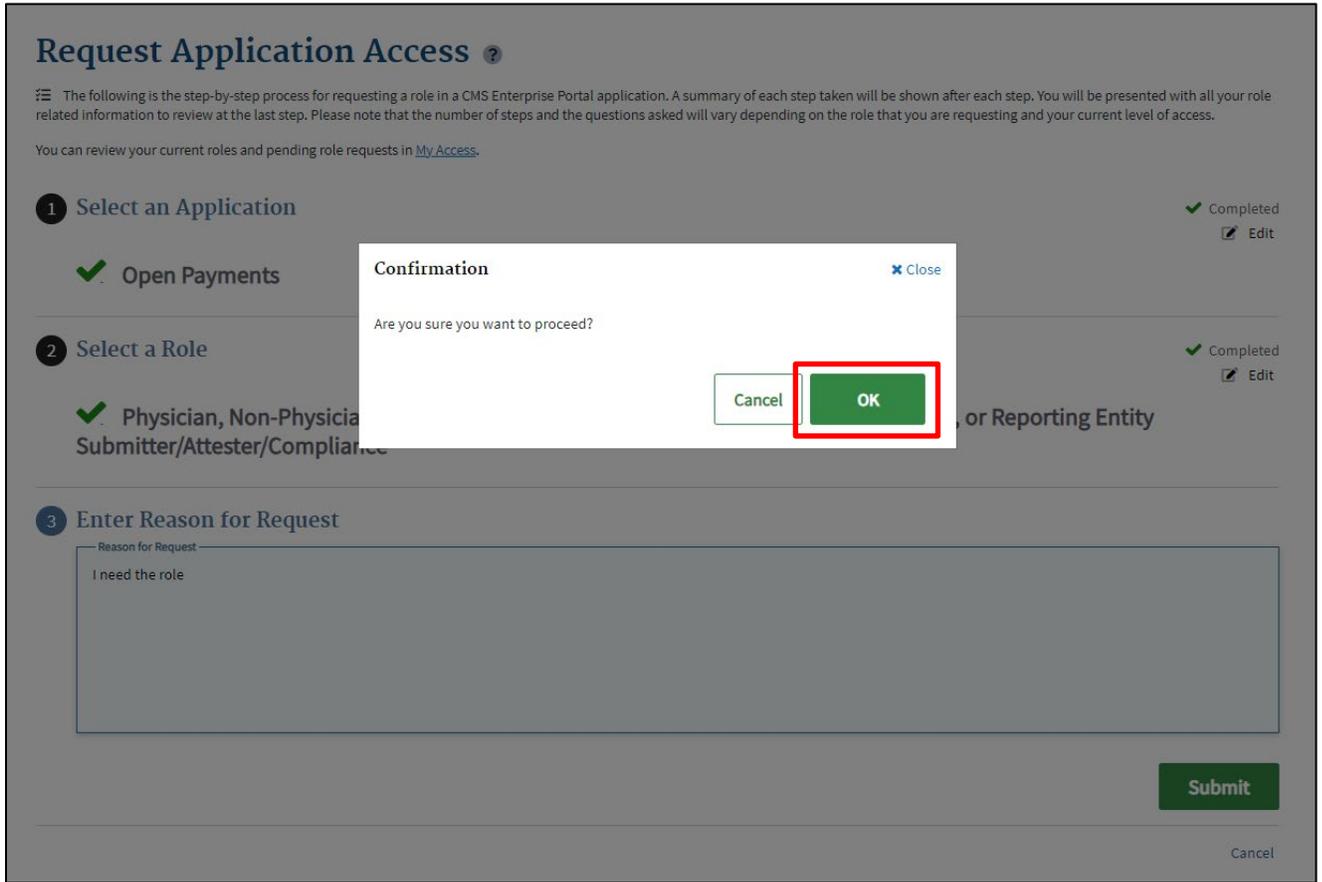
Enter a Reason for Request

Required field.

Submit
Cancel

2) You will be shown a confirmation screen. Select **OK**.

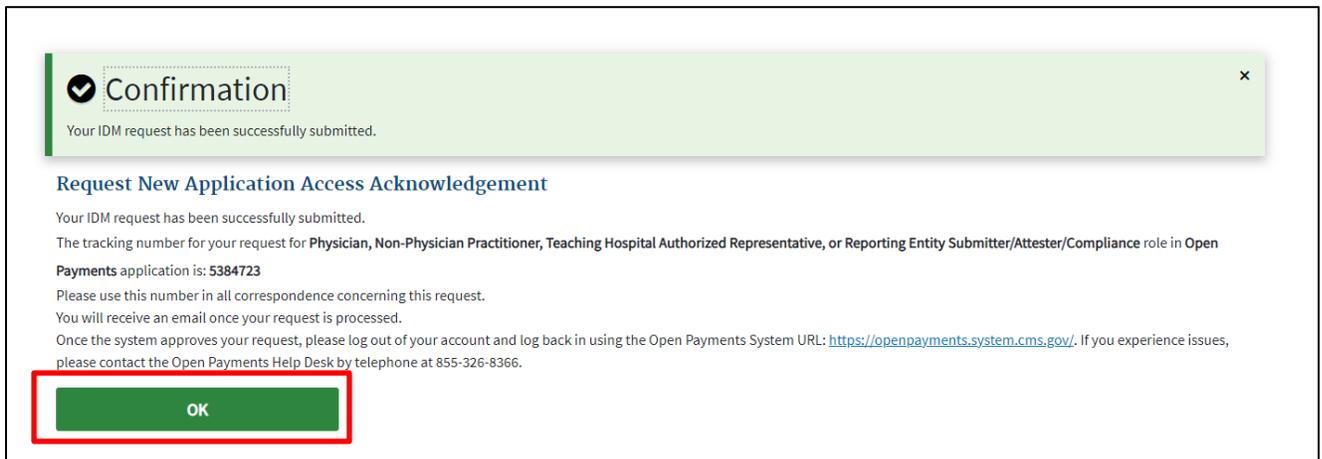
Figure 28: Confirmation



3) You will see confirmation that you requested this role. Select **OK**.

You may now log out of the CMS Portal website and proceed to the Open Payments system website at <https://openpayments.system.cms.gov> and create your covered recipient profile.

Figure 29: Second Confirmation



Step 3c: Choosing the Open Payments Foreign User Role:

- 1) Select the Open Payments Foreign User Role and then select **Next**.

Figure 30: Request Application Access

Request Application Access ?

☰ The following is the step-by-step process for requesting a role in a CMS Enterprise Portal application. A summary of each step taken will be shown after each step. You will be presented with all your role related information to review at the last step. Please note that the number of steps and the questions asked will vary depending on the role that you are requesting and your current level of access.

You can review your current roles and pending role requests in [My Access](#).

- 1 Select an Application** ✔ Completed
✎ Edit
✔ **Open Payments**
- 2 Select a Role**
Role
Open Payments Foreign User x v
Role Description: Select this role if you are an officer of a reporting entity and your permanent address is outside of the United States and/or you do not have a social security number. Once you request the role, Open Payments Helpdesk will reach out to you.
Next
- 3 Enter Reason for Request**
Cancel

- 2) Enter a brief justification for requesting this role, and then select **Submit**.

Figure 31: Reason for Request

Request Application Access ?

The following is the step-by-step process for requesting a role in a CMS Enterprise Portal application. A summary of each step taken will be shown after each step. You will be presented with all your role related information to review at the last step. Please note that the number of steps and the questions asked will vary depending on the role that you are requesting and your current level of access.

You can review your current roles and pending role requests in [My Access](#).

- 1 Select an Application** ✓ Completed
✎ Edit
 - ✓ Open Payments
- 2 Select a Role** ✓ Completed
✎ Edit
 - ✓ Open Payments Foreign User
- 3 Enter Reason for Request**

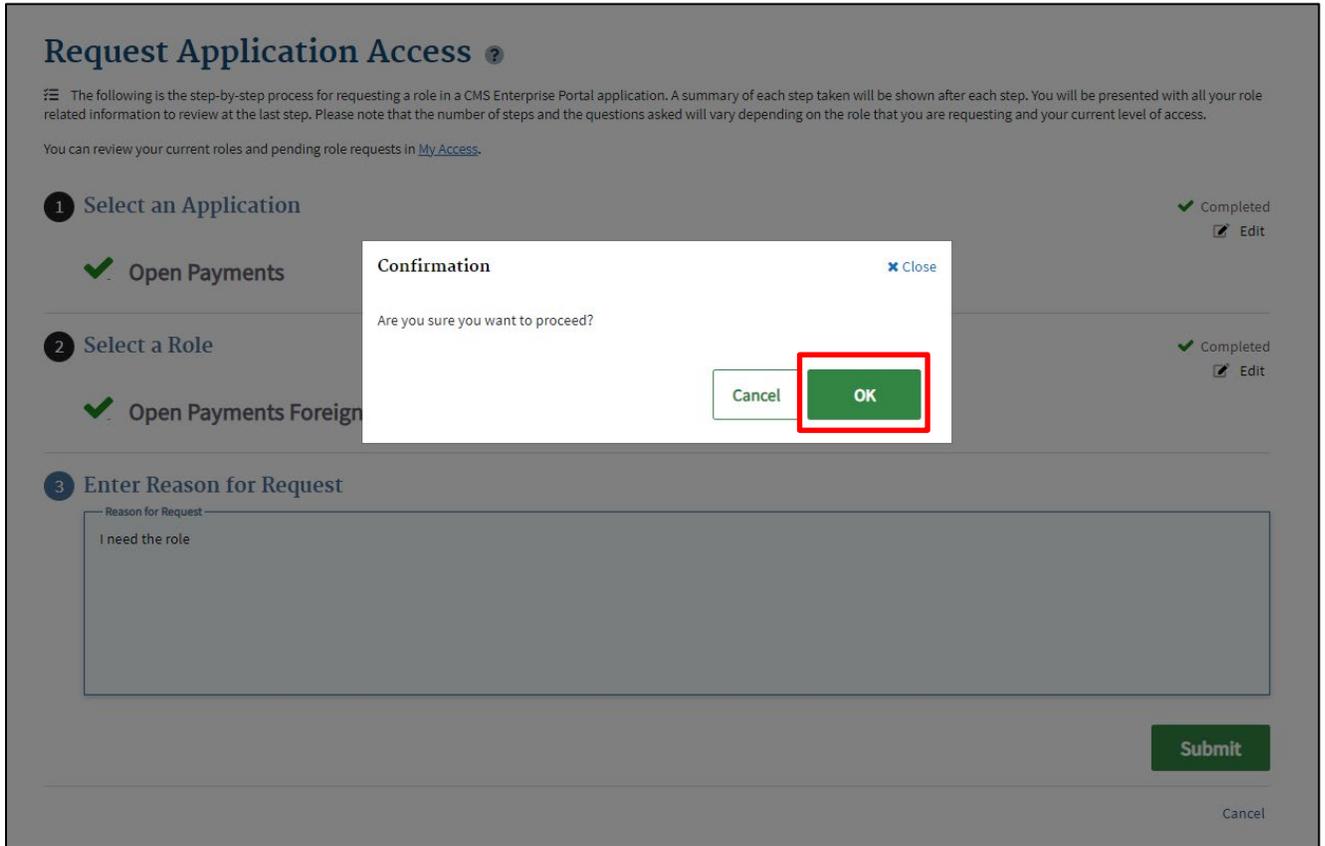
Enter a Reason for Request

Required field.

Submit
Cancel

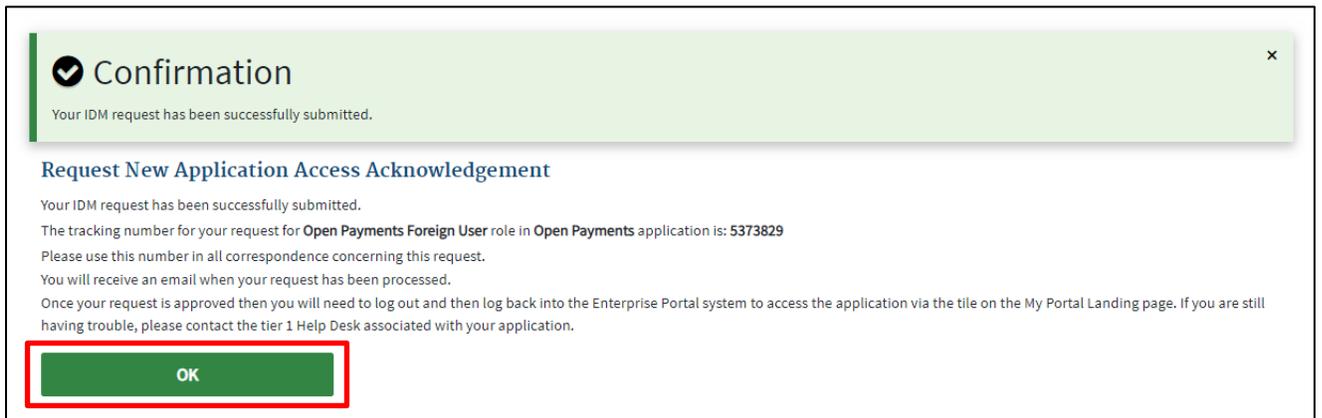
3) You will be shown a confirmation screen. Select **OK**.

Figure 32: Confirmation



4) You will see confirmation that you requested this role. You will receive an email from the Open Payments Help Desk in one to two business days providing you with your next steps.

Figure 33: Second Confirmation



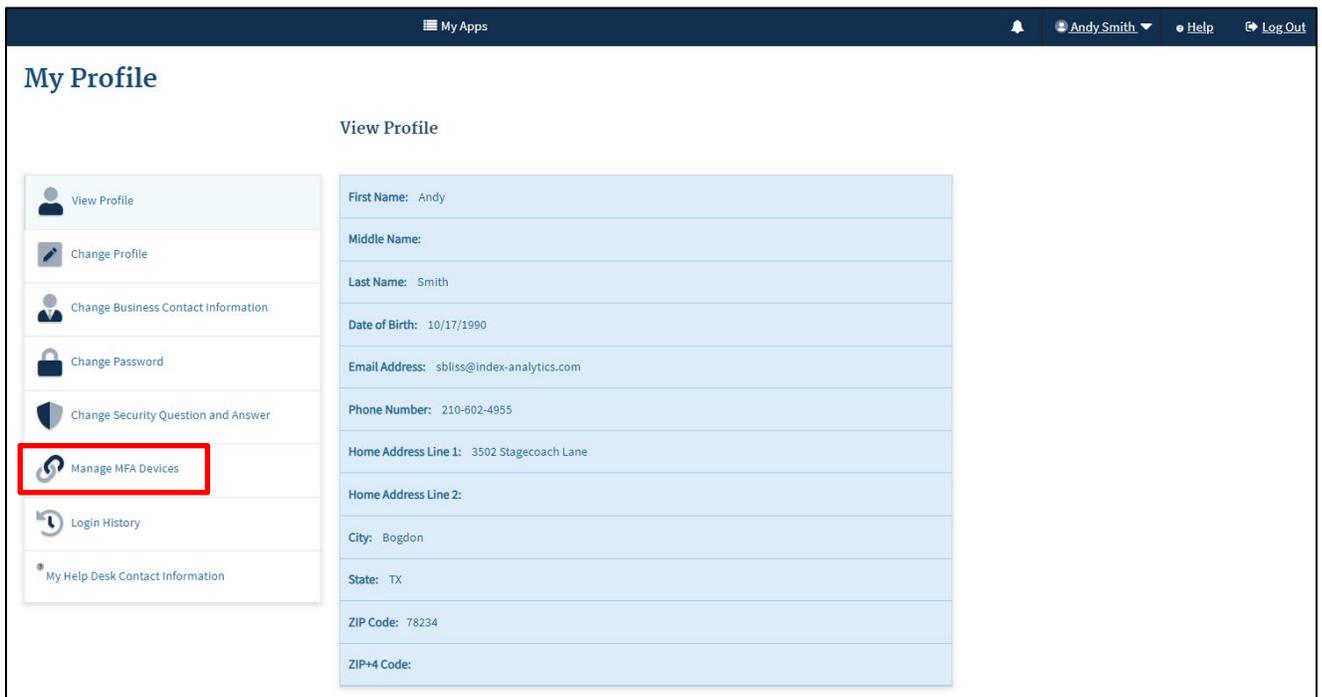
3.2d: Adding additional Multi-Factor Authentication (MFA) Devices

Multi-Factor Authentication (MFA) provides a second layer of security to your account. You should already have Email registered as your first MFA. Again, Email is a required MFA, and cannot be removed.

IDM suggests having more than one MFA registered to your account as a backup. To add additional MFAs:

Step 1: Navigate to portal.cms.gov, select My Profile in the dropdown below your name, and then select **Manage MFA Devices**.

Figure 34: My Profile



Step 2: Select **Register a Device**.

Figure 35: Manage MFA Device Register a Device Page

The screenshot displays the 'My Profile' page with a sidebar on the left and a main content area. The sidebar contains several menu items: 'View Profile', 'Change Profile', 'Change Business Contact Information', 'Change Password', 'Change Security Question and Answer', 'Manage MFA Devices' (highlighted), 'Login History', and 'My Help Desk Contact Information'. The main content area is titled 'Manage Multi-Factor Authentication (MFA) Devices' and features a table with the following data:

Device Type	Identifier	Status	Actions
Email	sbliss@index-analytics.com	Active	Edit

Below the table, a green button labeled 'Register a device' is highlighted with a red rectangular border.

Step 3: In the dropdown menu, select the new MFA device you wish to register.

Figure 36: Register MFA Devices

The screenshot shows the 'My Profile' page with a sidebar on the left containing navigation options: View Profile, Change Profile, Change Business Contact Information, Change Password, Change Security Question and Answer, Manage MFA Devices (highlighted), Login History, and My Help Desk Contact Information. The main content area is titled 'Manage Multi-Factor Authentication (MFA) Devices' and features a table with one row: Device Type (Email), Identifier (sbliss@index-analytics.com), Status (Active), and Actions (Edit button). Below the table is a section titled 'Register Multi-Factor Authentication (MFA) Device' with explanatory text and a dropdown menu labeled 'Select MFA Device' which is highlighted with a red box.

My Profile

Manage Multi-Factor Authentication (MFA) Devices

Device Type	Identifier	Status	Actions
Email	sbliss@index-analytics.com	Active	Edit

Register Multi-Factor Authentication (MFA) Device

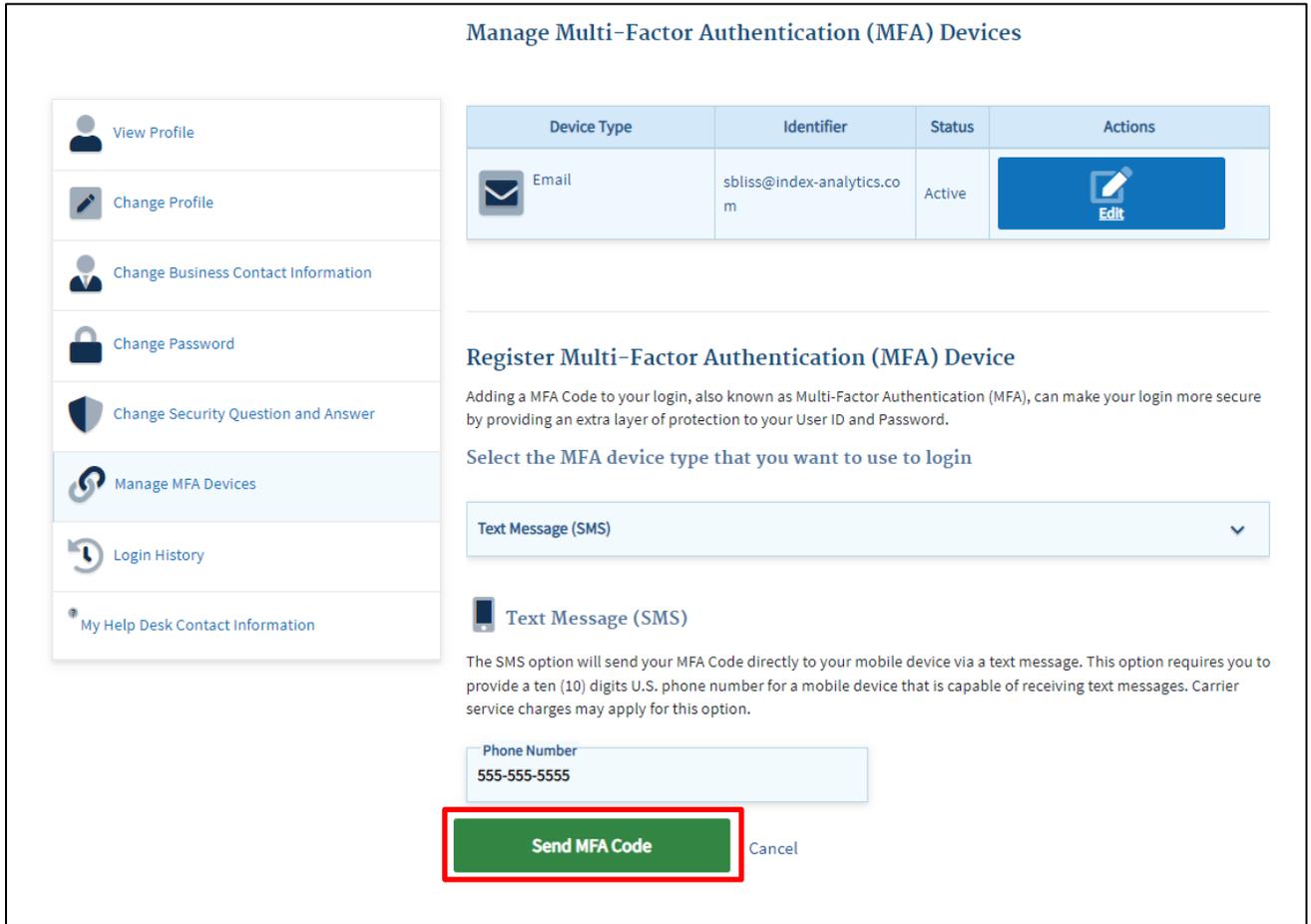
Adding a MFA Code to your login, also known as Multi-Factor Authentication (MFA), can make your login more secure by providing an extra layer of protection to your User ID and Password.

Select the MFA device type that you want to use to login

Select MFA Device

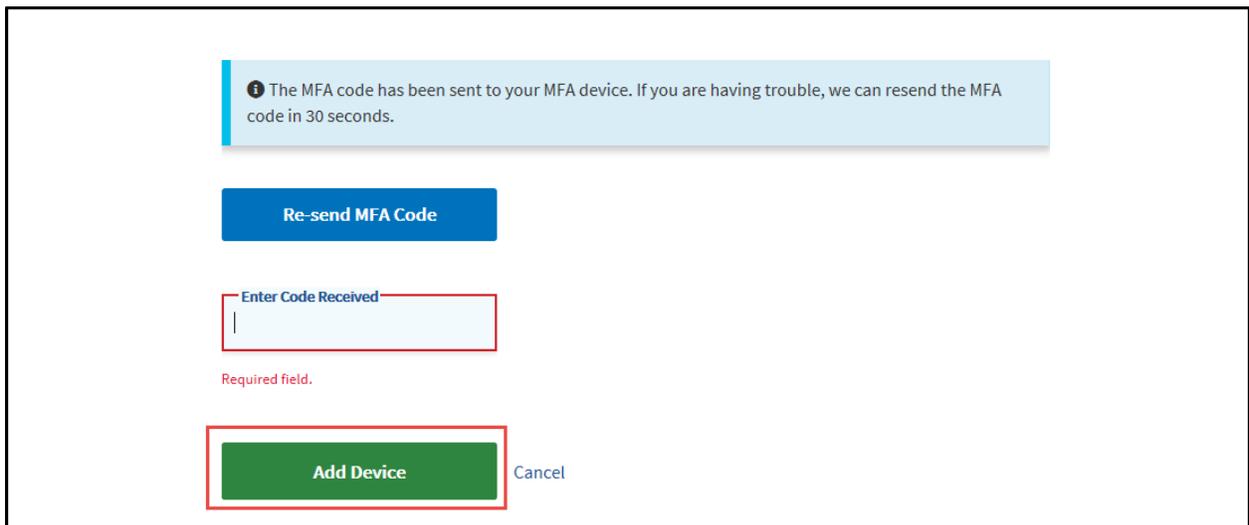
Step 4: Depending on the device you choose to register, you will be asked to provide information about that device. For example, if you choose “Text Message”, you will be asked to provide a phone number.

Figure 37: Send MFA Code



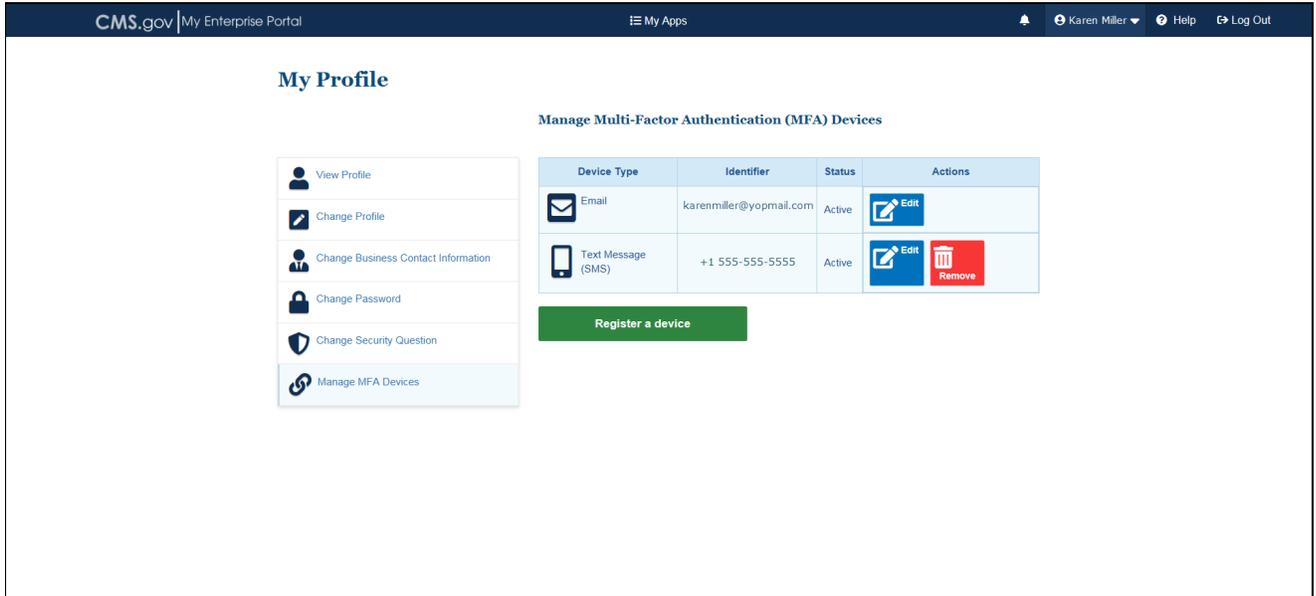
Step 5: Enter the code sent to your device and select **Add Device** to successfully add your MFA Device.

Figure 38: Enter MFA Device Code



Step 6: You have successfully added an MFA device to your IDM account.

Figure 39: Manage MFA Devices Page



Section 3.3: Open Payments System Users and User Roles for Physicians, NPPs, and Teaching Hospitals

A physician and NPP must create a profile for him or herself in the Open Payments system and undergo a vetting process to be fully registered in the Open Payments system. See Section 3.3c for more information on physician vetting and section 3.3f for more information on NPP vetting. The physician and NPP profile process has five steps, as shown in **Figure 40** below. Details on these steps are included later in this chapter.

Figure 40: Physician and NPP Registration Process



Figures 41, 42, and 43 detail the fields which are required and optional when creating your physician or NPP user profile. It is important to have this information on hand prior to beginning the registration process.

Figure 41: Required and Optional Fields for Physician Profiles

Fields	Required or Optional?
Practice Name	Optional
Practice Business Address	Required
Covered Recipient Type	Required
Physician Primary Type	Required
National Provider Identifier (NPI)**	Optional (If you have an NPI, adding your NPI is required)
Drug Enforcement Administration (DEA) Number**	Optional
Taxonomy Code (at least 1)	Required
License State (at least 1)	Required
License Number (at least 1)	Required

Figure 42: Required and Optional Fields for NPP Profiles

Fields	Required or Optional?
Practice Name	Optional
Practice Business Address	Required
Covered Recipient Type	Required
Non-Physician Practitioner Primary Type	Required
National Provider Identifier (NPI)**	If you have an NPI, adding your NPI is required
Drug Enforcement Administration (DEA) Number**	Optional
Taxonomy Code (at least 1)	Required
License State (at least 1)	Required
License Number (at least 1)	Required

**The National Provider Identifier (NPI) must be entered if you have one. If you do not have an NPI, you can still proceed with registration.

Profile information submitted to Open Payments is associated with physician and NPP license numbers and NPI for the purposes of vetting. Therefore, when registering in the Open Payments system, physicians and NPPs must enter at least one of the state license numbers they hold, as well as their NPI number (if they have one).

Figure 43: Required and Optional Fields for Individual User Profiles

Fields	Required or Optional
First Name	Required
Middle Name	Optional

Open Payments User Guide for Covered Recipients – July 2024

Fields	Required or Optional
Last Name	Required
Suffix	Optional
Job Title (for physicians and NPPs)	Optional
Job Title (for authorized representative)	Required
Business Address	Required
Business Phone Number	Required
Business Email Address	Required

Teaching hospitals are pre-populated into the Open Payments system based on the Open Payments Teaching Hospital List, which is maintained by CMS and updated annually. Teaching hospitals do not need to be vetted after profile creation and are approved automatically in the Open Payments system with a status of “vetted.” CMS makes the current and past Teaching Hospital Lists available on the Resources page of the CMS Open Payments website at <https://www.cms.gov/OpenPayments/Resources>. Teaching hospitals not on the lists will not have payments or other transfers of value reported.

Teaching hospitals must register using the hospital’s “Doing Business As” name rather than its legal name. In the Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.” The address should be the “NPPES Business Address.” For directions on registering a teaching hospital for a prior program year, see Section 3.3i

Teaching hospital registration has five steps, as shown in **Figure 44** below. Details on these steps are included later in this chapter.

Figure 44: Teaching Hospital Registration Process



Figures 45 and 46 detail which fields are required and which fields are optional when creating teaching hospital and user profiles. It is important to have this information on hand prior to beginning the registration process.

Figure 45: Required and Optional Fields for Teaching Hospital Profiles

Fields	Required or Optional?
State Where Teaching Hospital is Located	Required
Teaching Hospital Doing Business As Name	Required

Fields	Required or Optional?
Teaching Hospital Business Address	Required
Taxpayer Identification Number (TIN)	Required

Figure 46: Required and Optional Fields for Individual User Profiles

Fields	Required or Optional?
First Name	Required
Middle Name	Optional
Last Name	Required
Suffix	Optional
Job Title	Required
Business Address	Required
Business Phone Number	Required
Business Email Address	Required
City Name	Required
State	Required
Zip Code	Required

The entire registration process takes approximately 30 minutes and must be completed in a single session. You cannot save entries or complete the profile later. You will be able to edit, manage, or update a profile once it is created.

The system times out after 30 minutes of inactivity and it does not have an auto-save feature. If the system times out, your updates will not be saved.

Important notes on Open Payments physician, NPP, and teaching hospital registration:

- If your teaching hospital was registered in a previous year, it does not need to be registered again.
- Registration must be completed in one session.
- Physicians and NPPs, if you do not know your 10-digit NPI number, you can find it on the NPI Registry, located at <https://npiregistry.cms.hhs.gov/>.
- If you cannot find your DEA number, contact the DEA Office of Diversion Control. Their website is <https://www.dea diversion.usdoj.gov>.

3.3a: Creating an Open Payments Profile as a Physician: First Time System Users

If you are registering as a physician and are a first-time user in the Open Payments system, follow the steps below.

If you are a physician or NPP who works in a teaching hospital and wish to see data related to you in your capacity as a physician or NPP, select the “Physician or Non-Physician Practitioner” option when registering. If you are a physician or NPP that works in a teaching hospital and wish to represent that teaching hospital as an authorized official or authorized representative, follow the instructions in [Section 3.3g](#) for registering a teaching hospital.

If you select the “Teaching Hospital” option, you will only be able to view payments made to the teaching hospital you are associating yourself with. If you wish to see data related to you as a physician or NPP, create a second profile and select the “Physician or Non-Physician Practitioner” option. You may be registered for both options and can alternate between physician and teaching hospital profiles using the “Switch User” functionality described in Section 3.3j.

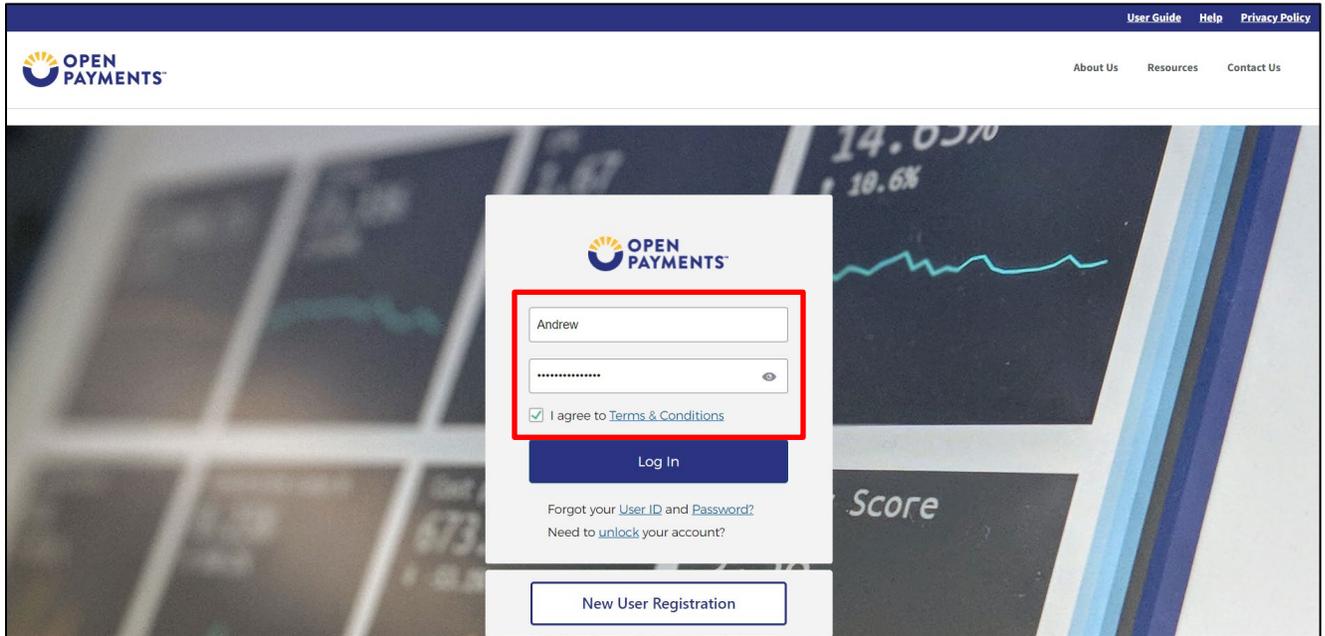
For this scenario, the user previously registered an account in IDM, and requested the Open Payments role of “Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance”, and will be registering a profile as a physician in the Open Payments system.

If you have not yet registered in IDM and requested access to the Open Payments system, please refer to the steps outlined in section 3.2b, above.

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions.

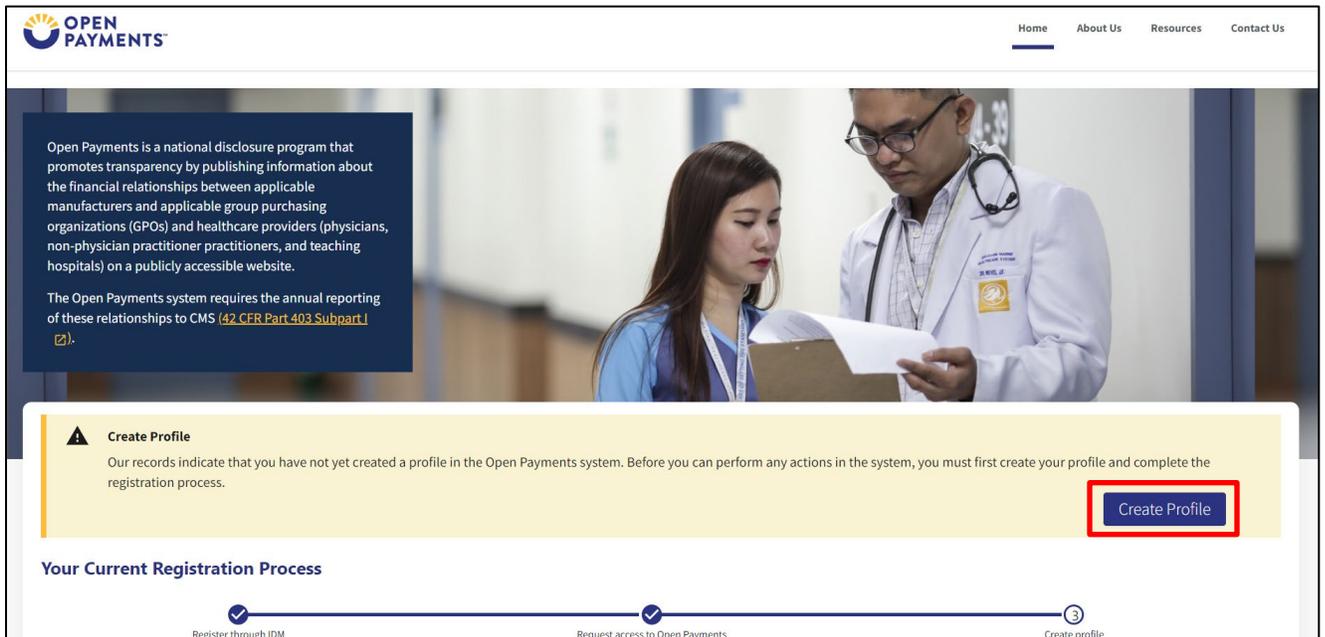
You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

Figure 47: Open Payments System Log In Page



The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 48: Open Payments Home Page for First-Time System Users



Step 2: The on-screen text contains important information regarding creating your physician profile. Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 49: Create My Profile Page

An official website of the United States government [Here's how you know](#) ▾

User Guide Help Privacy Policy CMS Tester

OPEN PAYMENTS™

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Create Profile

- 1 Select Profile Type
- 2 Register Entity
- 3 Personal Information
- 4 Review and Submit Profile

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role: or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician must be nominated by the physician or non-physician.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \[PDF\]](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select **"Start Profile."**

Back Start profile

Step 3: Select the profile type **Physician or Non-Physician Practitioner** and select the **Continue** button.

Figure 50: Select Profile Type Page

The screenshot shows the 'Select Profile Type' page. On the left is a sidebar with four steps: 1. Select Profile Type, 2. Register Entity, 3. Personal Information, and 4. Review and Submit Profile. The main content area is titled 'Select a Profile Type'. It includes a note about required fields and instructions for users with and without nomination IDs. A required field section contains four radio button options: 'I have a Nomination ID and/or a Registration ID', 'Applicable Manufacturer or applicable Group Purchasing Organization (GPO)', 'Physician or Non-Physician Practitioner' (selected and highlighted with a red box), and 'Teaching hospital'. At the bottom right, there are 'Cancel' and 'Continue' buttons, with the 'Continue' button also highlighted with a red box.

Step 4: Enter your personal and business information. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all the required fields have been entered. You may register with foreign address by selecting “Country” field drop down value other than “United States”.

The first name and last name fields are prepopulated from your CMS Identity Management System (IDM) profile. You may edit these fields as needed. Changing this information in Open Payments will not change the corresponding information in your IDM profile.

If you are registered with the National Plan & Provider Enumeration System (NPPES) or the Provider Enrollment, Chain and Ownership System (PECOS), the information you enter here must match the information in those systems for successful profile vetting. It is also helpful to ensure that you update your information on those websites as needed.

Once a physician or non-physician profile has been successfully matched using CMS data sources (also known as “vetted”), the fields prepopulated by IDM data will no longer be editable. If you need to modify these fields after they have become non-editable, contact the Open Payments Help Desk openpayments@cms.hhs.gov for assistance.

When done, select the **Continue** button.

Selecting the “Cancel” button will cause you to lose all data entered.

Figure 51: Covered Recipient Personal Information Page

Basic Information

First Name * Last Name *

Middle Name Suffix (Jr., Sr., etc.)

Business Information

Practice Name

Email Address *

Country *

Practice Business Address, Line 1 *

Practice Business Address, Line 2

City * State *

Zip Code * Zip Extension Business Telephone Number *

Step 5: Enter your covered recipient details. All required fields will be marked with an asterisk (*).

The National Provider Identifier (NPI) and Drug Enforcement Administration (DEA) Number fields are not marked as required and must be entered if you have them. If you do not have these identifiers, you may proceed with registration.

- The ZIP Code you enter is validated to ensure it is a valid United States ZIP code and that it is within the “State” entered.
- If you have a National Provider Identifier (NPI), enter it exactly as listed in NPPES for the current calendar year.
- Enter at least one of your active state licenses. You may enter up to 50 licenses.

Taxonomy codes not on the taxonomy code list should not be entered. You may refer to the taxonomy code list on the Resources page of the Open Payments website (<https://www.cms.gov/priorities/key-initiatives/open-payments/resources/reporting-entities>) As you begin entering information into the

Open Payments User Guide for Covered Recipients – July 2024

Taxonomy Code field, the system will provide a list of codes to help guide your entry. If your taxonomy code is not available, select the code that most closely represents your specialty. Select **Add** after entering each taxonomy code to add it to your profile.

To enter license information, select the state in which the license is held and enter the license number. Select the **Add** button to add the license. The license number field may contain up to 25 characters, including special characters such as hyphens (-). You are required to enter at least one license. If you input data into the field and select the **Continue** button before selecting the **Add** button, the system will provide an error message.

If you have licenses in more than one state, enter in the first state and license number and then select **Add**. Repeat until you have added any additional licenses as you deem necessary.. You can enter up to 52 licenses.

When you are finished, select the **Continue** button.

Figure 52: Covered Recipient Details Page

Covered Recipient Details

Enter the required covered recipient information below.

You must provide your National Provider Identifier (NPI) if you have one.

Covered Recipient Identification

Covered Recipient Type *
Physician

Covered Recipient Primary Type *
Doctor of Optometry

National Provider Identification (NPI)
(This is a 10-digit number that is required if you (the covered recipient) have an NPI)
1558507830

Drug Enforcement Administration (DEA) Number:
This is a 9-character alphanumeric ID
XXXXXXXXXX

Taxonomy Codes

Covered Recipient Taxonomy Code is a lookup field. Start typing a taxonomy code and the system will display a list of taxonomy codes that begin with the characters you have entered. Select the most accurate code and select the "Add" button. This will save the entered code and allow you to enter another taxonomy code if necessary. Repeat until all your taxonomy codes are entered. You may enter up to six taxonomy codes. Any previously entered taxonomy codes may be deleted by selecting the "Delete" button. At least one taxonomy code must be selected to continue the registration.
Refer to the Taxonomy/Specialty Lookup Document available on the [Resources page of the Open Payments website](#) for the complete list of taxonomy codes that can be entered. Taxonomy codes not on this list cannot be entered into the system. If an exact match cannot be found, choose the taxonomy code that best identifies your current specialty

Taxonomy Code *
111N00000X Add

State Licensing Information

To enter your license information, select the state and enter the license number in the fields below. Select the "Add" button to save the information to the profile. This will allow you to enter another license if necessary. Enter each state and license number separately until all licenses have been added. You must enter at least one license number; you may add a total of 52 license numbers.

License State *
Texas

License Number *
78244 Add

Back Cancel Continue

Step 6: At this point in registration, you may nominate an individual to serve as your authorized representative within the Open Payments system. By default, "Designate an Authorized Representative" will be selected. **A physician cannot be his or her own authorized representative.**

Physicians may only nominate one authorized representative. However, an authorized representative may represent more than one physician or NPP.

To nominate an authorized representative at this time, select **Yes** in the dropdown and enter the required information. You will also be asked to select the access level for the authorized representative. Authorized representative access levels are explained in depth in Section 3.4a. The access levels are also summarized on the page. When you are finished, select the **Continue** button.

Open Payments User Guide for Covered Recipients – July 2024

You do not need to designate an authorized representative during initial registration and may do so later. If you choose not to designate an authorized representative during initial registration, select Not Now and then select the **Continue** button. Section 3.5a provides instructions on how to nominate an authorized representative after registration is complete.

Figure 53 shows how to NOT nominate an authorized representative.

Figure 54 demonstrates the steps to nominate an authorized representative.

Figure 53: Decision to NOT Nominate an Authorized Representative

Authorized Representative

All fields are required unless indicated as optional

A covered recipient may designate an authorized representative to take certain actions on their behalf in the Open Payments system. This person can be another covered recipient, an office manager, a practice manager, or any other person the covered recipient wants to designate.

The nominated authorized representative will receive an email stating that they have been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days. If the nominee rejects the role or does not accept the role within the allotted 10 days, the covered recipient may nominate another person.

Nominate the Covered Recipient in the Open Payments System

You can nominate an individual by providing the nominee's information below or later via the covered recipient profile page in the Open Payments system.

Note: Covered recipient authorized representative nominees must register in the CMS Identity Management (IDM) system for login credentials before they can log into Open Payments and take action on the nomination. If the nominee accepts the role, they will then be prompted to create an individual profile in the Open Payments system.

Designate an authorized representative? *

Not Now

Back Cancel Continue

If you wish to nominate an authorized representative, select Yes in the dropdown, and complete the fillable fields. When you have finished creating your profile, an email containing the steps to accept the nomination will be sent to the nominee.

Figure 54: Decision to Nominate an Authorized Representative

Authorized Representative

All fields are required unless indicated as optional

A covered recipient may designate an authorized representative to take certain actions on their behalf in the Open Payments system. This person can be another covered recipient, an office manager, a practice manager, or any other person the covered recipient wants to designate.

The nominated authorized representative will receive an email stating that they have been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days. If the nominee rejects the role or does not accept the role within the allotted 10 days, the covered recipient may nominate another person.

Nominate the Covered Recipient in the Open Payments System

You can nominate an individual by providing the nominee's information below or later via the covered recipient profile page in the Open Payments system.

Note: Covered recipient authorized representative nominees must register in the CMS Identity Management (IDM) system for login credentials before they can log into Open Payments and take action on the nomination. If the nominee accepts the role, they will then be prompted to create an individual profile in the Open Payments system.

Designate an authorized representative? *

Yes

Authorized Representative

Enter the name, business email, job title, and business telephone number of the nominated authorized representative.

First Name * <input type="text" value="Bob"/>	Last Name * <input type="text" value="Jackson"/>
Middle Name <input type="text"/>	Suffix (Jr., Sr., etc.) <input type="text"/>

Authorized Representative's Business Address

Enter the nominated authorized representative's business address information below. Enter the primary business address if the authorized representative has multiple business addresses

Email Address * <input type="text" value="sbliss@index-analytics.com"/>	Job title * <input type="text" value="Office manager"/>
Country * <input type="text" value="United States"/>	
Business Address, Line 1 * <input type="text" value="6610 Cypress Lake Drive"/>	
Business Address, Line 2 <input type="text" value="Business Address, Line 2"/>	
City * <input type="text" value="Houston"/>	State * <input type="text" value="Texas"/>
Zip Code * <input type="text" value="78244"/>	Zip Extension <input type="text" value="XXXX"/>
Business Telephone Number * <input type="text" value="210-602-4955"/>	

Assign the Covered Recipient Authorized Representative's Access Level

The authorized representative's access level will determine the actions they can take on behalf of the covered recipient in the Open Payments system.

By default, the covered recipient authorized representative can read any information in the covered recipient's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. covered recipient authorized representatives cannot edit their access levels.

Access Level

- Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
- Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by reporting entities.

Open Payments User Guide for Covered Recipients – July 2024

Step 7: Review the information entered. Select the **Back** button at the bottom of the page to go back and edit any information. Once you have reviewed the information and determined it to be correct, select **Submit**.

Figure 55: Review and Submit Profile Page

The screenshot shows the 'Review and Submit Profile' page. On the left, a progress bar indicates the current step is '3 Review and Submit Profile'. The main content area is titled 'Review and Submit Profile' and contains two sections: 'Personal Information' and 'Covered Recipient Information'. The 'Personal Information' section lists: Name: Andy Smith, Business Email Address: sbliiss@index-analytics.com, Business Telephone Number: 210-602-4955, Practice Name: Doctor Andy, and Practice Business Address: 6610 Cypress Lake Drive Houston TX 78244 TX, 78244, US. The 'Covered Recipient Information' section lists: Primary Type: Doctor of Optometry, National Provider Identifier (NPI): 1558507830, Drug Enforcement Administration (DEA) Number, Taxonomy Codes: 111N00000X, and State Licenses: TX-78244. At the bottom right, there are 'Back', 'Cancel', and 'Submit' buttons, with the 'Submit' button highlighted by a red box.

The following message will appear on-screen to confirm your profile has been successfully created. If you have chosen to designate an authorized representative, an email notification with a nomination ID will be sent to the nominated individual.

Figure 56: Registration Complete On-Screen Message

The screenshot shows the 'Registration Complete' on-screen message. The message is titled 'Registration Complete' and features a green success banner with a checkmark icon. The text reads: 'Success: You have successfully submitted profile information for Doctor Andy.' Below the banner, there is a paragraph of text: 'You may now go to [Open Payments Home](#). You will receive an email confirming that a profile was submitted. The email confirmation message will have the covered recipient registration ID. The covered recipient will undergo vetting. You will receive an email with the results of the vetting soon. The covered recipient will be successfully registered in the Open Payments system if it passes vetting. You can refer to the [Open Payments User Guide \[PDF\]](#) for further information. For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).' At the bottom right, there is a blue button labeled 'Continue to Open Payments Home Page' highlighted by a red box.

3.3b: Creating a profile as a Physician for a User with another Role (Returning System Users)

If you are creating a profile as a physician and you are already affiliated with a reporting entity or teaching hospital in the Open Payments system, follow the steps below.

Open Payments User Guide for Covered Recipients – July 2024

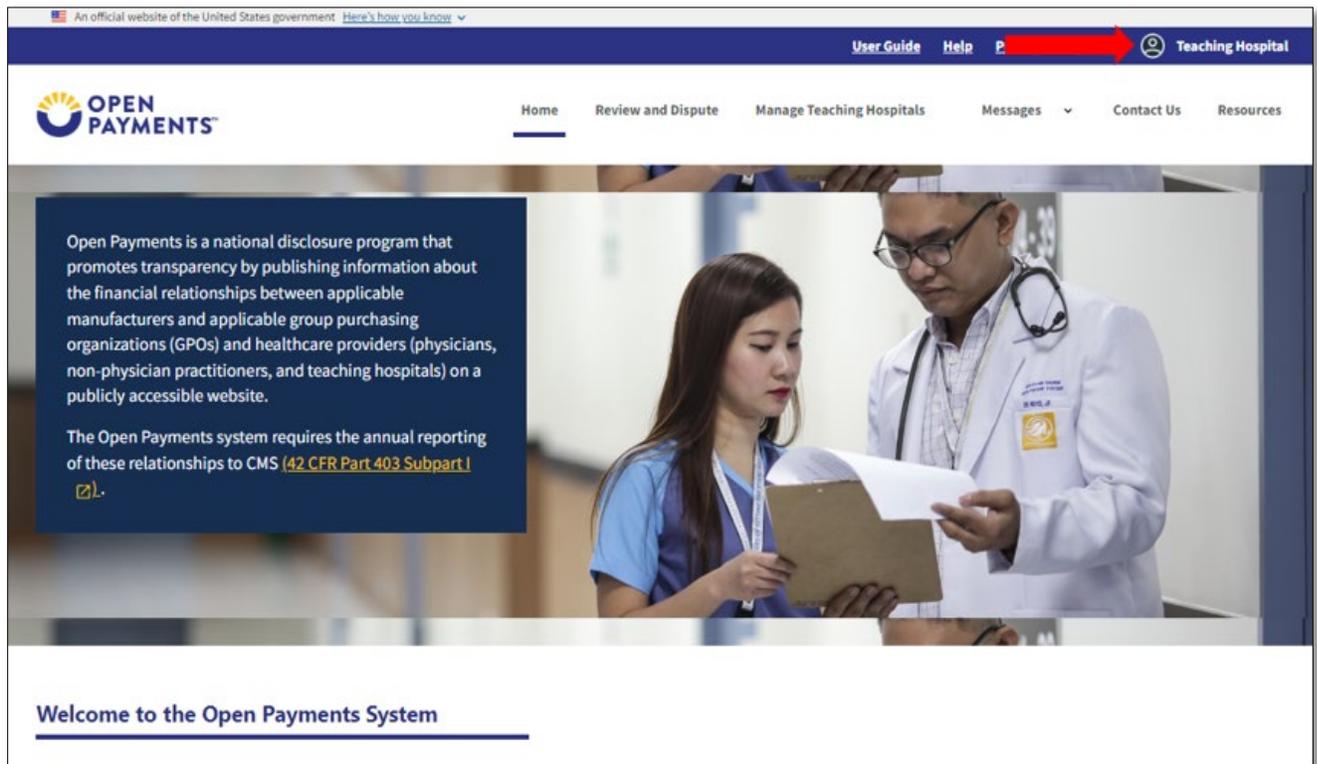
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions.

You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you entered during IDM registration will be the default MFA device.

Changes made here will not automatically update user’s profile information in his/her other CMS accounts.

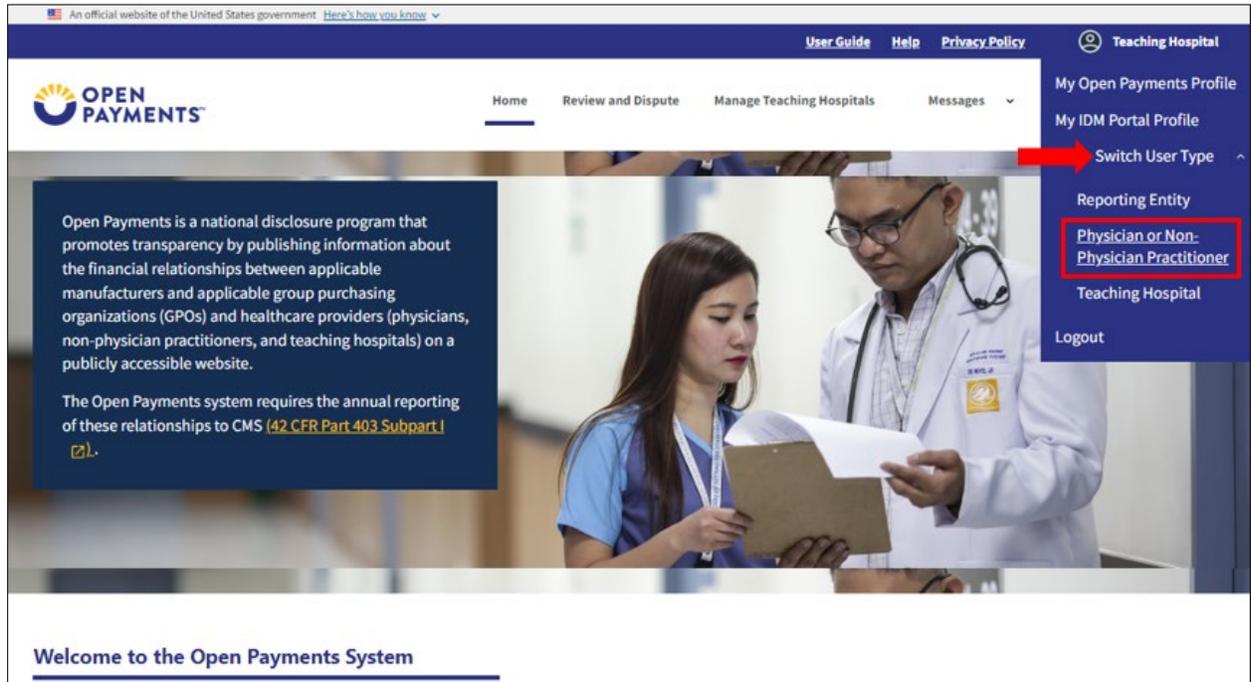
Step 2: Select your profile icon in the header menu to open a drop-down menu.

Figure 57: Open Payments System Landing Page



Step 3: Select the **Switch User Type** option in the drop-down menu and select **Physician or Non-Physician Practitioner** option.

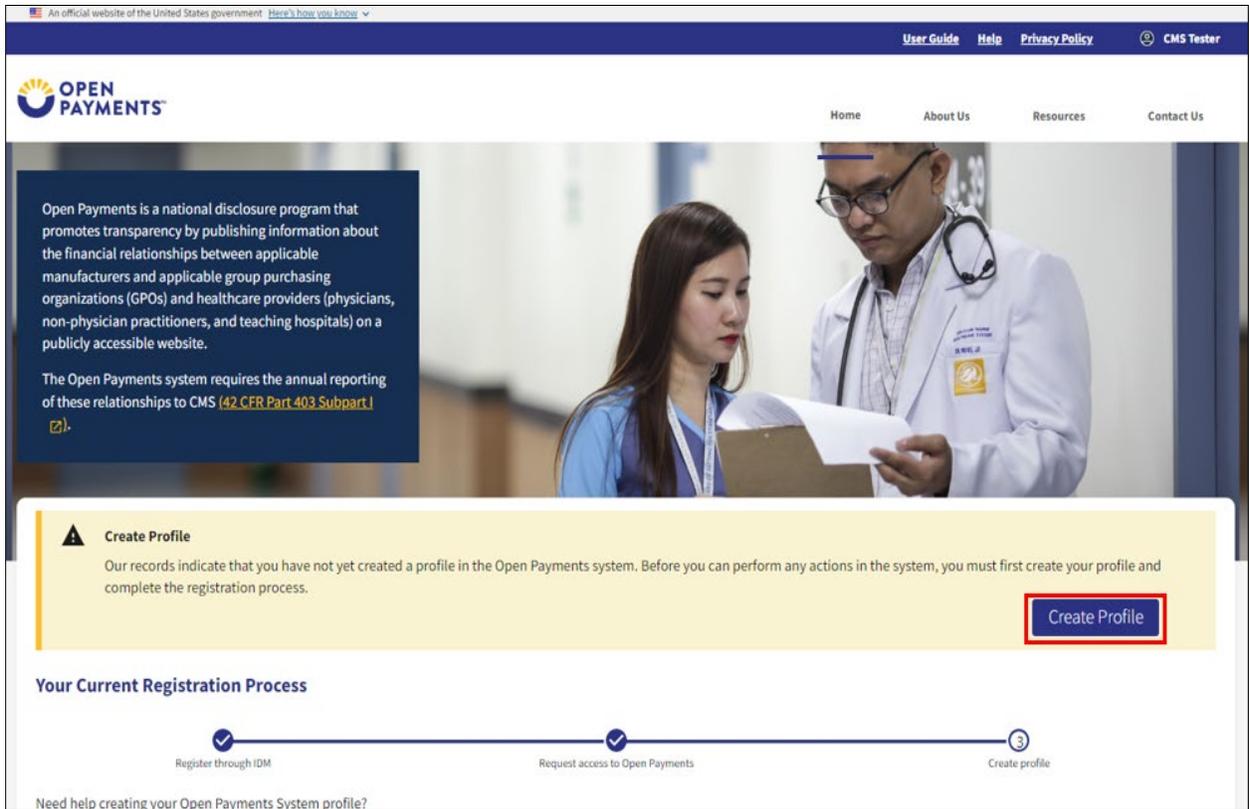
Figure 58: Open Payments System “Switch User Type” Option



Open Payments User Guide for Covered Recipients – July 2024

Step 4: Select the **Create My Profile** button to create the Physician profile. Follow the steps in Section 3.3a to complete Physician registration.

Figure 59: Open Payments System Home Page for First-Time System Users



3.3c: Physician and NPP Vetting

Vetting is the process of verifying your identity. This is done to ensure that you are a valid covered recipient and aids in the matching of submitted payments to you. All physicians and NPPs registering in the Open Payments system will be vetted. Vetting occurs once you have submitted your profile to the Open Payments system. **Your profile is not considered complete until the vetting process is successful.**

Once you submit your profile, the automated vetting process begins. The process will attempt to vet you using the information provided in the physician/NPP profile, so it is important for you to provide as much accurate information as possible to aid in the vetting process. Particularly important are your first and last name, NPI (if you have an NPI), state license information, and primary specialty type. The Open Payments system will match your information against multiple data sources. If you have an NPI, you must include it in order to be successfully vetted.

Open Payments User Guide for Covered Recipients – July 2024

If you “fail” the vetting process, you will have the opportunity to correct the information in your profile and resubmit the profile for vetting. You may update your profile as many times as necessary to successfully complete vetting.

If you do not successfully pass vetting despite all of the information provided in your profile being correct to the best of your knowledge, or if you require assistance with successfully vetting your profile, contact the Open Payments Help Desk at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

The automatic vetting process normally takes less than 24 hours, though it could take longer depending upon the completeness of the information provided and the number of covered recipients undergoing vetting at the same time.

Once vetting is successful, you will not be able to change the name or NPI referenced in your profile. If edits to either of these fields is required after successful vetting, contact the Open Payments Help Desk at 1-855-326-8366 for assistance. CMS encourages physicians to register and begin the vetting process as early as possible to allow sufficient time for vetting to be completed.

If your profile is modified, the profile will undergo vetting again.

3.3d: Creating a profile as an NPP (First Time System Users)

If you are registering as a NPP and are a first-time user in the Open Payments system, follow the steps below.

If you are a NPP who works in a teaching hospital and wish to see data related to you in your capacity as an NPP, select the “Physician or Non-physician practitioner” option when registering. If you are a NPP who works in a teaching hospital and wish to represent that teaching hospital as an authorized official or authorized representative, follow the instructions in Section 3.3g for registering a teaching hospital.

If you select the “Teaching Hospital” option when registering, you will only be able to view payments made to the teaching hospital you are associating yourself with. If you wish to see data related to you as an NPP, register a second time and select the “Physician or Non-Physician Practitioner” option. You may be registered for both options and can alternate between NPP and teaching hospital profiles using the “Switch User” functionality described in [Section 3.3j](#).

For this scenario, the user previously registered an account in IDM, and requested the Open Payments role of “Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance”, and will be registering a profile as a non-physician practitioner (NPP) in the Open Payments system.

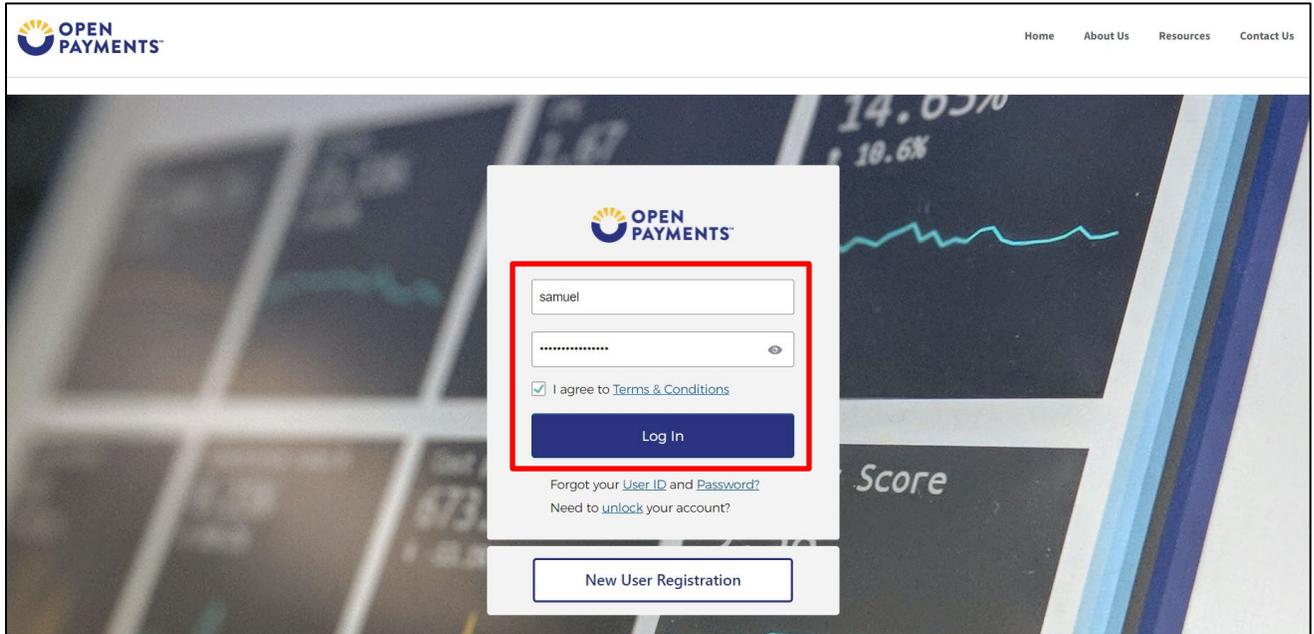
If you have not yet registered in IDM and requested access to the Open Payments system, please refer to the steps outlined in section 3.2b, above.

Open Payments User Guide for Covered Recipients – July 2024

Step 1: Log in to the Open Payments system using your IDM credentials and agree to the Terms and Conditions.

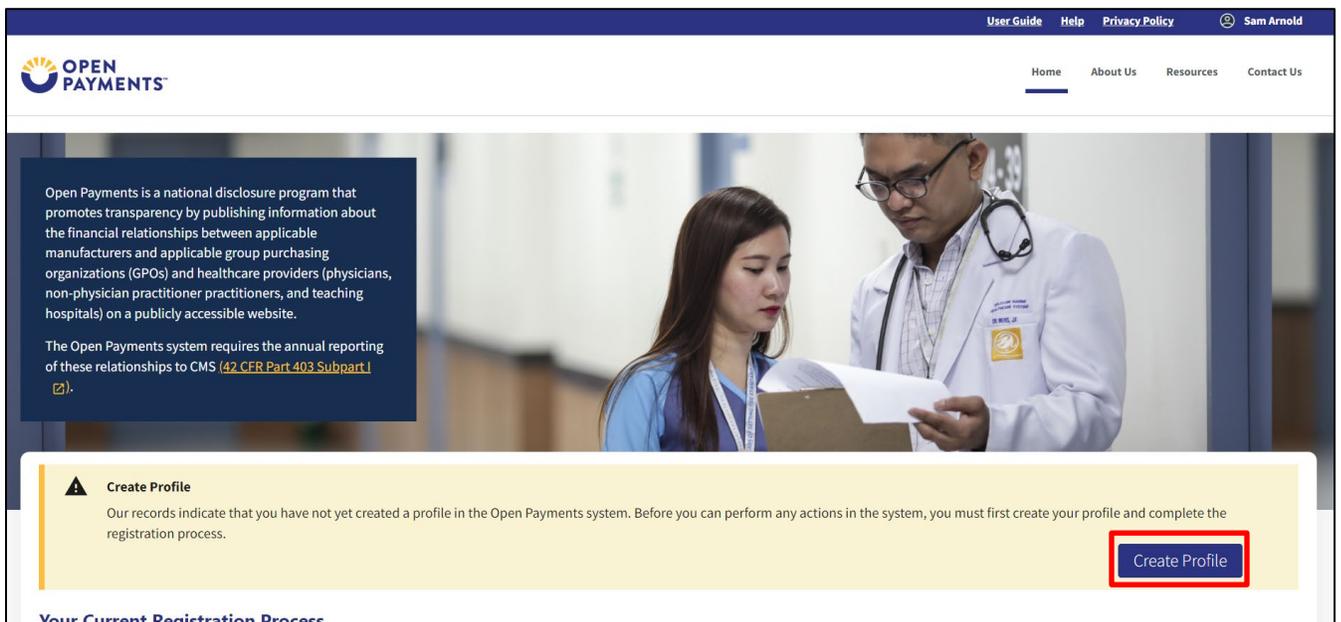
You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

Figure 60: Open Payments System Log In Page



Select **Create Profile** to start the registration process.

Figure 61: Open Payments Home Page for First-Time System Users



Step 2: The on-screen text contains important information regarding creating the NPP profile. Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 62: Create My Profile Page

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \(PDF\)](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

Step 3: Select the profile type **Physician or Non-physician Practitioner** and then select the **Continue** button.

Figure 63: Select Profile Type Page

The screenshot shows the 'Create Profile' page with the following elements:

- Header:** OPEN PAYMENTS logo, navigation links (Home, About Us, Resources, Contact Us), and user info (User Guide, Help, Privacy Policy, Sam Arnold).
- Left Sidebar:** A vertical list of steps: 1. Select Profile Type (active), 2. Register Entity, 3. Personal Information, 4. Review and Submit Profile.
- Main Content:**
 - Title:** Select a Profile Type
 - Text:** A field with an asterisk (*) is required. If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link. If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.
 - Note:** If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.
 - Text:** If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.
 - Required Selection:** * Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID". Options include:
 - I have a Nomination ID and/or a Registration ID
 - Applicable Manufacturer or applicable Group Purchasing Organization (GPO)
 - Physician or Non-Physician Practitioner (highlighted with a red box)
 - Teaching Hospital
 - Buttons:** Cancel and Continue (highlighted with a red box).

Step 4: Enter your personal and business information. All required fields will be marked with an asterisk (*). All required fields must be entered to proceed with the profile. You may register with foreign address by selecting "Country" field drop down value other than "United States".

The first name and last name fields are prepopulated from your CMS Identity Management System (IDM) profile. You may edit these fields as needed. However, changing this information in Open Payments will not change the corresponding information in your IDM profile.

If you are registered with the National Plan & Provider Enumeration System (NPPES) or the Provider Enrollment, Chain and Ownership System (PECOS), the information you enter here must match the information in those systems for your registration to be successful.

Once a physician or non-physician profile has been successfully matched using CMS resources (vetted), the fields prepopulated by IDM data will no longer be editable. If you need to modify these fields after they have become non-editable, contact the Open Payments Help Desk openpayments@cms.hhs.gov for assistance.

When done, select the **Continue** button.

Selecting the “Cancel” button will cause you to lose all data entered.

Figure 64: Covered Recipient Personal Information Page

Personal Details

Provide personal and business information below to create the physician or non-physician practitioner profile.

The first name and last name fields have been prepopulated from your CMS Identity Management System (IDM) profile. You may edit these fields as needed. However, changing this information in Open Payments will not change the corresponding information in your IDM profile.

If you are registered with the National Plan & Provider Enumeration System (NPPES) or the Provider Enrollment, Chain and Ownership System (PECOS), the information you enter here must match the information in those systems for your registration to be successful.

Note that once a physician or non-physician practitioner profile has been successfully matched using CMS resources (vetted), the fields prepopulated by IDM data will no longer be editable. If you need to modify these fields after they have become non-editable, contact the Open Payments Help Desk openpayments@cms.hhs.gov for assistance.

Basic Information

First Name * Sam	Last Name * Wilton
Middle Name	Suffix (Jr., Sr., etc.)

Business Information

Practice Name *
Nurse Sam's Place

Email Address *
sbliss@index-analytics.com

Country *
United States

Practice Business Address, Line 1 *
1217 Cast Iron Drive

Practice Business Address, Line 2
Practice Business Address, Line 2

City * Longview	State * Texas	
Zip Code * 78244	Zip Extension XXXX	Business Telephone Number * 210-602-4955

Back Cancel **Continue**

Step 5: Enter your covered recipient details. All required fields are marked with an asterisk (*).

Open Payments User Guide for Covered Recipients – July 2024

The National Provider Identifier (NPI) and Drug Enforcement Administration (DEA) Number fields are not marked as required; however, they must be entered if they have been assigned to you. If you do not have these identifiers, you can still proceed with registration.

Note the following:

- You may select up to 6 primary types in the “Non-Physician Practitioner Primary Type” multi-select field.
- The ZIP Code entered by the NPP is validated to ensure it is a valid United States ZIP Code and that it is within the “State” entered.
- If the NPP has a National Provider Identifier (NPI), enter it exactly as listed in NPPES for the current calendar year.
- Enter at least one active state license.
- For each license or taxonomy code entered, select the **Add** button to save the information to the profile. Without selecting the **Add** button, the information will not be saved. NPPs can register using up to 6 Taxonomy Codes. To update or replace an existing Taxonomy, select the **Edit** or the **Delete** button.

As you begin entering information into the Taxonomy Code field, the system will provide a list of codes to help guide your entry. If your taxonomy code is not available, select the code that most closely represents your specialty. If needed, you may refer to the taxonomy code list on the Resources page of the Open Payments website (<https://www.cms.gov/priorities/key-initiatives/open-payments/resources/reporting-entities>) **Taxonomy codes not on the taxonomy code list should not be entered.** Select **Add** after entering each taxonomy code to add it to your profile.

To enter license information, select the state in which the license is held and enter the license number. Select the **Add** button to add the license. The license number field may contain up to 25 characters, including special characters such as hyphens (-). You are required to enter at least one license. If you input data into the field and select the **Continue** button before selecting the **Add** button, the system will give you an error message. If you have licenses in more than one state, enter in the first state and license number and then select **Add**. Repeat until all of your state licenses have been added. You can enter up to 52 licenses. When you are finished, select the **Continue** button.

Figure 65: Covered Recipient Details Page

Covered Recipient Details

Enter the required covered recipient information below.

You must provide your National Provider Identifier (NPI) if you have one.

Covered Recipient Identification

Covered Recipient Type *

Covered Recipient Primary Type *

National Provider Identification (NPI)
(This is a 10-digit number that is required if you (the covered recipient) have an NPI)

Drug Enforcement Administration (DEA) Number:
This is a 9-character alphanumeric ID

Taxonomy Codes

Covered Recipient Taxonomy Code is a lookup field. Start typing a taxonomy code and the system will display a list of taxonomy codes that begin with the characters you have entered. Select the most accurate code and select the "Add" button. This will save the entered code and allow you to enter another taxonomy code if necessary. Repeat until all your taxonomy codes are entered. You may enter up to six taxonomy codes. Any previously entered taxonomy codes may be deleted by selecting the "Delete" button. At least one taxonomy code must be selected to continue the registration.

Refer to the Taxonomy/Specialty Lookup Document available on the [Resources page of the Open Payments website](#) for the complete list of taxonomy codes that can be entered. Taxonomy codes not on this list cannot be entered into the system. If an exact match cannot be found, choose the taxonomy code that best identifies your current specialty

Taxonomy Code *

Taxonomy Code:	Actions:
163W00000X	<input type="button" value="Delete"/>

State Licensing Information

To enter your license information, select the state and enter the license number in the fields below. Select the "Add" button to save the information to the profile. This will allow you to enter another license if necessary. Enter each state and license number separately until all licenses have been added. You must enter at least one license number; you may add a total of 52 license numbers.

License State * **License Number ***

License State:	License Number:	Actions:
TX	34978	<input type="button" value="Delete"/>

Open Payments User Guide for Covered Recipients – July 2024

Step 6: At this point in registration, you may nominate an individual to serve as your authorized representative within the Open Payments system. By default, “Designate an Authorized Representative” will be selected. **A NPP cannot be his or her own authorized representative.**

Physicians may only nominate one authorized representative. However, an authorized representative may represent more than one physician or NPP.

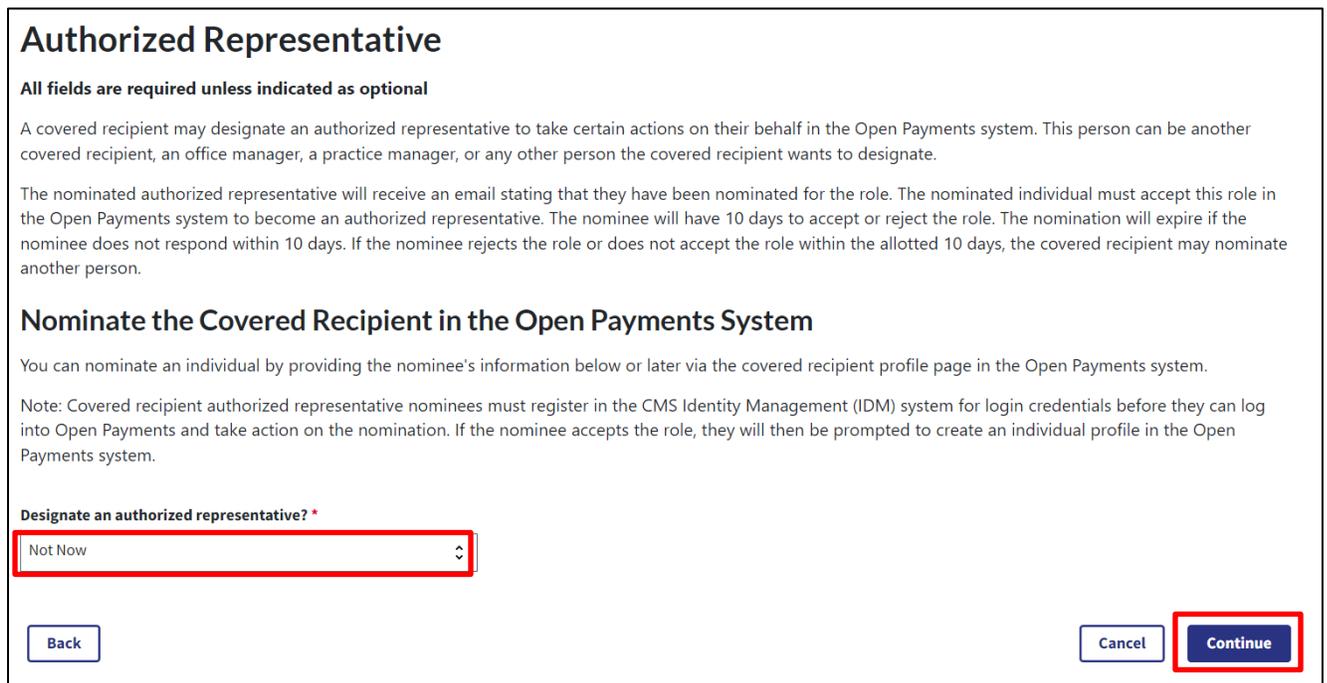
To designate an authorized representative, enter the required information. You will also be asked to select the access level for the authorized representative. Authorized representative access levels are explained in depth in Section 3.4b. The access levels are also summarized on the page. When you are finished, select the **Continue** button.

You do not need to designate an authorized representative during initial registration and may do so later. If you choose not to designate an authorized representative at this time, select **Not Now** and then select the **Continue** button. For instructions on how to nominate an authorized representative after registration is complete, see Section 3.5a.

Figure 66 shows how to NOT nominate an authorized representative.

Figure 67 demonstrates the steps to nominate an authorized representative.

Figure 66: Decision to NOT Nominate an Authorized Representative



Authorized Representative

All fields are required unless indicated as optional

A covered recipient may designate an authorized representative to take certain actions on their behalf in the Open Payments system. This person can be another covered recipient, an office manager, a practice manager, or any other person the covered recipient wants to designate.

The nominated authorized representative will receive an email stating that they have been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days. If the nominee rejects the role or does not accept the role within the allotted 10 days, the covered recipient may nominate another person.

Nominate the Covered Recipient in the Open Payments System

You can nominate an individual by providing the nominee's information below or later via the covered recipient profile page in the Open Payments system.

Note: Covered recipient authorized representative nominees must register in the CMS Identity Management (IDM) system for login credentials before they can log into Open Payments and take action on the nomination. If the nominee accepts the role, they will then be prompted to create an individual profile in the Open Payments system.

Designate an authorized representative? *

Not Now

Back Cancel Continue

If you wish to nominate an authorized representative, select Yes in the dropdown, and complete the fillable fields. When you have finished creating your profile, an email containing the steps to accept the nomination will be sent to the nominee.

Figure 67: Decision to Nominate an Authorized Representative

Authorized Representative

All fields are required unless indicated as optional

A covered recipient may designate an authorized representative to take certain actions on their behalf in the Open Payments system. This person can be another covered recipient, an office manager, a practice manager, or any other person the covered recipient wants to designate.

The nominated authorized representative will receive an email stating that they have been nominated for the role. The nominated individual must accept this role in the Open Payments system to become an authorized representative. The nominee will have 10 days to accept or reject the role. The nomination will expire if the nominee does not respond within 10 days. If the nominee rejects the role or does not accept the role within the allotted 10 days, the covered recipient may nominate another person.

Nominate the Covered Recipient in the Open Payments System

You can nominate an individual by providing the nominee's information below or later via the covered recipient profile page in the Open Payments system.

Note: Covered recipient authorized representative nominees must register in the CMS Identity Management (IDM) system for login credentials before they can log into Open Payments and take action on the nomination. If the nominee accepts the role, they will then be prompted to create an individual profile in the Open Payments system.

Designate an authorized representative? *

Yes

Authorized Representative

Enter the name, business email, job title, and business telephone number of the nominated authorized representative.

First Name *	Last Name *
<input type="text" value="Roger"/>	<input type="text" value="Stevens"/>
Middle Name	Suffix (Jr., Sr., etc.)
<input type="text"/>	<input type="text"/>

Authorized Representative's Business Address

Enter the nominated authorized representative's business address information below. Enter the primary business address if the authorized representative has multiple business addresses

Email Address *	Job title *	
<input type="text" value="sbliss@index-analytics.com"/>	<input type="text"/>	
Country *		
<input type="text" value="United States"/>		
Business Address, Line 1 *		
<input type="text" value="123 chicken st"/>		
Business Address, Line 2		
<input type="text" value="Business Address, Line 2"/>		
City *	State *	
<input type="text" value="Haymarket"/>	<input type="text" value="Texas"/>	
Zip Code *	Zip Extension	Business Telephone Number *
<input type="text" value="78244"/>	<input type="text" value="XXXX"/>	<input type="text" value="210-602-4955"/>

Assign the Covered Recipient Authorized Representative's Access Level

The authorized representative's access level will determine the actions they can take on behalf of the covered recipient in the Open Payments system.

By default, the covered recipient authorized representative can read any information in the covered recipient's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. covered recipient authorized representatives cannot edit their access levels.

Access Level

- Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
- Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by reporting entities.

Open Payments User Guide for Covered Recipients – July 2024

Step 7: Review the information entered. Select the **Back** button at the bottom of the page to go back and edit any information. Once you have reviewed the information and determined it to be correct, select **Submit**.

Figure 68: Review and Submit Profile Page

The screenshot shows the 'Review and Submit Profile' page. On the left, a progress bar indicates the current step is '3 Review and Submit Profile'. The main content area is titled 'Review and Submit Profile' and contains two sections: 'Personal Information' and 'Covered Recipient Information'. The 'Personal Information' section includes fields for Name (Sam Wilton), Business Email Address (sbliss@index-analytics.com), Business Telephone Number (210-602-4955), Practice Name (Nurse Sam's Place), and Practice Business Address (1217 Cast Iron Drive Longview TX 78244 TX, 78244, US). The 'Covered Recipient Information' section includes fields for Primary Type (Nurse Practitioner), National Provider Identifier (NPI) (1558507830), Drug Enforcement Administration (DEA) Number, Taxonomy Codes (163W00000X), and State Licenses (TX-34978). At the bottom of the page, there are three buttons: 'Back', 'Cancel', and 'Submit' (highlighted with a red box).

The following message will appear on-screen to confirm your profile has been successfully created. If you have chosen to delegate someone to be an authorized representative, an email notification with a nomination ID will be sent to that individual.

Figure 69: Registration Complete On-Screen Message

The screenshot shows a 'Registration Complete' message box. It has a title bar with 'Registration Complete' and a close button. Below the title bar is a green banner with a checkmark icon and the text 'Success: You have successfully submitted profile information for Nurse Sam's Place.' Below the banner is a paragraph of text: 'You may now go to [Open Payments Home](#). You will receive an email confirming that a profile was submitted. The email confirmation message will have the covered recipient registration ID. The covered recipient will undergo vetting. You will receive an email with the results of the vetting soon. The covered recipient will be successfully registered in the Open Payments system if it passes vetting. You can refer to the [Open Payments User Guide \[PDF\]](#) for further information. For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).' At the bottom right, there is a blue button labeled 'Continue to Open Payments Home Page'.

3.3e: Creating a profile as a NPP for a User with another Role (Returning System Users)

If you are registering as a NPP and you are already affiliated with a reporting entity or teaching hospital in the Open Payments system, follow the steps below.

Open Payments User Guide for Covered Recipients – July 2024

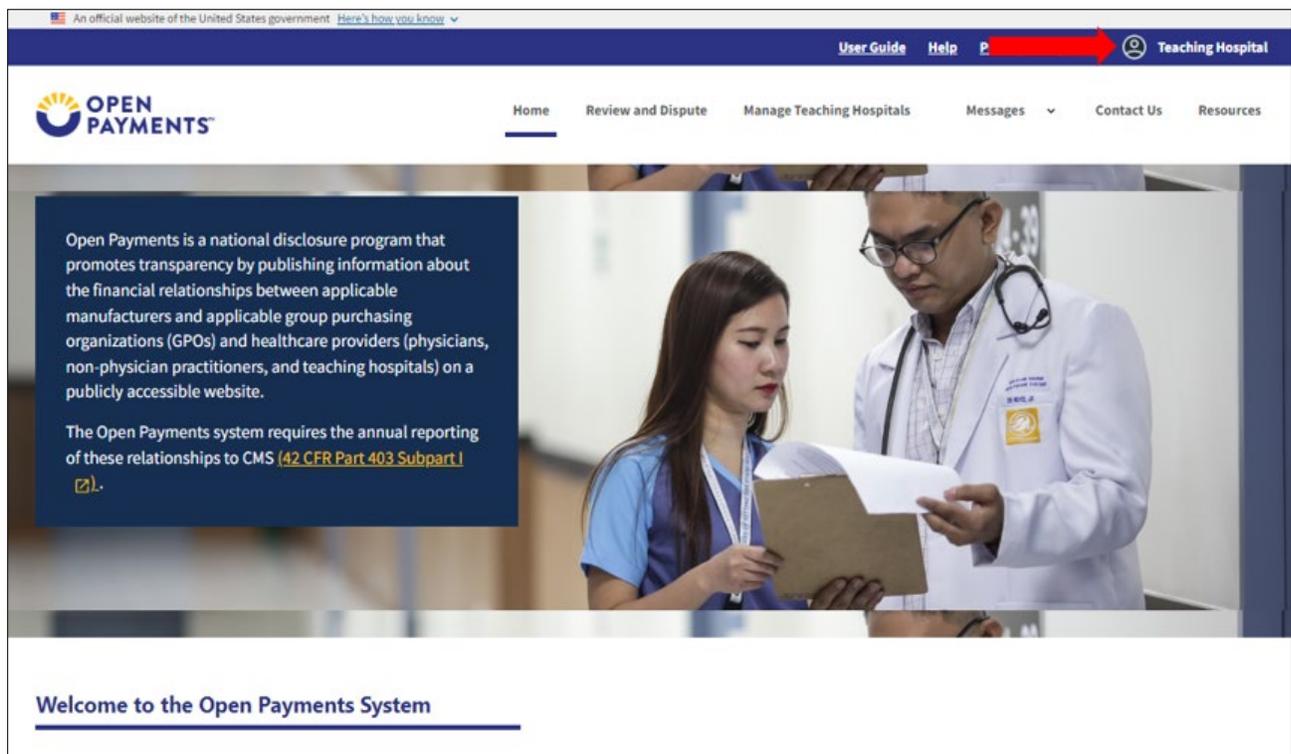
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions.

You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

Changes made here will not automatically update user’s profile information in his/her other CMS accounts.

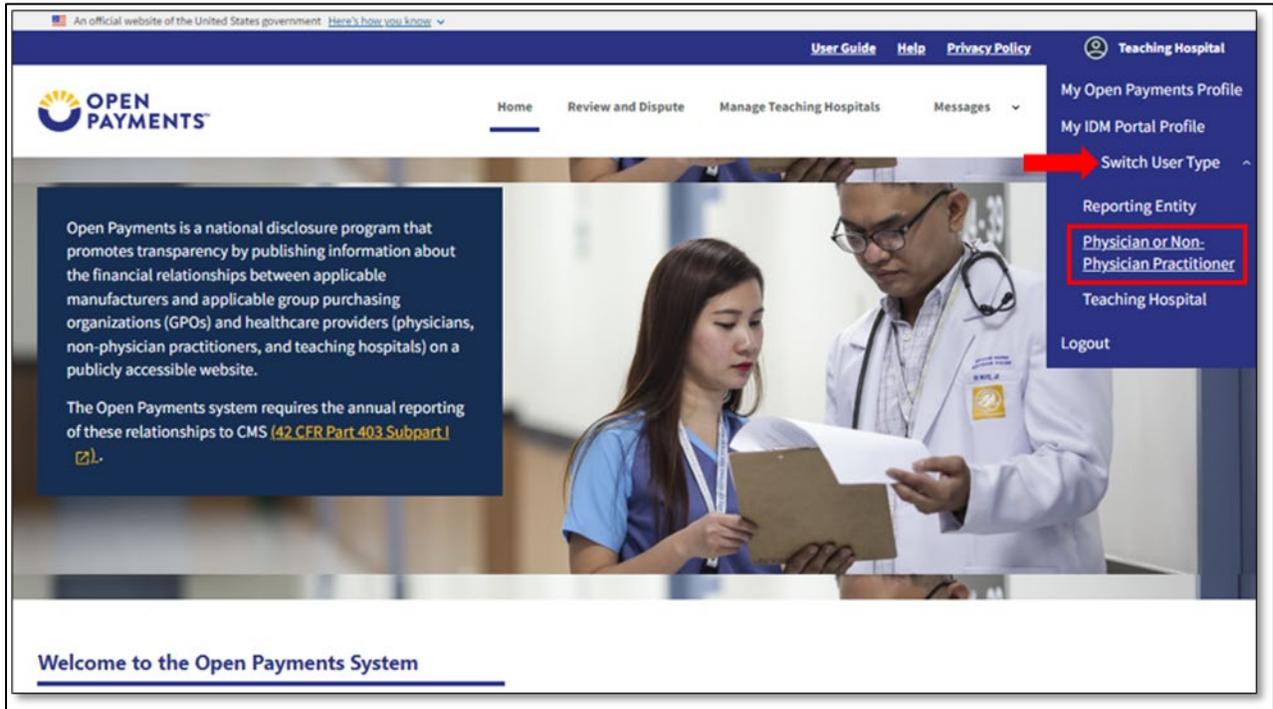
Step 2: Select your profile icon in the header menu to open a drop-down menu.

Figure 70: Open Payments System Landing Page Profile Icon



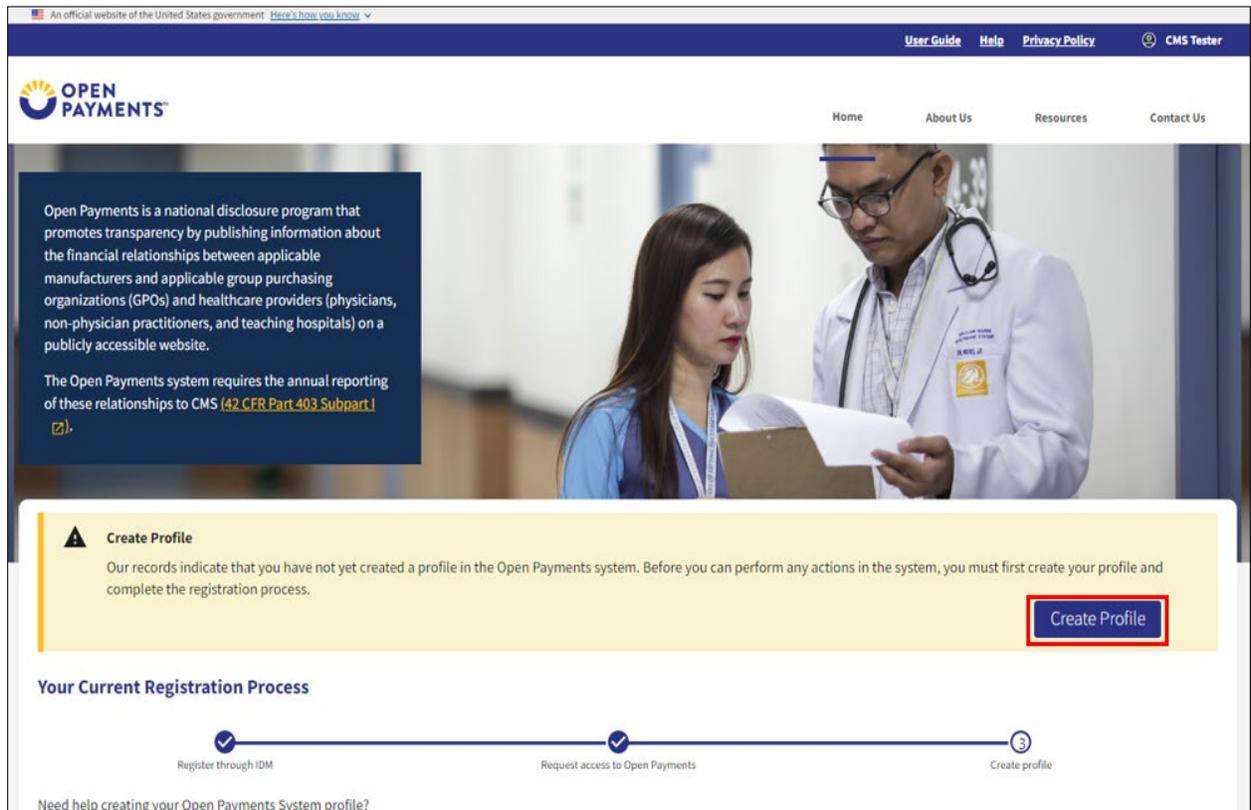
Step 3: Select the **Switch User Type** option in the drop-down menu and select **Physician or Non-Physician Practitioner** option.

Figure 71: Open Payments System “Switch User Type” Option



Step 4: Select the “Create My Profile” button to create the NPP profile. Follow the steps in Section 3.3d to complete NPP registration.

Figure 72: Open Payments System Landing Page for First-Time System Users



3.3f: NPP Vetting

Vetting is the process of verifying an NPP’s identity. This is done to ensure that you are a valid covered recipient. All NPPs registering in the Open Payments system will be vetted. Vetting occurs once you have submitted your profile to the Open Payments system. **NPP registration is not considered complete until the vetting process is successful.**

The vetting process will typically require little action from you. Once you submit your NPP user profile, the vetting process begins. The process will attempt to vet your profile using the information you provided, so it is important for you to provide as much information as possible to aid in the vetting process. Particularly important are your first and last name, NPI (if you have one), state license information, and your primary type. The Open Payments system will match your provided information against multiple data sources.

Note: If you have an NPI but you did not provide it, you provide it you will not be able to successfully complete vetting.

If vetting fails, you will have the opportunity to correct the information in your profile and resubmit your profile for vetting. You may update their profile as many times as necessary to successfully complete vetting.

Open Payments User Guide for Covered Recipients – July 2024

If you do not successfully pass vetting despite all the information provided in your profile being correct to the best of his or her knowledge, or you require assistance with successfully vetting your profile, contact the Open Payments Help Desk at 1-855-326-8366. For the TTY line, call 1-844-649-2766.

The automatic vetting process normally takes less than 24 hours, though it could take longer depending upon the completeness of the information provided by the user and the number of NPPs undergoing vetting at the same time.

Once vetting is successful, you will not be able to change the name or NPI referenced in your profile. If edits to either of these fields are required after successful vetting, contact the Open Payments Help Desk at 1-855-326-8366 for assistance. CMS encourages NPPs to register and begin the vetting process as early as possible to allow sufficient time for vetting to be completed.

Note: If a NPP profile is modified, the profile will undergo vetting again.

3.3g: Registering a Teaching Hospital (First Time System User)

If you are a first-time user registering a teaching hospital in the Open Payments system, follow the steps below. By creating a profile for a teaching hospital, you must take the role of authorized official for the teaching hospital in the Open Payments system. See [Section 3.4c](#) for information on user roles for teaching hospitals.

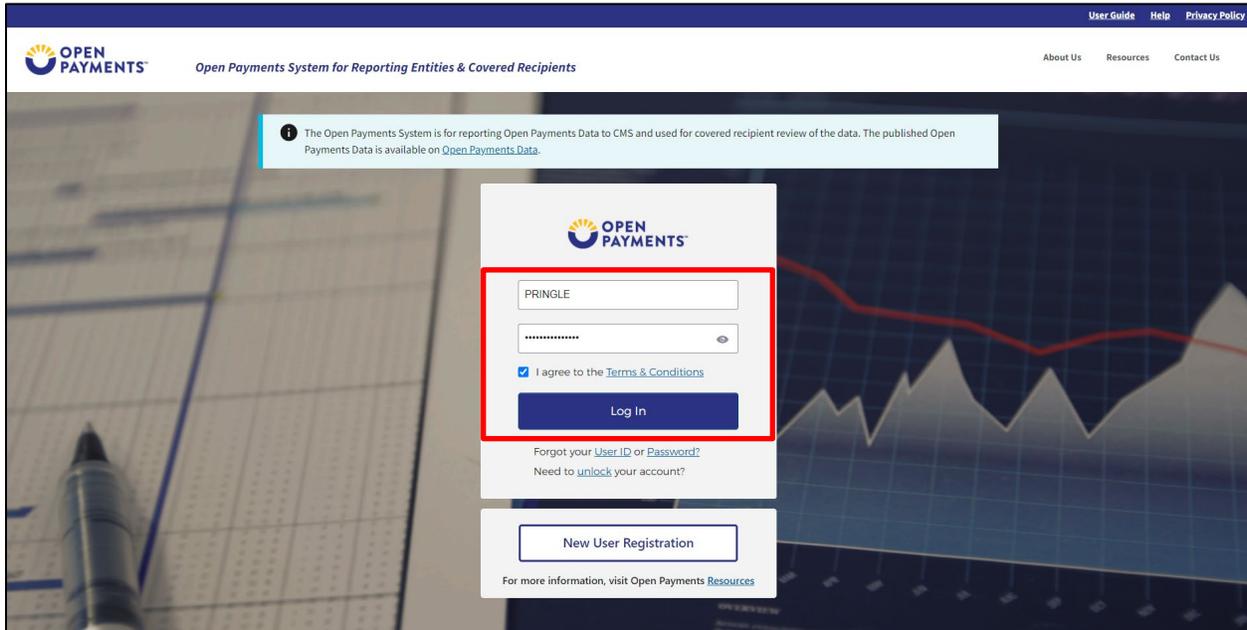
A physician or NPP who is employed by a teaching hospital should not register him or herself with the option “Teaching Hospital” unless he or she will represent the teaching hospital regarding the data reported by applicable manufacturers and applicable GPOs. Physicians and NPPs who select the “Teaching Hospital” option when registering will only be able to view payments made to the teaching hospital they are affiliated with. If you wish to see data related to you as a physician or NPP, register a second time and select the “Physician or Non-Physician Practitioner” option. Users may be registered for both “Teaching Hospital” and “Physician or Non-Physician Practitioner” User Type options.

Note: For this scenario, the user previously registered an account in IDM, and requested the Open Payments role of “Reporting Entity Officer or Teaching Hospital Authorized Official Role” and will be registering a profile as a teaching hospital in the Open Payments system.

If you have not yet registered in IDM and requested access to the Open Payments System, please refer to the steps outlined in [Section 3.2b](#), above.

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions. You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

Figure 73: Open Payments System Log In Page for First-Time System Users

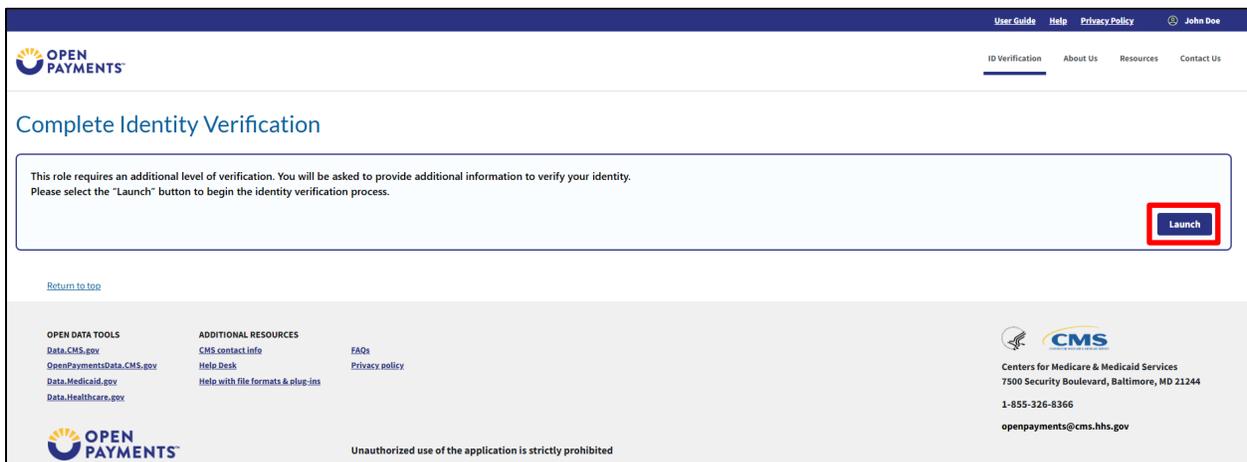


Step 2: Experian will perform a soft credit inquiry to verify your identity. Identity proofing does not affect your credit score. Soft credit inquiries are visible only to the user and only appear on credit reports produced by Experian. Credit inquiries are not visible to lenders. If you order a credit report from Experian, you will see an entry of inquiry by the Centers for Medicare & Medicaid Services with CMS’s address and the date of request.

If you have questions about Experian’s verification process, please refer to Experian Customer Assistance at <http://www.experian.com/help/>.

Select **Launch** to continue.

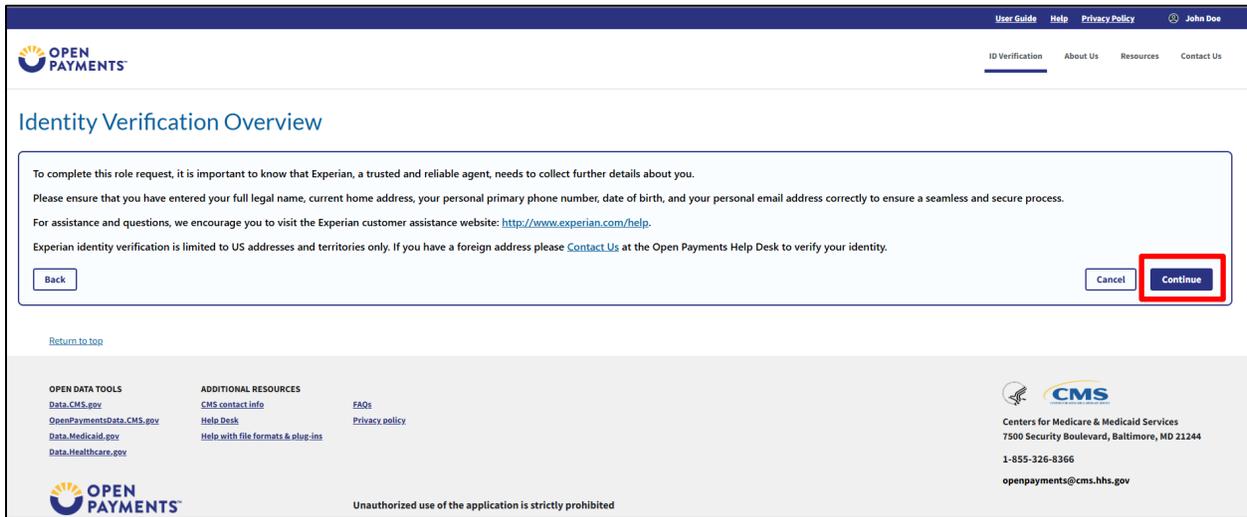
Figure 74: Identity Verification Alert



Open Payments User Guide for Covered Recipients – July 2024

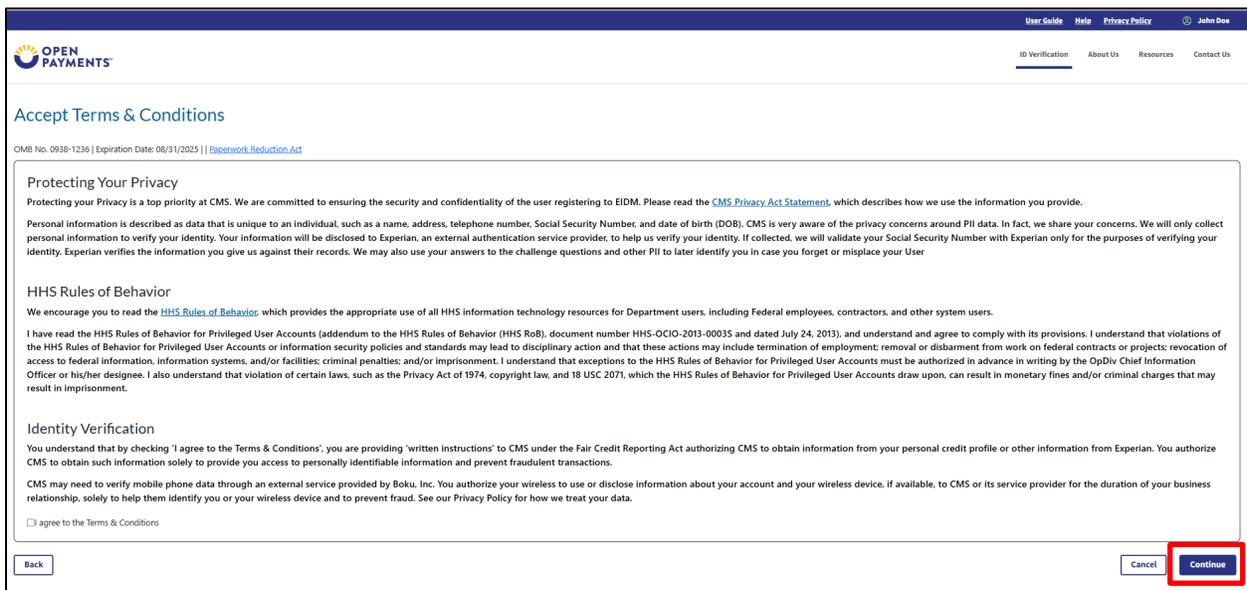
Step 3: View the Experian Identity Verification overview and select **Continue**.

Figure 75: Identity Verification Overview



Step 4: After reading the Terms and Conditions and select **Continue**.

Figure 76: Identity Verification Terms and Conditions



Step 5: When entering your information into the Identity Verification screen, only enter your personal information.

You will only have three attempts to enter information that matches the Experian database.

Tips for successfully completing Identity Verification:

Open Payments User Guide for Covered Recipients – July 2024

Full Legal Name:

- You must use your full legal name as listed on your Driver’s License or financial account information.
- Your surname must match the surname Experian has for you on file.
- Do not use nicknames.
- If you have a two-part name, enter the second part in the middle name field.

Social Security Number:

- If you elect to add your Social Security Number, ensure that the fields are filled in correctly. Users can review and edit these fields prior to sending the information to Experian.

Date of Birth:

- Ensure that the Date of Birth field is entered accurately. Users can review and edit this field prior to sending the information to Experian.

Current Residential Address:

- Ensure your personal/residential/home address is used:
- Where you receive Credit card, utility bill statements.
- Associated with your credit report.
- Do NOT use your business address.
- If you have a recent change in address, try to identity proof with a prior address.
- Do not enter any extraneous symbols in the address field.

Personal Phone Number

- Enter a personal landline phone number (if you have one).
- A cell phone can be used, but a residential landline is preferred.

After reviewing the above information enter your information in the spaces provided, and then select **Continue**.

Figure 77: Enter Your Identity Verification Information

The screenshot shows the 'Enter Your Information' page on the Open Payments website. The page has a blue header with the Open Payments logo and navigation links. The main content area is titled 'Enter Your Information' and includes a sub-header 'Personal Information' and 'Contact Information'. The form contains several input fields for personal and contact details, a checkbox for verification, and 'Back' and 'Continue' buttons. The 'Continue' button is highlighted with a red box.

Personal Information

Legal First Name * Middle Name Legal Last Name * Suffix

Social Security Number Date of Birth *

Contact Information

Home Address Line 1 * Home Address Line 2

City * State Zip Code * Zip Extension

Personal Email Address * Confirm Personal Email Address *

Personal Phone Number *

Check here if you have read and verified the information above is accurate and complete as required by Identity Verification.

Step 6: If identity verification is successful, you will receive the confirmation notice. Select **Continue** to Open Payments Home page to continue.

Figure 78: Successful Identity Verification Confirmation

The screenshot shows the 'Successful Identity Verification Confirmation' page. It features a green success message at the top, followed by a 'Submitted Information' table. The table lists the user's personal and contact details. A 'Continue to Open payments Home page' button is highlighted with a red box.

You have successfully completed the Experian Identity Verification process. Please select the Continue to Open Payments Home button.

Submitted Information

Name: Naomi Burgess	Suffix:	Date of Birth: 05/26/1953
Address Line 1: 204 N HOSKINS ST	Address Line 2:	City: SPEARMAN
State: Texas	Zip Code: 79081	Zip Code Extension: 2341
Personal Phone Number: 806-659-1563	Personal Email Address: naomi123456abcd@donkeykong.com	

Experian Support Services can be contacted at 1-833-203-6550.

Step 7: If identity verification is unsuccessful, note the reference number, and follow the directions in the error message. If the error message refers you to the “Tier 1 Help Desk”, call the Open Payments Help Desk at 1- 855-326-8366. For the TTY line, call 1-844-649-2766.

Figure 79: Unable to Verify Information

Identity Verification

Error

We were unable to verify the information you have provided. You have 2 attempt(s) remaining. If there is an error in the information you've submitted, you can modify and resubmit or contact Experian Support Services at 1-833-203-6550 to complete the one-time verification process over the phone and provide the Reference Number - IDM-OPENPAYMENTS-37990047.

Submitted Information

Name: robert.james
Date of Birth: 01/13/1992
Address Line 1: 234 North Street
City: New Town
State: TX
Zip Code: 78244
Phone Number: 210-475-8745
Email Address: asdfg@sdghj.com

[Retry](#) [I have contacted Experian](#) [Cancel](#)

Step 7: After successfully completing the Experian Identity Verification, you will create your teaching hospital profile in the Open Payments system. The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 80: Create a Profile

User Guide Help Privacy Policy Bill Zahm

Home About Us Resources Contact Us

OPEN PAYMENTS

Open Payments is a national disclosure program that promotes transparency by publishing information about the financial relationships between applicable manufacturers and applicable group purchasing organizations (GPOs) and healthcare providers (physicians, non-physician practitioner practitioners, and teaching hospitals) on a publicly accessible website.

The Open Payments system requires the annual reporting of these relationships to CMS ([42 CFR Part 403 Subpart I](#)).

Create Profile

Our records indicate that you have not yet created a profile in the Open Payments system. Before you can perform any actions in the system, you must first create your profile and complete the registration process.

[Create Profile](#)

Your Current Registration Process

Step 2: The on-screen text contains important information regarding creating the individual profile.

Figure 81: Create My Profile Page

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \(PDF\)](#) . This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

Step 3: Select the **Teaching Hospital** profile type, and then select the **Continue** button.

Figure 82: Select Profile Type Page

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the “I have a Nomination ID and/or a Registration ID” link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note:

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the “Physician or Non-Physician Practitioner” option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the “I have a Nomination ID and/or a Registration ID”**

- I have a Nomination ID and/or a Registration ID
- Applicable Manufacturer or applicable Group Purchasing Organization (GPO)
- Physician or Non-Physician Practitioner
- Teaching hospital

Step 4: Search for the teaching hospital by selecting the appropriate state, teaching hospital legal name, teaching hospital business address, and Taxpayer Identification Number (TIN) from the dropdowns and select **Search**.

If your teaching hospital is not found, select **Register for Prior Program Year** to search for your teaching hospital in a previous program year. If you can find your teaching hospital only in a prior program year, you may select the teaching hospital and proceed with registration. For instructions on registering a teaching hospital for a prior program year, see [Section 3.3i](#).

If your teaching hospital cannot be found in any program year, contact the Open Payments Help Desk at 1-855-326-8366 for assistance.

The name of the hospital selected during registration must be the hospital’s “Doing Business As” name. In the CMS Teaching Hospital List, the “Doing Business As” name is under the heading of “Hospital Name.”

The hospital address selected must be the address listed in the CMS Teaching Hospital List as the hospital’s “NPPES Business Address.”

Teaching hospitals are pre-populated into the Open Payments system based on the Open Payments Teaching Hospital List. Teaching hospitals not on this list or prior program year lists will not have payments or other transfers of value reported about them.

Open Payments User Guide for Covered Recipients – July 2024

Populate the required fields using the dropdown filters, then select **Search**.

Figure 83: Teaching Hospital Search Page

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

State Where Teaching Hospital is Located *

Teaching Hospital Doing Business As Name *

Taxpayer Identification Number (TIN) *

Teaching Hospital Business Address *

Search

[Back](#) [Cancel](#) [Continue](#)

Open Payments User Guide for Covered Recipients – July 2024

Step 5: Review the information displayed on the page. Select the **Continue** button if the information displayed is the teaching hospital you wish to register. If this is not the correct teaching hospital, select the **Back** button at the bottom of the page to return to the previous page and re-enter the information.

Figure 84: Teaching Hospital Search Page Search Results

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

State Where Teaching Hospital is Located *
New Jersey

Teaching Hospital Doing Business As Name *
Cooper University Hospital

Taxpayer Identification Number (TIN) *
210634462

Teaching Hospital Business Address *
1000 S 10th St Camden Nj 08103

Search

Teaching Hospital Search Results

This teaching hospital is not yet registered in the Open Payments system:

Cooper University Hospital
1000 S 10th St
Camden, Nj 08103
TIN: 210634462

To begin registering a teaching hospital, select the "Continue" button.

Back Cancel Continue

Step 6: Review the information displayed on the page. When complete, select the **Continue** button.

Figure 85: Register Teaching Hospital Page

OPEN PAYMENTS Home About Us Resources Contact Us

Create Profile

- Select Profile Type ✓
- Teaching Hospital**
 - Search ✓
 - Register Teaching Hospital**
 - Your Role
 - Nominate Roles
- Personal Information
- Review and Submit Profile

Teaching Hospital > Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to register. To proceed with registering this teaching hospital, select the **"Continue"** button. To change your search criteria and find a different teaching hospital, select the **"Back"** button.

Cooper University Hospital

Business Address:
1000 S 10th St,
Camden, Nj 08103

Taxpayer Identification Number (TIN):
210634462

[Back](#) [Cancel](#) [Continue](#)

Step 7: Review the information generated by the system related to your role. You must select the role of “Authorized Official” for yourself to proceed with registration. Information on teaching hospital user roles is available in Section 3.4c

Your first name, last name, email address, and business phone will be pre-populated with information from your IDM profile. Edit these fields as necessary. All required fields will be marked with an asterisk (*). You will not be able to proceed with registration until all the required fields have been entered. When complete, select the **Continue** button.

Figure 86: Teaching Hospital Your Role Page

Teaching Hospital > Your Role

A field with an asterisk (*) is required.

To register a teaching hospital, you must take the role of authorized official with the teaching hospital.

Enter your personal information below to create your profile and take the role of authorized official.

The user roles are as follows: +

Choose your role: *

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Your Role Related Information

Enter your personal information below.

First Name *	<input type="text" value="Bill"/>	Last Name *	<input type="text" value="Zahm"/>
Middle Name	<input type="text"/>	Suffix (Jr., Sr., etc.)	<input type="text"/>
Email Address *	<input type="text" value="sbliss@index-analytics.com"/>	Business Telephone Number *	<input type="text" value="555-555-5555"/>

Step 8: You may choose to nominate additional users to fill the authorized official or authorized representative roles. To do so, select **Add** to nominate any additional individual(s). If you choose not to add nominees at this time, select the **Continue** button without adding any nominee information and proceed to Step 9.

Figure 87: Teaching Hospital Nominate Roles Page

Teaching Hospital > Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

User Roles +

Note: Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated. Nominees must register in Identity Management (IDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to 5 can be authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role	First Name	Last Name	Business Email Address	Business Phone Number	Status	Actions
Authorized Official	Bill	Zahm	sbliss@index-analytics.com	555-555-5555	Approved	(YOU)

Back Add Cancel Continue

Step 8a: If you selected **Add**, enter the required information for one individual and select either "Authorized Official" or "Authorized Representative" to nominate the individual for the selected role. Information on teaching hospital user roles is available in Section 3.4c. When you have entered the information, select **Add**. This will add the nominee information to the teaching hospital's profile, as well as open another set of information fields to enter an additional nominee.

Repeat the process until you have entered all the individuals you wish to nominate. A teaching hospital may have up to 10 unique active users, 5 of whom can hold the role of authorized official. When you have added all the individuals you wish to nominate, select **Continue**.

Each nominated individual will receive an email notifying them of their nomination. The nominee must confirm or reject the role within 10 calendar days.

You do not have to nominate additional individuals during initial registration. You can nominate individuals later by updating your teaching hospital profile within the **Manage Teaching Hospitals** tab.

Figure 88: Teaching Hospital Nominate Roles Page Add Nominee

Teaching Hospital > Nominate Roles

A field with an asterisk (*) is required.
You may nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

User Roles

- Info:** Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated. Nominees must register in Identity Management (IDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.
Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to 5 can be authorized officials.
A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role	First Name	Last Name	Business Email Address	Business Phone Number	Status	Actions
Authorized Official	Bill	Zahn	billz@mdx-analytics.com	555 555 5555	Approved	(YNU)

Nominee Information

First Name *

Last Name *

Middle Name

Suffix (Jr., Sr., etc.)

Email Address *

Business Telephone Number *

Job title *

Indicate the role this person will have *

Authorized Official: May access/inquire data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.

Authorized Representative: May access/inquire data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Address

Business Address, Line 1 *

Business Address, Line 2

City * **State *** **Zip Code *** **Zip Extension**

Open Payments User Guide for Covered Recipients – July 2024

If you entered any nominees, you would see the nominee information displayed on the page. Confirm the information and when complete select the **Continue** button.

Figure 89: Teaching Hospital Nominate Roles Page with Added Nominees

Teaching Hospital > Nominate Roles

A field with an asterisk (*) is required.

You can nominate individuals for user roles with the teaching hospital below. The two roles are authorized official and authorized representative.

Confirmation:
Your nominee has been successfully added.

User Roles

Note:
Each nominee will receive an email notifying them of their nomination. The nominee must confirm the role or reject the nomination. If the nominee accepts the role, he or she will be prompted to create an individual profile in the Open Payments system. If the nominee does not accept the role, another person may be nominated.
Nominees must register in Identity Management (IDM) to obtain login credentials prior to accepting or rejecting the role in the Open Payments system.

Add Nominee

You may nominate individuals below. An individual can be nominated for only one user role with a teaching hospital.

Select the "Add" button to open information fields for a nominee. Enter an individual's information in the fields below and the role for which they are nominated, then select the "Add" button again to save the information and open another set of information fields. A teaching hospital can have up to 10 active users, of whom up to 5 can be authorized officials.

A nominee has 10 calendar days to accept or reject the role. After 10 calendar days, the nomination will be deactivated.

Role	First Name	Last Name	Business Email Address	Business Phone Number	Status	Actions
Authorized Official	Bill	Zahm	bzahm@index-analytics.com	354-258-7425	Approved	(YOU)
Authorized Representative	Steve	Jones	sjones@index-analytics.com	254-785-3025	Nomination Approved	Delete Edit

Add

Back Cancel **Continue**

Step 9: Enter your personal and business information. When finished, select the **Continue** button.

Figure 90: Personal Information Page

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will not automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Identity Management (IDM) accounts.

Basic Information

First Name *	<input type="text" value="Roger"/>	Last Name *	<input type="text" value="White"/>
Middle Name	<input type="text"/>	Suffix (Jr., Sr., etc.)	<input type="text"/>

Business Information

Job title *	<input type="text" value="Supervising Authority"/>	Email Address *	<input type="text" value="bzahm@index-analytics.com"/>
Business Address, Line 1 *	<input type="text" value="623 LAFAYETTE AVENUE"/>		
Business Address, Line 2	<input type="text" value="Business Address, Line 2"/>		
City *	<input type="text" value="Hawthorne"/>	State *	<input type="text" value="Texas"/>
Zip Code *	<input type="text" value="78244"/>	Zip Extension	<input type="text" value="XXXX"/>
		Business Telephone Number *	<input type="text" value="248-521-0254"/>

Open Payments User Guide for Covered Recipients – July 2024

Step 10: Review your profile information on the “Review and Submit Profile” page. Select the **Back** button at the bottom of the page to go back and edit any information. Once you have reviewed the information and determined it to be correct, select the **Submit** button.

Figure 91: Review and Submit Profile Page

Teaching Hospital > Review and Submit Profile

Review the information on this page to ensure it is correct. Select the “Back” button to navigate to the previous pages to correct any information. Select the “Continue” button to submit your profile.

Teaching Hospital Information

Teaching Hospital Name: Cooper University Hospital
Teaching Hospital Business Address:
1000 S 10th St
Camden NJ 08103
Taxpayer Identification Number (TIN): 210634462
Your Role: Authorized Official
First Name: Roger
Middle Name:
Last Name: White
Suffix:
Business Email Address: bzahm@index-analytics.com
Business Telephone Number: 248-521-0254
Job Title: Supervising Authority
Business Address, Line 1: 623 LAFAYETTE AVENUE
Business Address, Line 2:
City Name: Hawthorne
State: TX
Zip Code: 78244

Nominations

Role:	First Name:	Last Name:	Business Email Address:	Business Phone Number:	Status:
Authorized Official	Roger	White	bzahm@index-analytics.com	248-521-0254	Approved
Authorized Representative	Steve	Jones	sjones@index-analytics.com	254-785-3025	Nomination Approved

Back Cancel **Submit**

The following message will appear on-screen to confirm your profile has been successfully created. You will have immediate access to the teaching hospital data. If you nominated additional individuals for user roles, an email notification is sent to the nominees that will instruct them on their next steps.

Figure 92: Success Confirmed On-Screen Message

Registration Complete

Success:
You have successfully submitted for Cooper University Hospital profile information and created your own profile, Roger White.

You may now go to [Open Payments Home](#).

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

[Continue to Open Payments Home Page](#)

3.3h: Registering a Teaching Hospital: Returning System Users

If you are a returning user registering an additional teaching hospital in the Open Payments system, follow the steps below.

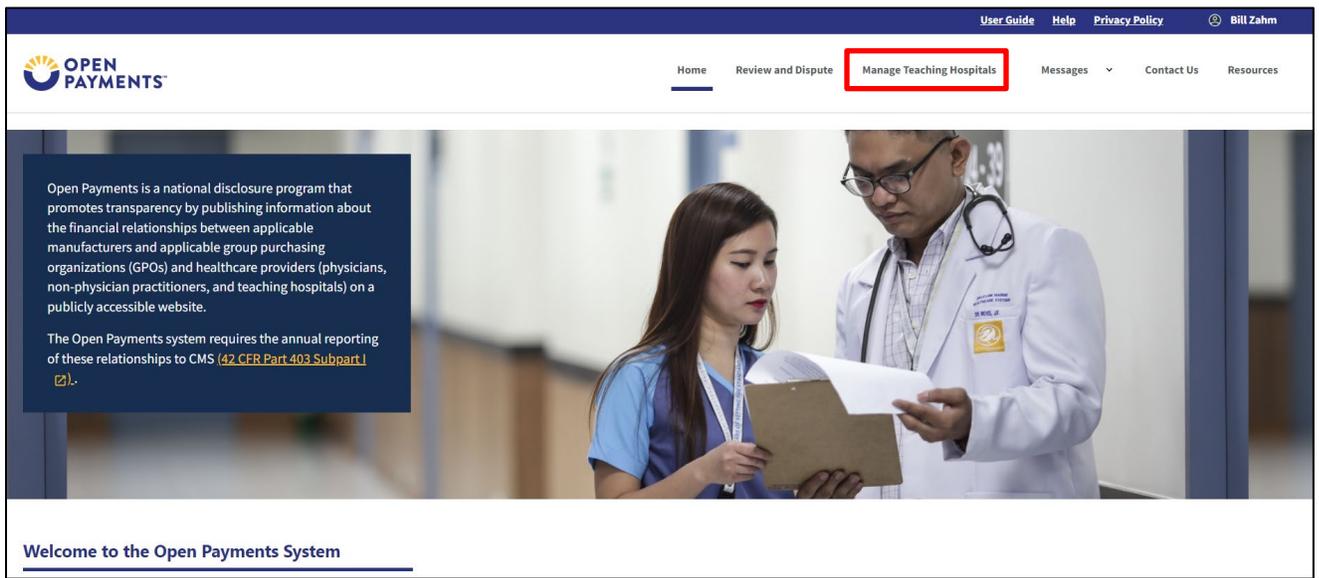
For example: You have already registered a teaching hospital in the Open Payments system, and now you want to register another teaching hospital.

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions.

You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

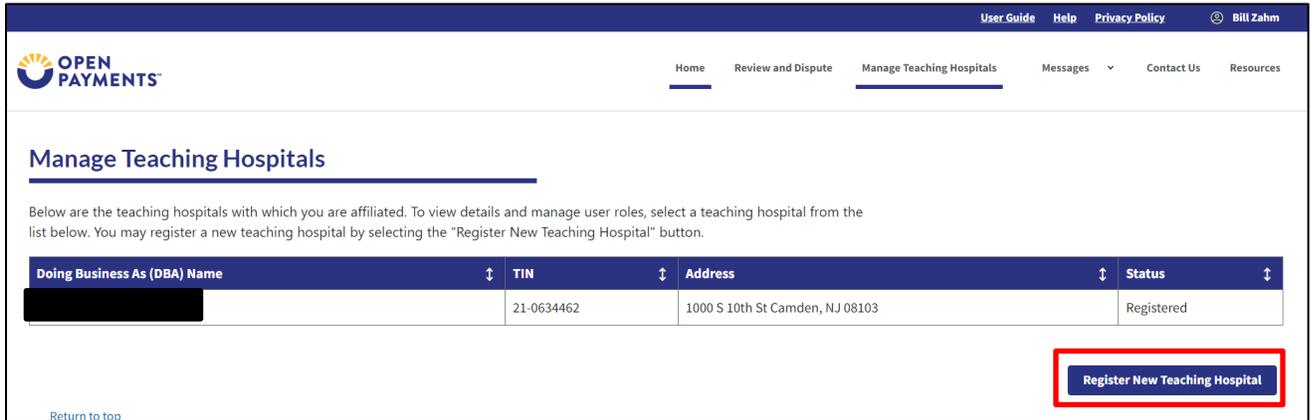
Select the **Manage Teaching Hospitals** tab on the home page.

Figure 93: Open Payments Home Page for Returning System Users



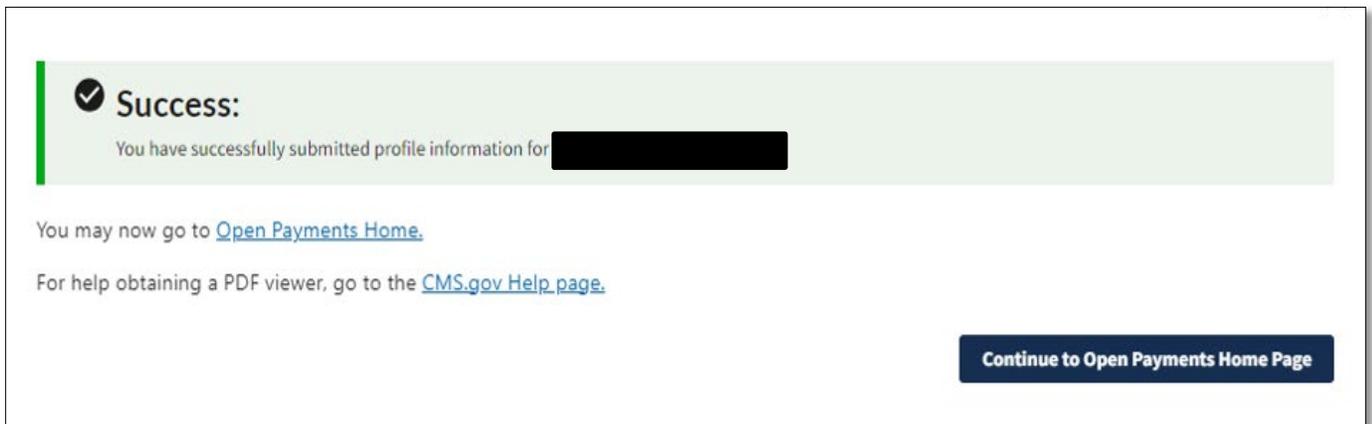
Step 2: From the “Manage Teaching Hospitals” page, select, **Register New Teaching Hospital**.

Figure 94: Manage Teaching Hospitals Page



Step 3: The remaining steps in this scenario are identical to registering your first teaching hospital. Please see Step 4 in [Section 3.3g](#), above.

Figure 95: Teaching Hospital Nominate Roles Page



3.3i Registering a Teaching Hospital: Prior Program Year

If you wish to register a teaching hospital in Open Payments that does **not** appear on the current program year's CMS Teaching Hospital List, but **does** appear in previous Teaching Hospital Lists, you can register the hospital for a prior program year. Doing so will allow you to view records associated with that teaching hospital from a prior program year.

If your teaching hospital is already registered in the Open Payments system, you do not need to re-register the teaching hospital for the prior program year.

The Teaching Hospital List is updated on an annual basis. If your teaching hospital does not appear in any CMS Teaching Hospital Lists, it will not have any records associated with it in the Open Payments system and cannot be registered.

Open Payments User Guide for Covered Recipients – July 2024

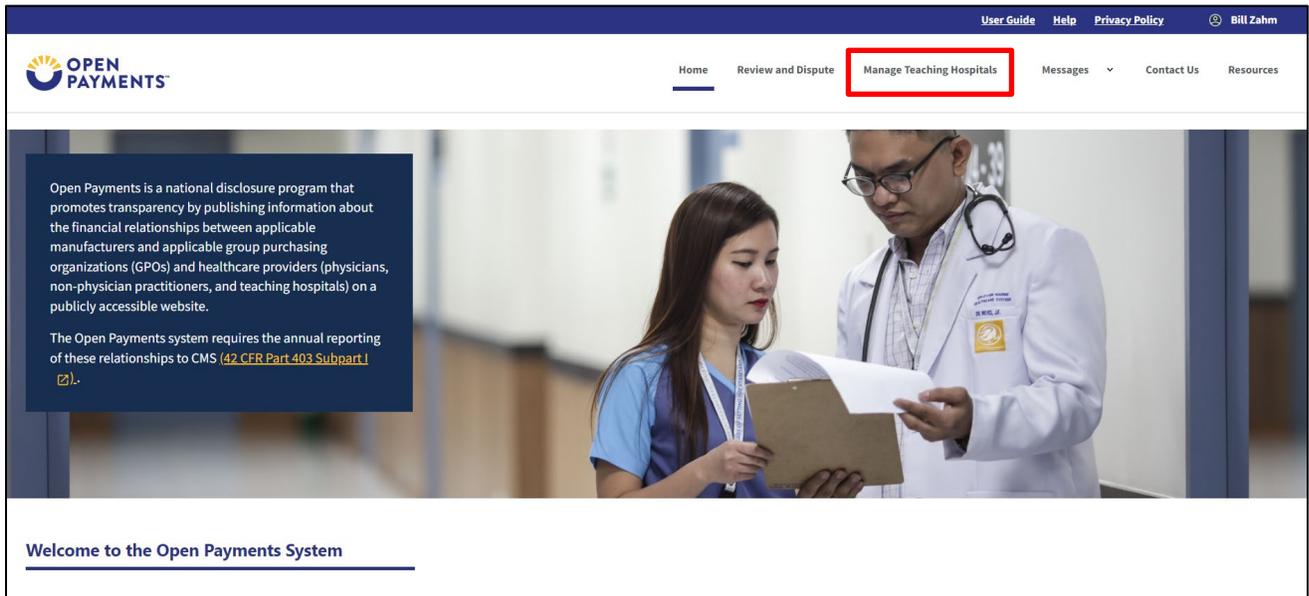
To register your teaching hospital for a prior program year, follow these steps:

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and agree to the Terms and Conditions.

You will need to verify your identity using Multi-Factor Authentication (MFA) after selecting the **Log In** button. The email address you input during IDM registration will be the default MFA device.

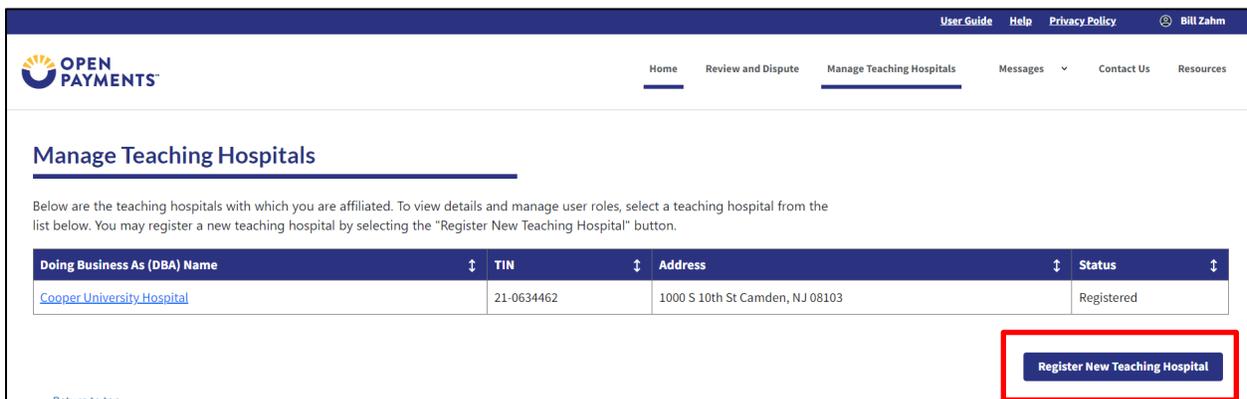
Select the **Manage Teaching Hospitals** tab on the Home page.

Figure 96: Open Payments System Home Page for Returning System Users



Step 2: On the “Manage Teaching Hospitals” page, select **Register New Teaching Hospital**.

Figure 97: Manage Teaching Hospitals Page



Open Payments User Guide for Covered Recipients – July 2024

Step 3: On the “Teaching Hospital> Search” page, select the link “Register for Prior Program Year.”

Figure 98: Teaching Hospital Search Page

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the “Search” button. If the teaching hospital is not listed in the search results, select the “Register for Prior Program Year” link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

State Where Teaching Hospital is Located *
-- Select --

Teaching Hospital Doing Business As Name *
-- Select --

Taxpayer Identification Number (TIN) *
-- Select --

Teaching Hospital Business Address *
-- Select --

Search

Back **Cancel** **Continue**

Step 4: In this scenario, you are registering a teaching hospital from a prior year. The only thing different from registering a teaching hospital for the first time is the addition of the first entry field, asking for the prior reporting year. Please continue with this completing the fields, then proceed to Step 4, section 3.3g, above, to continue this process.

Figure 99: Teaching Hospital Search Page: Prior Program Year

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

Prior Program Year *

2021

State Where Teaching Hospital is Located *

Florida

Teaching Hospital Doing Business As Name *

Jackson Memorial

Taxpayer Identification Number (TIN) *

591713947

Teaching Hospital Business Address *

18910 S Dixie Hwy Cutler Bay FL 33157

Search

[Back](#) [Cancel](#) [Continue](#)

3.3j: Using the Switch User Functionality

The Open Payments system allows you to hold multiple user roles based on your affiliations with multiple entities. You can switch among the Reporting entity, physician or NPP, and teaching hospital user types through a "Switch User Type" functionality. Once you have successfully registered within the Open Payments system under any user type (reporting entity, physician, or teaching hospital), the "Switch User Type" functionality will become available. The pages in the Open Payments system will look similar for each user type, though the actions available will change depending on the user type you are operating under at that time.

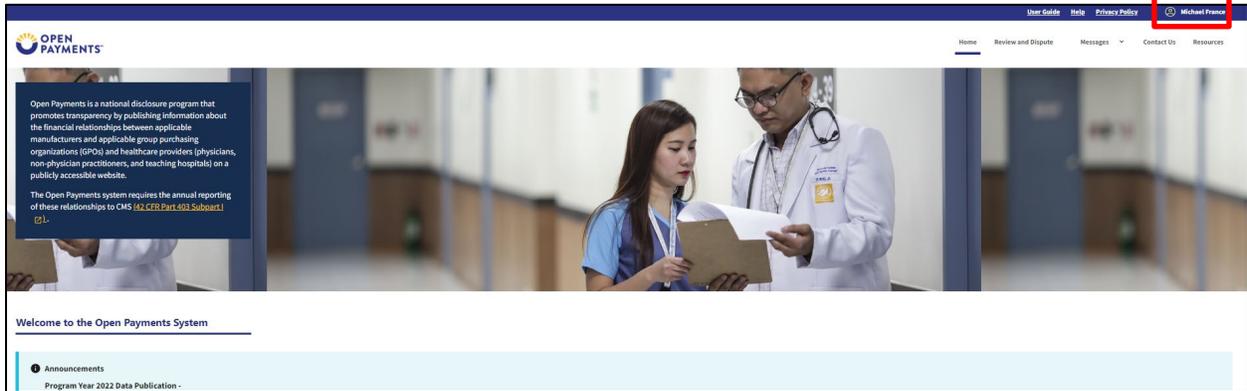
All users have access to the "Switch User Type" functionality. Users who have only a single user type can use the functionality to request roles for and/or register as another user type.

Follow these steps to switch between user types or request a role as another user type in the Open Payments system:

Open Payments User Guide for Covered Recipients – July 2024

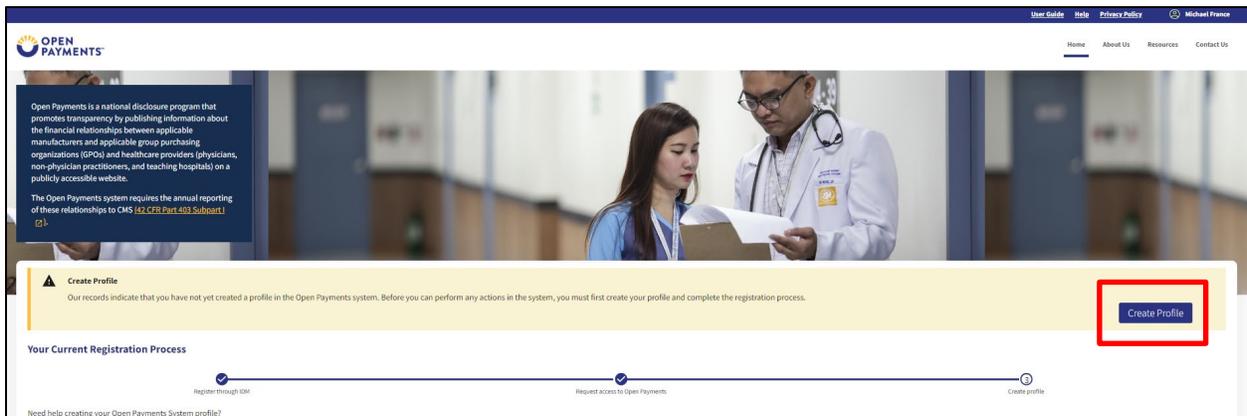
Step 1: At the very top of the page, select your name. In the dropdown, select the Switch User Type link, and then select the user type you would like to register a profile for.

Figure 100: Open Payments Landing Page for Returning Reporting Entity User



Step 2: In this example, the NPP user wants to create a profile for a reporting entity. If you are not currently assigned a role for the selected user type, you will be prompted to create a profile. Select the “Create My Profile” button to begin that process.

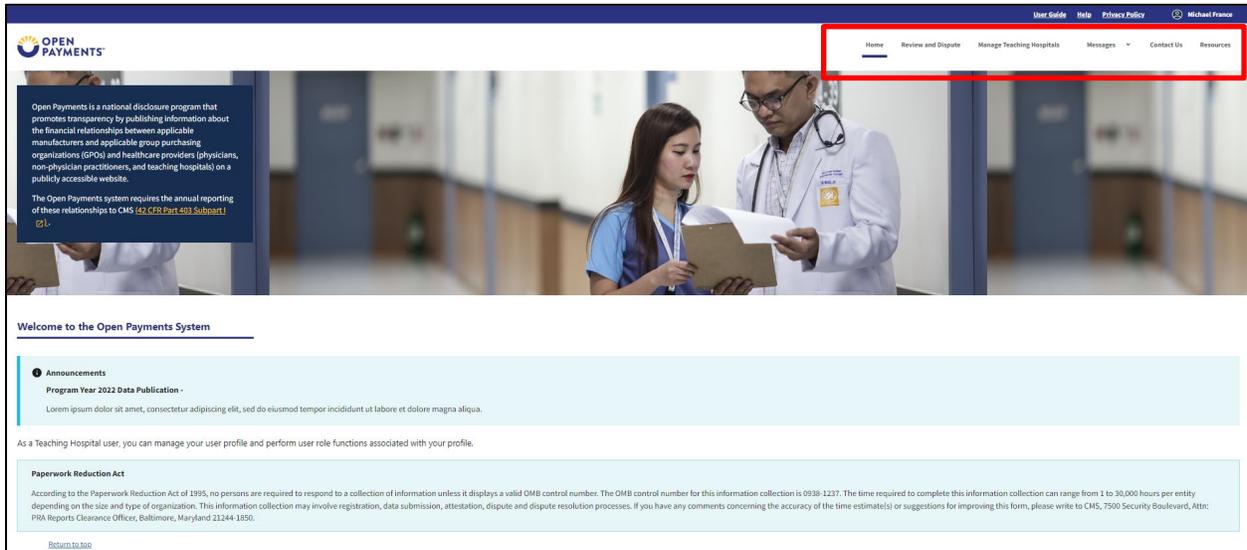
Figure 101: Open Payments Landing Page for First-Time User



Open Payments User Guide for Covered Recipients – July 2024

Step 3a: As another example, the NPP user already has a role in a teaching hospital. By switching the user type to “Teaching Hospital”, the user can now work within that profile.

Figure 102: Open Payments Landing Page for Returning User



The profile type will be displayed at the top of the page.

You may switch between user types at any time. To perform system actions such as registration and nominations, data submission, and review and dispute for the user type that is displayed, refer to the corresponding section of Open Payments User Guide for Reporting Entities or Open Payments User Guide for Covered Recipients.

Section 3.4: Open Payments Covered Recipient Users and User Roles

There are two user roles available for individuals associated with physicians, NPPs or teaching hospitals: authorized officials or authorized representatives.

3.4a: Physician and NPP User Roles

A physician may designate **one authorized representative** within the Open Payments system to take certain actions on behalf of the physician. This person can be another physician, an office manager, a practice manager, or another person the physician would like to designate to interface with the Open Payments system on his or her behalf. A physician cannot be his or her own authorized representative.

The physician will designate the level of access for their authorized representative; more than one access level can be granted. The different levels of access that can be granted to the authorized representative are:

1. **Read:** Default access level. Able to see covered recipient profile and records information.
2. **Modify Profile:** Able to edit or enter the covered recipient’s My Profile information (NPI, license, specialties, etc.).

3. **Dispute Records:** Able to dispute reported payments, other transfers of value, or physician ownership and investment interests.

Figure 103: Physician User Roles and User Role Functions

User Role	Function
Physician	<ul style="list-style-type: none"> • Registers him- or herself in the Open Payments system • Nominates an authorized representative • Has full access to review and dispute records • Views and download system generated email messages
Authorized Representative	<ul style="list-style-type: none"> • Physician can grant one or more of the following access levels: <ul style="list-style-type: none"> ○ <u>Read-only:</u> (default) Able to see covered recipient profile and records information ○ <u>Modify Profile:</u> Able to edit or enter a covered recipient’s “My Profile” information (NPI, license, specialties, etc.) ○ <u>Dispute Records:</u> Able to dispute records related to the covered recipient submitted by reporting entities • Access levels are separate; having “dispute records” access does not automatically include “modify profile” access, or vice versa • Views and download system generated email messages

3.4b: Teaching Hospital User Roles

Teaching hospitals can have up to 10 unique users holding the role of either an authorized official or authorized representative. There can be a maximum of five authorized officials per teaching hospital. For teaching hospitals, authorized officials are responsible for approving all user role nominations and modifying user roles. The teaching hospital authorized representatives will be granted certain permissions to access/review data, initiate a dispute on behalf of the teaching hospital, and make/approve nominations by an authorized official. The authorized representatives can be a physician, NPP, an office manager, a practice manager, or any person the teaching hospital would like to designate. There can be a maximum of five authorized official(s) per teaching hospital. The user roles applicable for teaching hospitals are given in the figure below.

Figure 104: Teaching Hospital User Roles and User Role Functions

User Role	Function
Authorized Official	<ul style="list-style-type: none"> • Registers with the teaching hospital in the Open Payments system • Nominates other users and modifies existing user roles • Approves or modifies nominations made by others and requests for user roles made by others, including self-nominations • Removes user roles from other users • Reviews and disputes records associated with the teaching hospital • Views and download system generated email messages
Authorized Representative	<ul style="list-style-type: none"> • Reviews and disputes records associated with the teaching hospital • Nominates other individuals for user roles with teaching hospital (nominations must be confirmed by an authorized official) • Views and download system generated email messages

To nominate an individual for any role, the following information must be input into the Open Payments system about that individual:

- First name
- Last name
- Business phone
- Business address
- Email address

Section 3.5: Nominations

The nomination process allows you to assign specific roles to individuals to act on behalf of a physician, NPP, or a teaching hospital. Physicians and NPPs can nominate an individual to serve as an authorized representative, and users affiliated with teaching hospitals can nominate individuals to serve as authorized representatives or authorized officials. Also, individuals can nominate themselves for a user role with a teaching hospital.

An authorized official from the teaching hospital must approve all nominations before users can begin performing actions in the system. Note: If you nominate yourself for a role with a teaching hospital that is already registered but lacks an active authorized official, please call the Open Payments Help Desk.

Individuals may not self-nominate to serve on behalf of physicians and NPPs. The physician or NPP must directly nominate the individual to serve as the authorized representative.

Open Payments User Guide for Covered Recipients – July 2024

Nominations can be made during registration or afterwards. The system will generate an email notification to the nominee informing them that they are nominated for a role in the Open Payments system. The email notifying teaching hospital authorized officials and authorized representatives will contain a registration ID and a nomination ID. The registration ID is specific to the teaching hospital; the nomination ID is specific to the individual nominee.

The email notifying physician and NPP authorized representatives of their nomination will contain only a nomination ID.

Users notified of their nomination for a role in a reporting entity must use the registration ID and/or nomination ID received in the nomination email to complete their profile in the Open Payments system to receive access to the functions for that specific role.

The nominee must confirm or reject the role within 10 calendar days. They can do so by registering in IDM to obtain credentials and request access to the Open Payments system. Nominees can then log in to the Open Payments system to confirm or reject the role. The Open Payments system will render the nomination expired if the nomination has not been accepted or rejected within 10 calendar days. This information is included in the email notification.

If the nomination is accepted, the individual will be able to complete a user profile, gain access to the system, and perform the duties of their role. If they reject the nomination, the individual will not be able to perform the actions on that entity's behalf and the authorized official will receive an email notification of the nomination rejection.

Nominees will need to have IDM credentials to access the Open Payments system and accept or reject their nominations.

If there is no action taken by the nominee, a final reminder notification will be sent on the 9th calendar day, reminding the nominee that they have yet to accept or reject the nomination. If the nomination is still not accepted or rejected by the end of the 10th calendar day, an expired nomination notification will be sent to the nominee. **Figure 105** shows the five steps in the nomination acceptance process.

Figure 105: Accepting Nominations Process



Users can also be deactivated from a teaching hospital by a teaching hospital authorized official. Deactivation removes an individual's association with a teaching hospital in the Open Payments system, including removing the individual's access to that teaching hospital's information and records. It does not remove the individual from the Open Payments system.

In the "My Open Payments Profile" page of the Open Payments system, user roles are listed with a status. An explanation of those statuses is in the table below.

Figure 106: User Role Statuses for Physicians, NPPs, and Teaching Hospitals

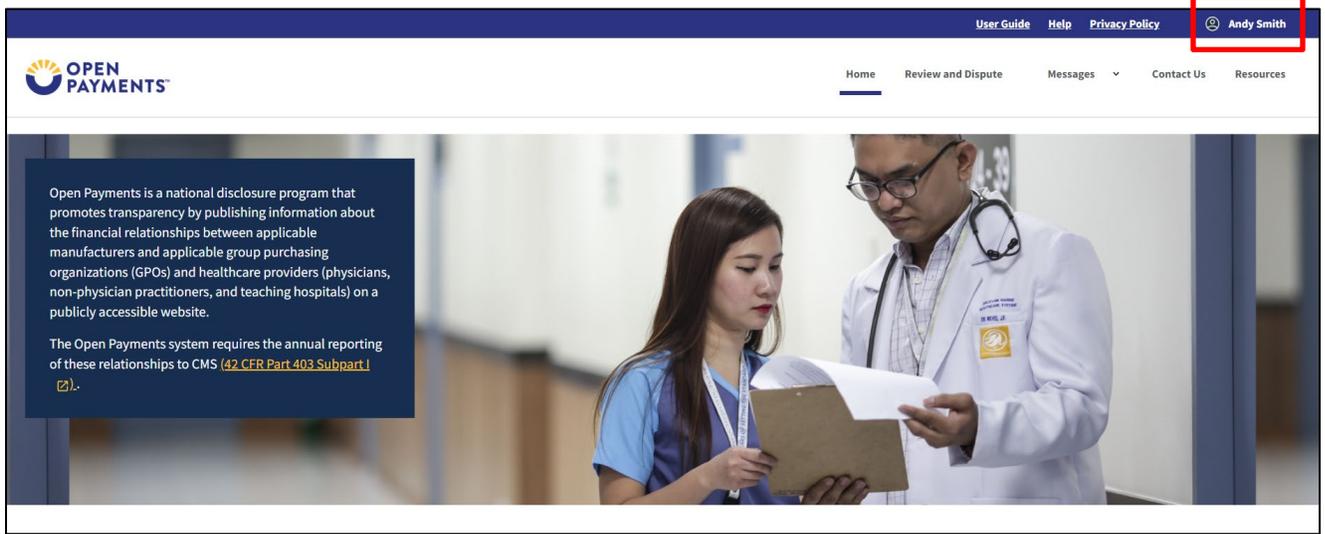
User Role Status	Meaning
Nominated	The individual has been nominated for a user role with the teaching hospital or physician or NPP
Declined	The individual declined the nomination for the user role
Accepted	The individual accepted the nomination for the user role
Requested (teaching hospital only)	An individual has self-nominated for a user role with a teaching hospital
Approved (teaching hospital only)	The individual’s self-nomination for the user role has been approved by an authorized official with the teaching hospital
Vetted (physician and NPP only)	The physician or NPP has successfully completed the vetting process
Pending Vetting (physician and NPP only)	The physician or NPP has not yet undergone the vetting process
Vetting In Progress (physician and NPP only)	The physician or NPP is undergoing the vetting process
Failed Vetting (physician and NPP only)	The physician or NPP has not yet undergone the vetting process

3.5a: Nominating an Authorized Representative for Physicians or NPPs (Returning System Users)

If you are a physician or NPP that did not delegate an authorized representative during initial registration, follow the steps below to nominate an individual for that role.

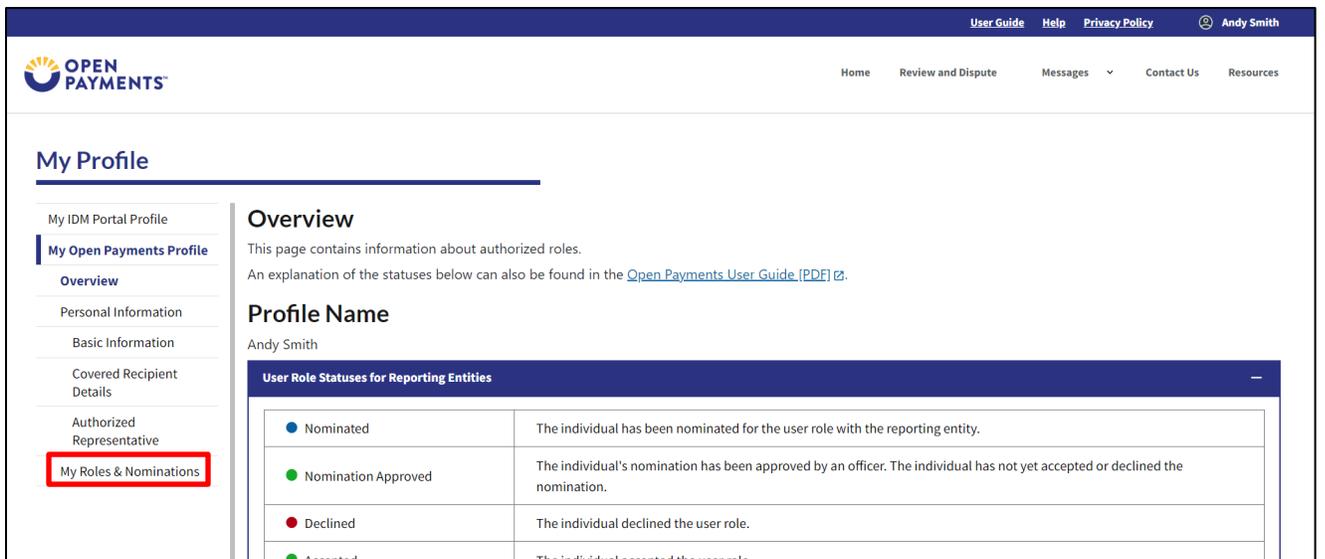
Step 1: Log in to the CMS Enterprise Portal at <https://openpayments.system.cms.gov> using your IDM credentials, navigate to the Open Payments home page, and select the “My Open Payments Profile” link under your name in the upper-right corner of the home page.

Figure 107: Open Payments Landing Page for Returning User



Step 2: From the “My Profile” page, select the **Authorized Representative** link on the left side of the page. tab.

Figure 108: My Profile Page



Step 3: On the bottom of the Authorized Representative Details page, select **Update Authorized Representative Details** button.

Figure 109: My Profile Details Page

The screenshot displays the 'My Profile Details Page' with the following elements:

- Business Address, Line 2:** A text input field containing 'Business Address, Line 2'.
- City Name *:** A text input field.
- State *:** A dropdown menu with '-- Select --' and a downward arrow.
- Zip Code *:** A text input field with 'XXXXX'.
- Zip Extension:** A text input field with 'XXXX'.
- Business Telephone Number *:** A text input field with 'XXX-XXX-XXXX'.
- Assign the Covered Recipient Authorized Representative's Access Level:** A section with explanatory text: 'The authorized representative's access level will determine the actions they can take on behalf of the covered recipient in the Open Payments system. By default, the covered recipient authorized representative can read any information in the covered recipient's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. covered recipient authorized representatives cannot edit their access levels.'
- Access Level:** A section with three radio button options:
 - Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
 - Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
 - Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by reporting entities.
- Update Authorized Representative Details:** A blue button with white text, highlighted with a red rectangular border.

Step 4: On the Authorized Representative Details page, if you have not delegated an authorized representative, there will be nothing entered in the fields. To delegate an authorized representative, complete the fields shown.

You may only delegate one authorized representative. If this page shows an authorized representative, and you want to change it to someone else, you may do so at this time by deleting and re-entering the appropriate fields.

The default level of access is "Read." If you would like the authorized representative to have more access while representing you in the Open Payments system, select "Modify Profile" or "Dispute Records." Access levels are discussed in Section 3.4. When complete, select **Update Authorized Representative Details**.

Figure 110: My Profile Details Tab Editing

Authorized Representative Details

Your Authorized Representative Details are provided below. To modify your profile information, select the "Update Authorized Representative Details" button.

Personal Information

First Name * [Text Field] Last Name * [Text Field]
Middle Name [Text Field] Suffix (Jr., Sr., etc.) [Text Field]

Authorized Representative's Business Address

Enter the nominated authorized representative's business address information below. Enter the primary business address if the authorized representative has multiple business addresses.

Email Address * [Text Field] Job Title * [Text Field]
Country * [Dropdown Menu]
Business Address, Line 1 * [Text Field]
Business Address, Line 2 [Text Field]
City * [Text Field] State * [Dropdown Menu]
Zip Code * [Text Field] Zip Extension [Text Field] Business Telephone Number * [Text Field]

Assign the Covered Recipient Authorized Representative's Access Level

The authorized representative's access level will determine the actions they can take on behalf of the covered recipient in the Open Payments system. By default, the covered recipient authorized representative can read any information in the covered recipient's profile in the Open Payments system. Select any additional level(s) of access to be granted to the authorized representative below. covered recipient authorized representatives cannot edit their access levels.

Access Level

- Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
- Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by reporting entities.

Update Authorized Representative Details

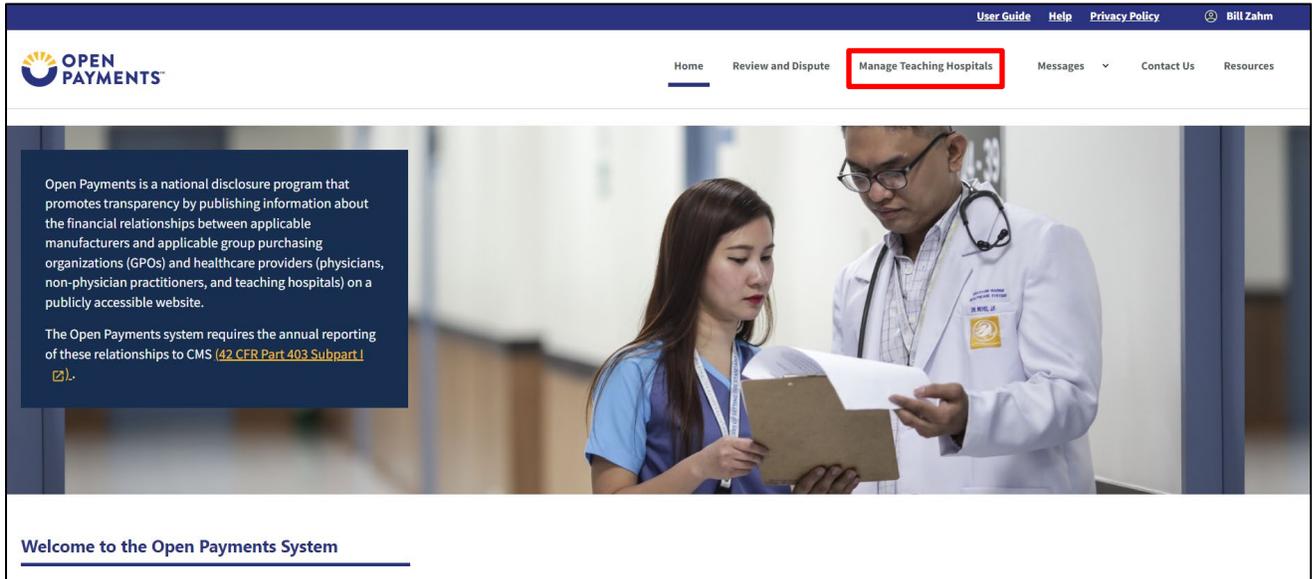
Step 5: The nominee will receive an email notification that they have been nominated for a role in the Open Payments system. The email will contain a nomination ID and specific instructions. Users notified of their nomination for a role must use the nomination ID received in the nomination email to complete their profile in the Open Payments system and receive access to the functions for that specific role. The nominee will have 10 calendar days to accept or reject the nomination.

3.5b: Nominating Additional Roles for a Teaching Hospital: Returning System Users

If you are an authorized official for a teaching hospital that did not nominate individuals for user roles during initial registration, follow the steps below. The authorized representative can be a physician, NPP, an office manager, a practice manager, or any person the teaching hospital would like to designate.

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and select the **Manage Teaching Hospitals** tab.

Figure 111: Open Payments Landing Page for Returning User



Step 2: Select the teaching hospital for which you wish to nominate a new role.

Figure 112: Manage Teaching Hospitals Page

Manage Teaching Hospitals

Below are the teaching hospitals with which you are affiliated. To view details and manage user roles, select a teaching hospital from the list below. You may register a new teaching hospital by selecting the "Register New Teaching Hospital" button.

Doing Business As (DBA) Name	TIN	Address	Status
[REDACTED]	21-0634462	1000 S 10th St Camden, NJ 08103	Registered

[Register New Teaching Hospital](#)

Step 3: Select Manage Roles.

Figure 113: Teaching Hospital Information Page

Teaching Hospital Details

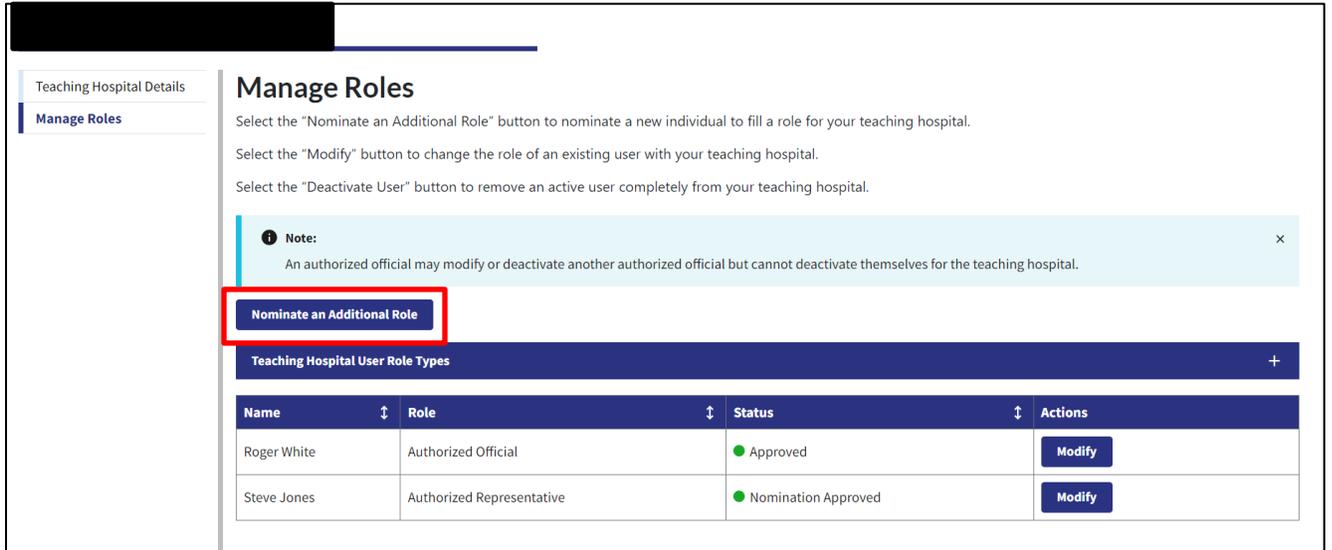
Below are the details for the teaching hospital you selected, listed by program year.

Taxpayer Identification Number (TIN): 21-0634462

Program Year	TIN	DBA Name	Legal Name	Cost Report Address	NPPES Address
2023	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 08103	1000 S 10th St Camden NJ 08103
2022	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2021	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2020	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2019	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2018	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2017	21-0634462	Cooper University Hospital	[REDACTED]	One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103

Step 4: Select the **Nominate an Additional Role** button.

Figure 114: Manage Teaching Hospital Manage Roles Tab



Step 5: Enter the required fields and select the desired role for the nomination, such as authorized official. All required fields are marked with an asterisk. When finished, select the **Send Nomination** button.

Figure 115: Teaching Hospital Manage Roles Tab Nominate Roles

Nominate or Modify a Role Page

A field with an asterisk (*) is required.

Nominate someone who will have a role in the Open Payments system for your Teaching Hospital.

User Information

First Name * Middle Name Last Name * Suffix (Jr., Sr., etc.)

Indicate the role this person will have: *

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Business Contact Information

Job title *

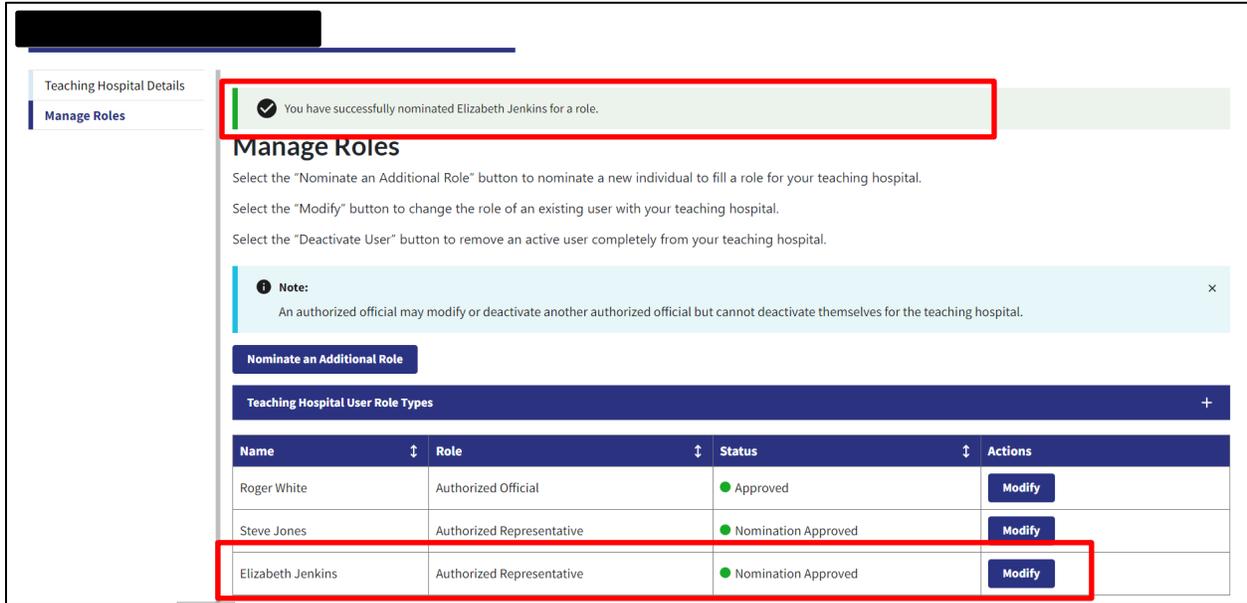
Business Address, Line 1 * Business Address, Line 2

City * State * Zip Code * Zip Extension

Email Address * Business Telephone Number *

After you send the nomination, you will be brought back to the **Manage Roles** page, and you will see that the new nomination and the status of the nomination are now listed on the page.

Figure 116: Teaching Hospital Manage Roles Tab Confirmation



The nominee will receive an email notification that they have been nominated for a role in the Open Payments system.

The email notifying the nominee will contain a registration ID and a nomination ID. Users notified of their nomination for a role must use the registration ID and nomination ID received in the nomination email to complete their profile in the Open Payments system and receive access to the functions for that specific role.

The nominee will have 10 calendar days to accept or reject the nomination.

3.5c: Accepting or Rejecting a Nomination: Authorized Representative – First-Time Users

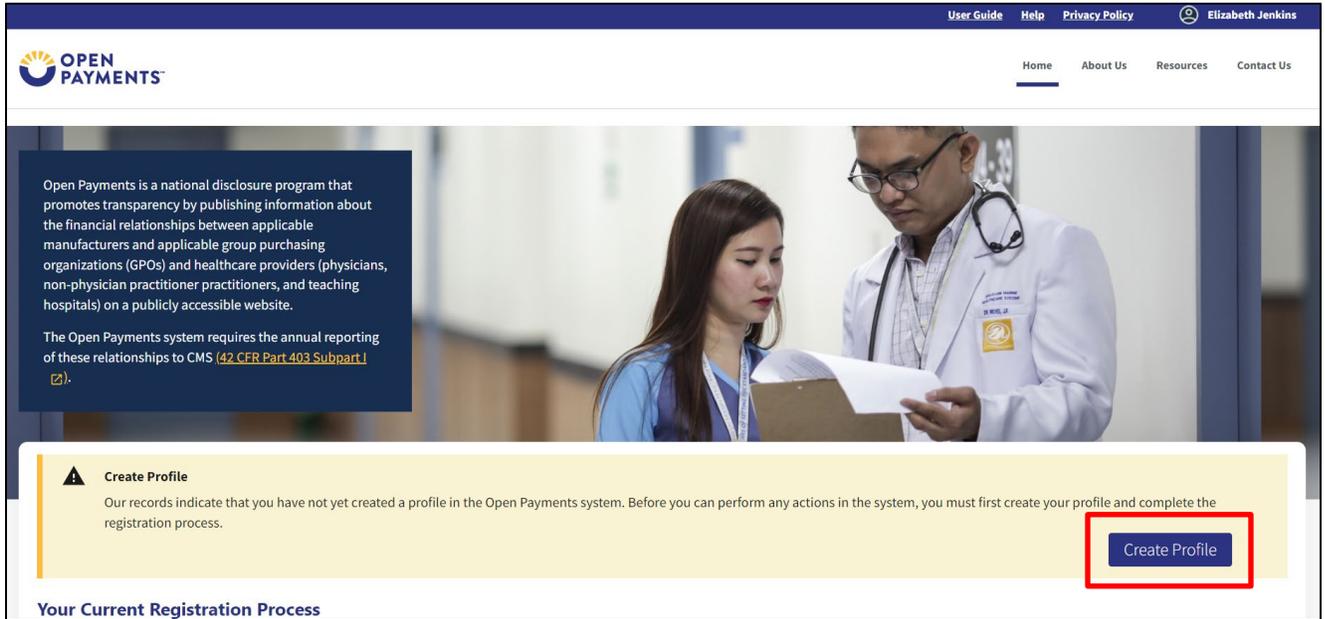
If you have been nominated for a physician and/or NPP authorized representative role, you will receive a notification email containing instructions and important information needed to accept your nomination. You will have 10 calendar days to accept or reject the nomination. If you have not taken action on your nomination within 10 days, the nomination will expire. Follow the steps below to accept the nomination.

Step 1: You will need to create an IDM account, and then request access to the Open Payments system. Follow the instructions in section 3.1 of this guide. When requesting a role in IDM, select **“Physician, Non-Physician Practitioner, Teaching Hospital Authorized Representative, or Reporting Entity Submitter/Attester/Compliance”**.

Open Payments User Guide for Covered Recipients – July 2024

Then, log in to the Open payments system at <https://openpayments.system.cms.gov> using your IDM credentials. The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 117: Open Payments Landing Page for First-Time System Users



Step 2: The on-screen text contains important information regarding creating the physician, NPP, and individual profiles. Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 118: Create My Profile Page

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \(PDF\)](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

[Back](#) [Start profile](#)

Step 3: Although you are affiliating with a physician or NPP, do not select **Physician or Non-Physician Practitioner** on the “Select Profile Type” page. Instead, select the **I have a Nomination ID and/or a Registration ID** link.

Figure 119: Select Profile Type Page

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the “I have a Nomination ID and/or a Registration ID” link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note:

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the “Physician or Non-Physician Practitioner” option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the “I have a Nomination ID and/or a Registration ID”**

I have a Nomination ID and/or a Registration ID

Applicable Manufacturer or applicable Group Purchasing Organization (GPO)

Physician or Non-Physician Practitioner

Teaching hospital

Step 4: Select the User Type **Physician or Non-Physician Practitioner** from the dropdown. Enter the nomination ID included in the nomination notification email you received and then select the **Show Nomination** button.

Figure 120: Search for Nomination Page

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

Information: Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received. Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Physician or Non-Physician Practitioner

Nomination ID *
The ID is a 12-digit number.

10000001373

Show Nomination

Cancel

Step 5: Review the nomination information displayed. If the information is correct, select the **Continue** button. If the information is incorrect, select the **Cancel** button and contact the nominator directly.

Figure 121: Search for Nomination Page with Nomination Shown

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

i Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received.

Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Physician or Non-Physician Practitioner

Nomination ID *

The ID is a 12-digit number.

100000001373

Show Nomination

Nomination Search Results:

Below is the information associated with the nomination.

Select "Cancel" to return to the previous page if the information displayed is incorrect.

Elizabeth Jenkins was nominated as Non-Physician Authorized Rep.

User Type: Non-Physician Practitioner

Non-Physician Practitioner's Name: Sam Wilton

Cancel **Continue**

Step 6: Review the physician or NPP information displayed on the page. You will not be able to edit the information. Ensure that this is the physician or NPP for which you want to accept the user role nomination. If it is not the correct physician or NPP, either select the **Back** button at the bottom of the page to return to the previous page to correct the information you have entered or select the **Cancel** button to end the acceptance process.

Figure 123: Confirm Nomination Page

Confirm Nomination

All fields are required unless indicated as optional

Confirm the user role by accepting or rejecting the nomination. Select the appropriate option below. Then select the "Continue" button to proceed.

Nominations

Sam Wilton

1217 Cast Iron Drive
Longview TX 78244

Covered Recipient Primary Type: Nurse Practitioner
National Provider Identifier (NPI): 1558507830
Business Telephone: 210-602-4955

Confirm Nomination?

Yes, I accept the role of Authorized Representative.
 No, I do not accept

Access Level:

Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
 Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
 Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by reporting entities.

[Back](#) [Cancel](#) [Continue](#)

Step 8: Enter your personal information and select the **Continue** button.

Figure 124: Authorized Representative Page

Authorized Representative

All fields are required unless indicated as optional

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note Note that any changes made here will not automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Identity Management (IDM) accounts.

Basic Information

First Name *	Last Name *	Middle Name (optional)	Suffix (Jr., Sr., etc.) (optional)
<input type="text" value="Elizabeth"/>	<input type="text" value="Jenkins"/>	<input type="text"/>	<input type="text"/>

Business Address

Job Title *

Business Email Address *	Business Telephone Number *
<input type="text" value="ejenkins@Sam.com"/>	<input type="text" value="210-584-7841"/>

Business Address, Line 1 *

Business Address Line 2 (optional)

Country *	City *	State *
<input type="text" value="United States"/>	<input type="text" value="San Antonio"/>	<input type="text" value="Texas"/>

Zip Code *	Zip Extension
<input type="text" value="78244"/>	<input type="text" value="XXXX"/>

Step 9: Now you will be asked to review the information you have entered. To correct any errors, select the **Back** button at the bottom of the page to return to previous pages and make your corrections. If the information is correct, select the **Submit** button.

Figure 125: Review and Submit Profile Page

Review and Submit Profile

All fields are required unless indicated as optional

Review the information entered in the previous sections.

Select the "back" button to navigate to the previous page(s) and correct any incorrect information, Select then "Continue" button to submit your user profile.

Authorized Representative Information

Personal Information

First Name: Elizabeth
Middle Name:
Last Name: Jenkins
Suffix (Jr., Sr., etc.):
Job Title: Administrator
Business Email Address: ejenkins@Sam.com
Business Telephone: 210-584-7841

Business Information

Business Address, Line 1: 123 Chicken Street
Business Address, Line 2:
City: San Antonio
State: TX
Zip Code: 78244
Country: US

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 126: Success Confirmed Page

Nomination Accepted

Success:
You have successfully accepted your nomination.

You may return to [Open Payments Home](#).

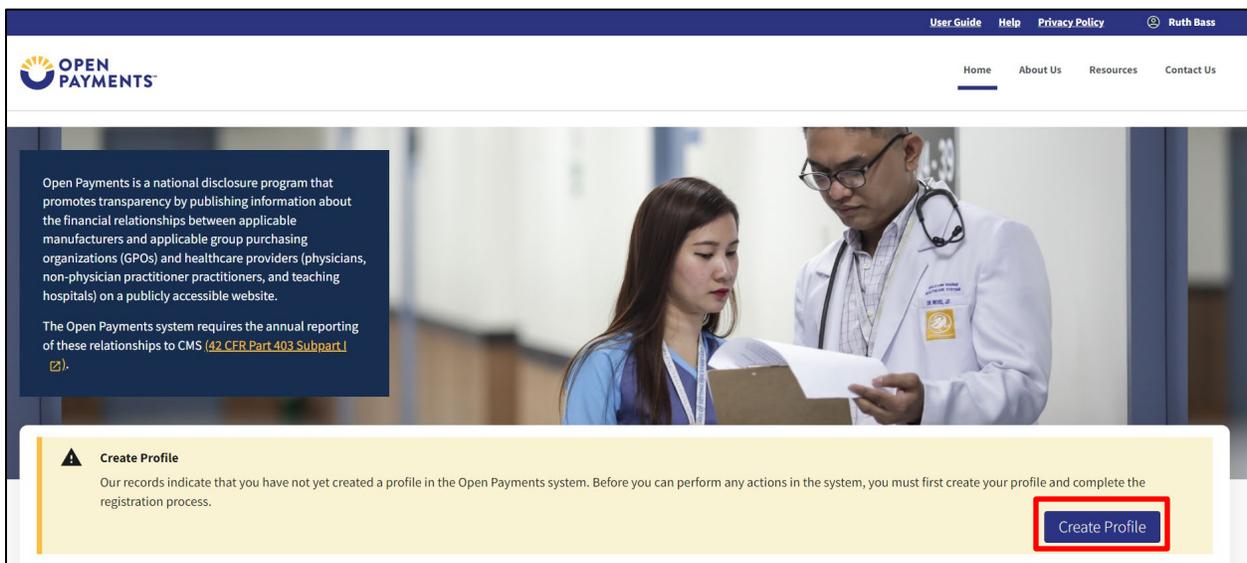
For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

3.5d: Accepting or Rejecting a Nomination: Physician or NPP First Time System Users

If you have been nominated for a physician or NPP user role, you will receive a notification email. Take note of the information in the email, including the nomination ID, then follow the steps below to accept the nomination.

Step 1: If you are a first-time system user, you will need to create an IDM account, and request access to the Open Payments system. To do this, see [Section 3.1](#) of this document. Once you have done that, log in to the Open payments system at <https://openpayments.system.cms.gov> using your IDM credentials. The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 127: Open Payments Landing Page for First-Time System Users



Step 2: The on-screen text contains important information regarding creating the physician, NPP, and individual profiles. Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 128: Create Profile Page

Create Profile

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the "[Required Information for Registration](#)" quick reference guide (PDF). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

[Back](#) [Start profile](#)

Step 3: On the “Select a Profile Type” page though you are affiliating with a physician or an NPP, do not select **Physician or Non-Physician Practitioner**. Instead, select the link **I have a Nomination ID and/or a Registration ID**, then, select **Continue**.

Figure 129: Select Profile Type Page

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the “I have a Nomination ID and/or a Registration ID” link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note: If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the “Physician or Non-Physician Practitioner” option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the “I have a Nomination ID and/or a Registration ID”**

- I have a Nomination ID and/or a Registration ID
- Applicable Manufacturer or applicable Group Purchasing Organization (GPO)
- Physician or Non-Physician Practitioner
- Teaching hospital

Step 4: Select the user type “Physician or Non-Physician Practitioner” from the drop-down menu. Enter the nomination ID that you received in the nomination notification email and then select **Show Nomination**.

Figure 130: Search for Nomination Screen

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

i Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received.
Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Physician or Non-Physician Practitioner

Nomination ID *
The ID is a 12-digit number.

752201894114

Show Nomination

Cancel

Step 5: Review the nomination information displayed. If the information is correct, select **Continue**. If the information is incorrect, select the **Cancel** button and contact the Open Payments Help Desk at openpayments@cms.hhs.gov.

Figure 131: Nomination Search Results Page

i Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received.
Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Physician or Non-Physician Practitioner

Nomination ID *
The ID is a 12-digit number.

752201894114

Show Nomination

Nomination Search Results:

Below is the information associated with the nomination.
Select "Cancel" to return to the previous page if the information displayed is incorrect.

Daniel Mertz was nominated as Physician Authorized Rep.

User Type: Physician
Physician Name: Andy Smith

Cancel **Continue**

Step 6: Review the covered recipient information to confirm it is correct. If it is, select **Continue** to proceed. If it is not, contact the provider than nominated you.

Confirm Nomination

A field with an asterisk (*) is required.

Confirm the user role by accepting or rejecting the nomination. Select the appropriate option below. Then select the "Continue" button to proceed.

Nominations

Andy Smith
345 Main
Central TX 78244

Covered Recipient Primary Type: Medical Doctor
National Provider Identifier (NPI): 1558507830
Business Telephone: 148-572-6842

Confirm Nomination:
 Yes, I accept the role of Authorized Representative.
 No, I do not accept

Access Level:

- Read - (Default access level) Able to see covered recipient profile and records associated with the covered recipient.
- Modify Profile - Able to edit or enter the covered recipient's profile information (NPI, license, taxonomy code, etc.).
- Dispute Records - Able to dispute records of payments or other transfers of value associated with the covered recipient that was submitted by the reporting entities.

[Back](#) [Cancel](#) [Continue](#)

Step 8: Review your personal information. If the information displayed is correct, select the **Continue** button. If the information displayed is incorrect, update the necessary fields. When finished, select the **Continue** button.

Figure 133: Authorized Representative Basic Information

Basic Information

First Name * Daniel	Last Name * Mertz	Middle Name (optional) 	Suffix (Jr., Sr., etc.) (optional)
-------------------------------	-----------------------------	-----------------------------------	---

Business Address

Job Title *
Administrator

Business Email Address * fdgjh@fsdkgjsdfk.com	Business Telephone Number * 210-965-0214
---	--

Business Address, Line 1 *
543 North

Business Address Line 2 (optional)

Country * United States	City * Central	State * Texas
-----------------------------------	--------------------------	-------------------------

Zip Code * 78244	Zip Extension XXXX
----------------------------	------------------------------

Back Cancel **Continue**

Step 9: Review the information you have entered. To correct any errors, select the **Back** button at the bottom of the page to return to previous pages and make your corrections. If the information is correct, select the **Continue** button.

Figure 134: Review and Submit Profile Page

Review and Submit Profile

Review the information entered in the previous sections.

Select the "back" button to navigate to the previous page(s) and correct any incorrect information, Select then "Continue" button to submit your user profile.

Authorized Representative Information

Personal Information

First Name: Daniel
Middle Name:
Last Name: Mertz
Suffix (Jr., Sr., etc.):
Job Title: Administrator
Business Email Address: fdgjhg@fsdkgjsdfk.com
Business Telephone: 210-965-0214

Business Information

Business Address, Line 1: 543 North
Business Address, Line 2:
City: Central
State: TX
Zip Code: 78244
Country: US

[Back](#) [Cancel](#) [Continue](#)

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 135: Nomination Accepted Page

Nomination Accepted

Success:
You have successfully accepted your nomination.

You may return to [Open Payments Home](#).

For help obtaining a PDF viewer, go to the [CMS.gov Help page](#).

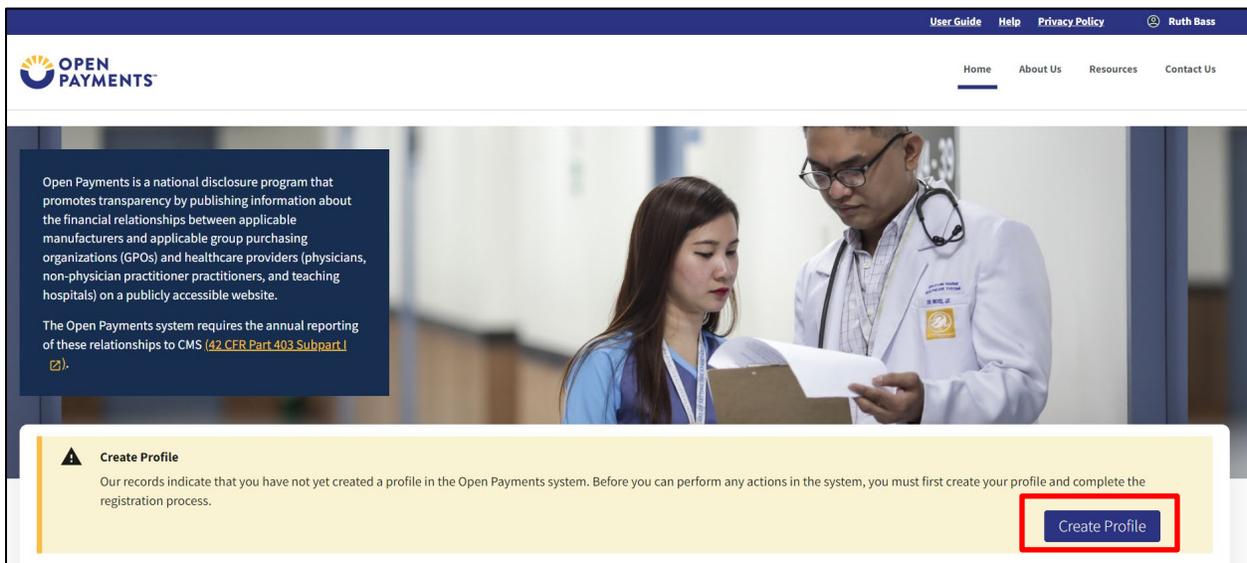
[Continue to Open Payments Home Page](#)

3.5e: Accepting or Rejecting a Nomination (Teaching Hospital – First Time System Users)

If you have been nominated for a teaching hospital user role, you will receive a notification email. Take note of the information in the email, including registration ID and nomination ID, then follow the steps below to accept the nomination.

Step 1: If you are a first-time system user, you will need to create an IDM account, and request access to the Open Payments system. To do this, see [Section 3.1](#) of this document. Once you have done that, log in to the Open payments system at <https://openpayments.system.cms.gov> using your IDM credentials. The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 136: Open Payments Landing Page for First-Time System Users



Step 2: The on-screen text contains important information regarding creating the physician, NPP, and individual profiles. Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 137: Create Profile Page

Create Profile

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \(PDF\)](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

[Back](#) [Start profile](#)

Step 3: On the "Select a Profile Type" page though you are affiliating with a teaching hospital, do not select Teaching Hospital. Instead, select the link I have a Nomination ID and/or a Registration ID, then, select Continue.

Figure 138: Select Profile Type Page

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note:

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID"**

- I have a Nomination ID and/or a Registration ID
- Applicable Manufacturer or applicable Group Purchasing Organization (GPO)
- Physician or Non-Physician Practitioner
- Teaching hospital

Step 4: Select the user type "Teaching Hospital" from the drop-down menu. Enter the registration ID and nomination ID that you received in the nomination notification email and then select **Show Nomination**.

Figure 139: Search for Nomination Page

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

i Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received.
Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Teaching Hospital

Registration ID *
The ID is a 12-digit number.

100009000484

Nomination ID *
The ID is a 12-digit number.

100000001376

Show Nomination

Cancel

Step 5: Review the nomination information displayed. If the information is correct, select **Continue**. If the information is incorrect, select the **Cancel** button and contact the Open Payments Help Desk at openpayments@cms.hhs.gov.

Figure 140: Search for Nomination Select Page

Search for Nomination

A field with an asterisk (*) is required.

From the dropdown list below, select your User Type.

i Nominees for Physician or Non-Physician Practitioner authorized representatives receive only Nomination ID numbers.

Enter your Nomination ID and Registration ID included in the nomination email you have received.

Select the "Show Nomination" button to see the information associated with your nomination.

User Type *

Teaching Hospital

Registration ID *
The ID is a 12-digit number.

100009000484

Nomination ID *
The ID is a 12-digit number.

100000001376

Show Nomination

Nomination Search Results:

Below is the information associated with the nomination.

Select "Continue" if the information displayed on the screen is correct.

Select "Cancel" to return to the previous page if the information displayed is incorrect.

Ruth Bass was nominated as Authorized Representative.

User Type: Teaching Hospital

Teaching Hospital Doing Business As Name: Cooper University Hospital

Cancel **Continue**

Step 6: Select "Yes, I accept the role of" if you wish to accept the role or "No, I do not accept" if you do not wish to accept the role. If you accept the role and select **Continue**, you will continue the nomination confirmation process.

If you reject the role for which you have been nominated, select the **Continue** button after selecting "No, I do not accept" for the role. The system will ask you if you are sure you want to reject this role. If you confirm your choice to reject the role, you will exit the system and the nominator will receive an email that you rejected the nomination. The nominator will then be allowed to nominate another individual for the role.

Figure 141: Confirm Nomination Page

OPEN PAYMENTS

User Guide Help Privacy Policy Ruth Bass

Home About Us Resources Contact Us

Create Profile

- Select Profile Type ✓
- Teaching Hospital: Search ✓
Search Nomination ✓
- Confirm Nomination**
- Review and Submit Profile

Confirm Nomination

A field with an asterisk (*) is required.

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

1000 S 10th St
Camden, NJ 08103
TIN: 210634462

Confirm Nomination: *

Yes
I accept the role of Authorized Representative: May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

No
I do not accept.

Back Cancel **Continue**

Step 7: Review your personal information. If the information displayed is correct, select the **Continue** button. If the information displayed is incorrect, update the necessary fields. When finished, select the **Continue** button.

Figure 142: Personal Information Page

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note Note that any changes made here will not automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Identity Management (IDM) accounts.

Basic Information

First Name * James	Last Name * White
Middle Name	Suffix (Jr., Sr., etc.)

Business Information

Job title * Administrator	Email Address *
Business Address, Line 1 * 428 Hayne St	
Business Address, Line 2 Business Address, Line 2	
City * Walkin	State * Arizona
Postal Code * 85001	Business Telephone Number * 2102789999

[Back](#) [Cancel](#) [Continue](#)

Step 8: Review the information you have entered. To correct any errors, select the **Back** button at the bottom of the page to return to previous pages and make your corrections. If the information is correct, select the **Submit** button.

Figure 143: Review and Submit Profile Page

Teaching Hospital > Review and Submit Profile

Review the information entered in the previous sections. Select the "Back" button to navigate to the previous page(s) and correct any incorrect information. Select the "Submit" button to submit your profile.

Teaching Hospital Information

Teaching Hospital Name: [REDACTED]
Teaching Hospital Business Address:
1000 S 10th St
Camden NJ 08103
Taxpayer Identification Number (TIN): 210634462
Your Role: Authorized Representative
First Name: James
Middle Name:
Last Name: White
Suffix:
Business Email Address: [REDACTED]
Business Telephone Number: 2102789999
Job Title: Administrator
Business Address, Line 1: 428 Hayne St
Business Address, Line 2:
City Name: Walkin
State: AZ
Zip Code:

Nominations

Role:	First Name:	Last Name:	Business Email Address:	Business Phone Number:	Status:
Authorized Representative	James	White	[REDACTED]	2102789999	Nomination Approved

Open Payments User Guide for Covered Recipients – July 2024

The following message will appear on-screen to confirm your nomination has been successfully accepted.

Figure 144: Success Confirmed Page

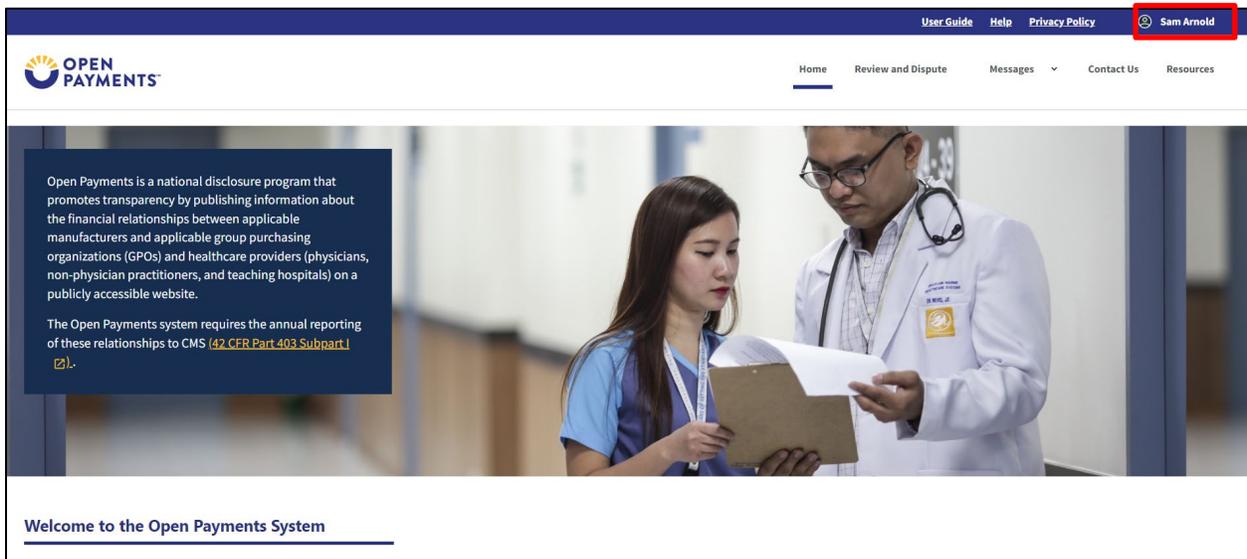


3.5f: Accepting or Rejecting a Nomination (Teaching Hospital – Returning System Users)

If you have been nominated for a teaching hospital user role you will receive a notification email. Take note of the information in the email, including registration ID and nomination ID, then follow the steps below to accept the nomination.

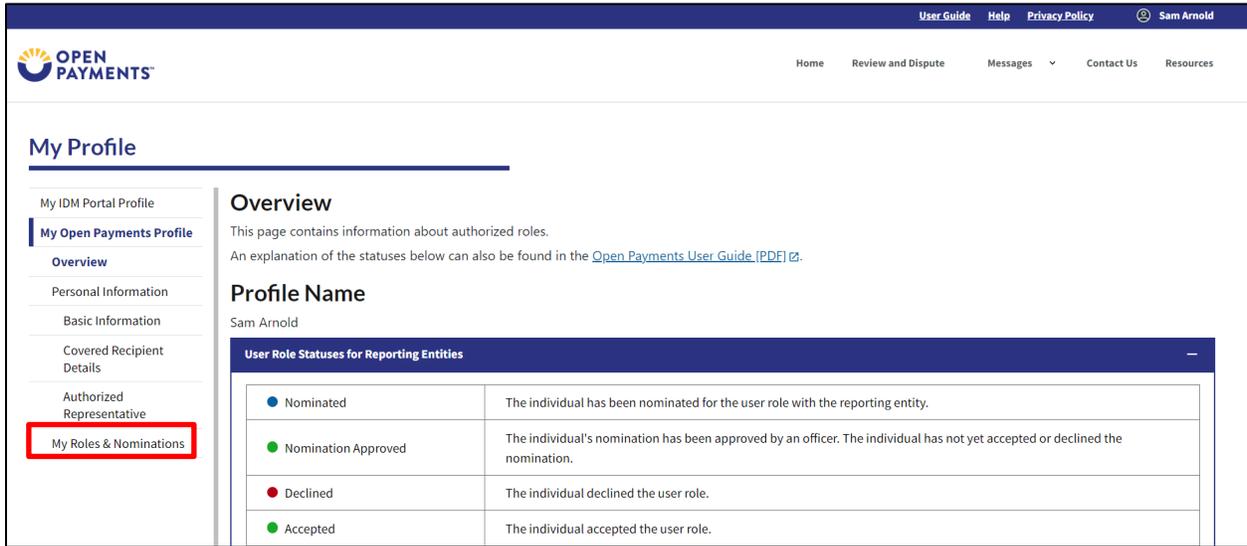
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and select the **My Open Payments Profile** link.

Figure 145: Open Payments Landing Page for Returning Teaching Hospital Users



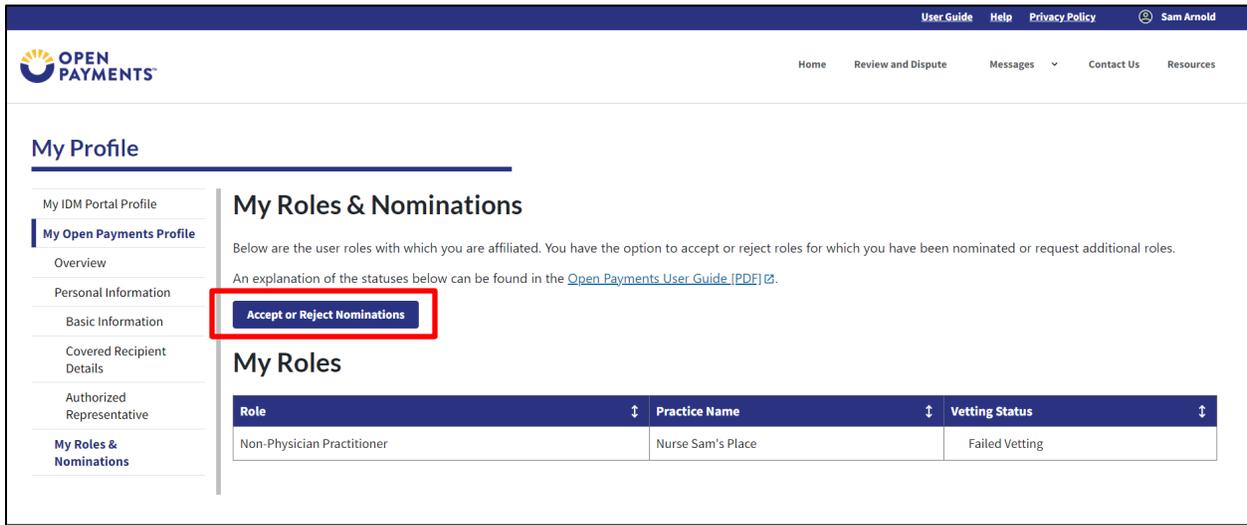
Step 2: Select **My Roles and Nominations**.

Figure 146: My Profile Page



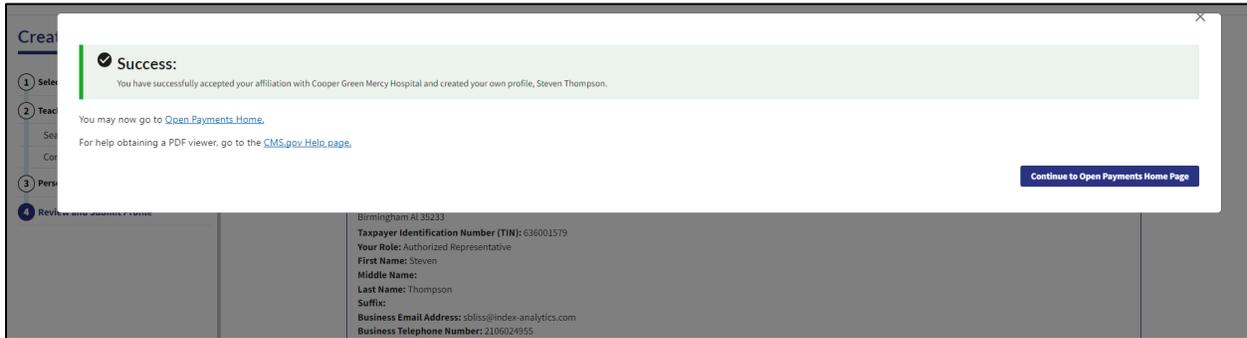
Step 3: Select **Accept or Reject Nominations**.

Figure 147: My Profile Page My Roles and Nominations



Step 4: Select your user type from the drop-down and enter the registration ID and nomination ID in the appropriate fields. When complete, select **Show Nomination**. The rest of the role accepting process is the same as Step 4, [Section 3.5e](#).

Figure 148: Success

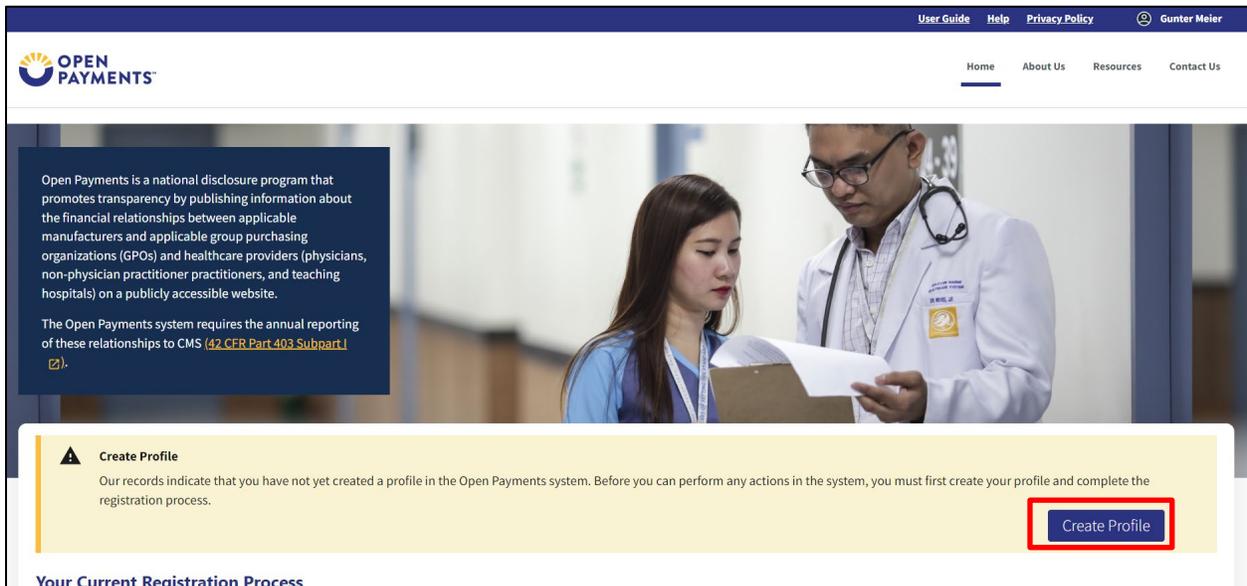


3.5g: Self-Nomination: Requesting a Role: Teaching Hospital - First Time System Users

If you are a first-time user and would like to self-nominate for a teaching hospital role as an authorized official or authorized representative, follow the steps below.

Step 1: First, you must create an account in IDM, and request access to the Open Payments system (See [Section 3.1](#), above). After you create an IDM account and request access to Open Payments, log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials. The on-screen text contains important information regarding the registration process. Read the on-screen text and select **Create Profile** when you are ready to begin the registration process.

Figure 149: Open Payments Landing Page for First-Time Teaching Hospital User



Step 2: Read the on-screen text and select **Start Profile** at the bottom of the page when you are ready to continue.

Figure 150: Create Profile Page

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the ["Required Information for Registration" quick reference guide \[PDF\]](#). This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select **"Start Profile."**

Step 3: Select the profile type **Teaching Hospital**. When finished, select the **Continue** button.

Figure 151: Select Profile Type Page

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note:

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID"**

- I have a Nomination ID and/or a Registration ID
- Applicable Manufacturer or applicable Group Purchasing Organization (GPO)
- Physician or Non-Physician Practitioner
- Teaching hospital

Step 4: Search the database for the correct teaching hospital. Select the teaching hospital's state, name, business address, and Taxpayer Identification Number (TIN) from the dropdowns. When finished, select the **Search** button.

Figure 152: Teaching Hospital Search Page

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

State Where Teaching Hospital is Located *

New Jersey

Teaching Hospital Doing Business As Name *

[REDACTED]

Taxpayer Identification Number (TIN) *

210634462

Teaching Hospital Business Address *

1000 S 10th St Camden Nj 08103

Search

[Back](#) [Cancel](#) [Continue](#)

Step 5: Review the returned information displayed on the page. Select the **Continue** button if the information displayed is correct. If the information is not correct, select the **Back** button at the bottom of the page to return to the previous page and edit the search information you entered.

Figure 153: Teaching Hospital Search Page Search Results

Teaching Hospital > Search

To register a teaching hospital for the current program year, select the correct information from each of the dropdown fields below and select the "Search" button. If the teaching hospital is not listed in the search results, select the "Register for Prior Program Year" link to register for a prior year.

For assistance, you can refer to the [Teaching Hospital List](#), available on the [Resources page of the Open Payments website](#). The Teaching Hospital List contains identifying information for all teaching hospitals registered with CMS. The list is updated annually and lists for all program years are available.

If you do not find your teaching hospital below or on a Teaching Hospital List, contact the Open Payments Help Desk at openpayments@cms.hhs.gov for assistance.

Note: If you are a physician who works in a teaching hospital and wish to see data associated with you as a physician, register in Open Payments as a physician. If you are a physician who works at a teaching hospital and wish to represent that teaching hospital, proceed with registering the teaching hospital or requesting a nomination for a role with a teaching hospital. If you wish to do both, you may register both as a physician and a teaching hospital, though this cannot be done in the same registration session.

[Register for Prior Program Year](#)

State Where Teaching Hospital is Located *
New Jersey

Teaching Hospital Doing Business As Name *
[Redacted]

Taxpayer Identification Number (TIN) *
210634462

Teaching Hospital Business Address *
1000 S 10th St Camden NJ 08103

Search

Teaching Hospital Search Results

This teaching hospital is already registered in the Open Payments System:

[Redacted]
1000 S 10th St
Camden, NJ 08103
TIN: 210634462

If this is the correct teaching hospital, select the "Continue" button to continue with the process. If this is not the teaching hospital you wish to affiliate yourself with, change the search criteria above to search for another teaching hospital.

Back **Cancel** **Continue**

Step 6: Review the information displayed on the page. If the information is correct, select the **Continue** button. If the information is not correct, select the **Back** button at the bottom of the page to select a different teaching hospital.

Figure 154: Register Teaching Hospital Page

OPEN PAYMENTS

User Guide Help Privacy Policy Gunter Meier

Home About Us Resources Contact Us

Create Profile

- Select Profile Type
- Teaching Hospital
 - Search
 - Register Teaching Hospital**
 - Your Role
- Personal Information
- Review and Submit Profile

Teaching Hospital > Register Teaching Hospital

Review the teaching hospital information below to ensure this is the teaching hospital you wish to affiliate with.

Business Address:
1000 S 10th St,
Camden, NJ 08103

Taxpayer Identification Number (TIN):
210634462

Back **Cancel** **Continue**

Step 7: Select the role you wish to nominate yourself for in the teaching hospital. Note that as you are not registering with the teaching hospital, you may select either role. After you have chosen your role, enter in the role-related information in the data fields. Required fields are marked with an asterisk. Once you have entered all the information, select the **Continue** button.

Figure 155: Teaching Hospital Your Role Page

Teaching Hospital > Your Role

A field with an asterisk (*) is required.

Select the role for which you want to nominate yourself with the teaching hospital.

The user roles are as follows: +

Choose your role: *

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users.
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

Your Role Related Information

Enter your personal information below.

First Name *	<input type="text" value="Gunter"/>	Last Name *	<input type="text" value="Meier"/>
Middle Name	<input type="text"/>	Suffix (Jr., Sr., etc.)	<input type="text"/>
Email Address *	<input type="text" value="gmeier@tkb.com"/>	Business Telephone Number *	<input type="text" value="215-478-5901"/>

[Back](#) [Continue](#)

Step 8: Review your information displayed on the page. Enter your job title and business address. When finished, select the **Continue** button.

Figure 156: Personal Information Page

Personal Information

A field with an asterisk (*) is required.

Add the requested personal and business information to your user profile. Some fields are pre-populated. Review pre-populated information for accuracy and correct any invalid information.

Note that any changes made here will not automatically update your profile information in your other CMS accounts, such as your Medicare, National Plan & Provider Enumeration System (NPPES) or Identity Management (IDM) accounts.

Basic Information

First Name *	<input type="text" value="Gunter"/>	Last Name *	<input type="text" value="Meier"/>
Middle Name	<input type="text"/>	Suffix (Jr., Sr., etc.)	<input type="text"/>

Business Information

Job title *	<input type="text" value="Administrator"/>	Email Address *	<input type="text" value="gmeier@tkb.com"/>
Business Address, Line 1 *	<input type="text" value="623 LAFAYETTE AVENUE"/>		
Business Address, Line 2	<input type="text" value="Business Address, Line 2"/>		
City *	<input type="text" value="Hawthorne"/>	State *	<input type="text" value="New Jersey"/>
Zip Code *	<input type="text" value="07506"/>	Zip Extension	<input type="text" value="XXXX"/>
		Business Telephone Number *	<input type="text" value="147-526-8745"/>

Step 9: Review your profile information on the “Review and Submit Profile” page. Select the **Back** button at the bottom of the page to go back and edit any information. Once you have reviewed the information and determined it to be correct, select the **Submit** button.

Figure 157: Review and Submit Profile Page

Teaching Hospital > Review and Submit Profile

Review the Information on this page to ensure it is correct. Select the “Back” button to navigate to the previous pages to correct any Information. Select the “Continue” button to submit your profile.

Teaching Hospital Information

Teaching Hospital Name: [REDACTED]
Teaching Hospital Business Address:
1000 S 10th St
Camden NJ 08103
Taxpayer Identification Number (TIN): 210634462
Your Role: Authorized Representative
First Name: Gunter
Middle Name:
Last Name: Meier
Suffix:
Business Email Address: gmeier@tkb.com
Business Telephone Number: 147-526-8745
Job Title: Administrator
Business Address, Line 1: 623 LAFAYETTE AVENUE
Business Address, Line 2:
City Name: Hawthorne
State: NJ
Zip Code: 07506

Nominations

Role:	First Name:	Last Name:	Business Email Address:	Business Phone Number:	Status:
Authorized Representative	Gunter	Meier	gmeier@tkb.com	147-526-8745	Requested

Back Cancel **Submit**

The following message will appear on-screen to confirm your profile has been successfully created. You will receive an email when your nomination is approved. Also, the authorized officials for the teaching hospital will receive emails notifying them of your request for a user role.

Once an authorized official approves your request, you will receive another email, and then you may start any teaching hospital activities.

Open Payments User Guide for Covered Recipients – July 2024

If you are nominating yourself for a role with a teaching hospital that has already been registered but which lacks an active authorized official to approve your self-nomination, please contact the Open Payments Help Desk at 1-855-326-8366.

Figure 158: Success Confirmation Page



3.5h: Self-Nomination: Requesting a Role: Teaching Hospital - Returning System Users

If you would like to self-nominate for a teaching hospital role as an authorized official or authorized representative, and already have a profile in the Open Payments system, follow the steps below.

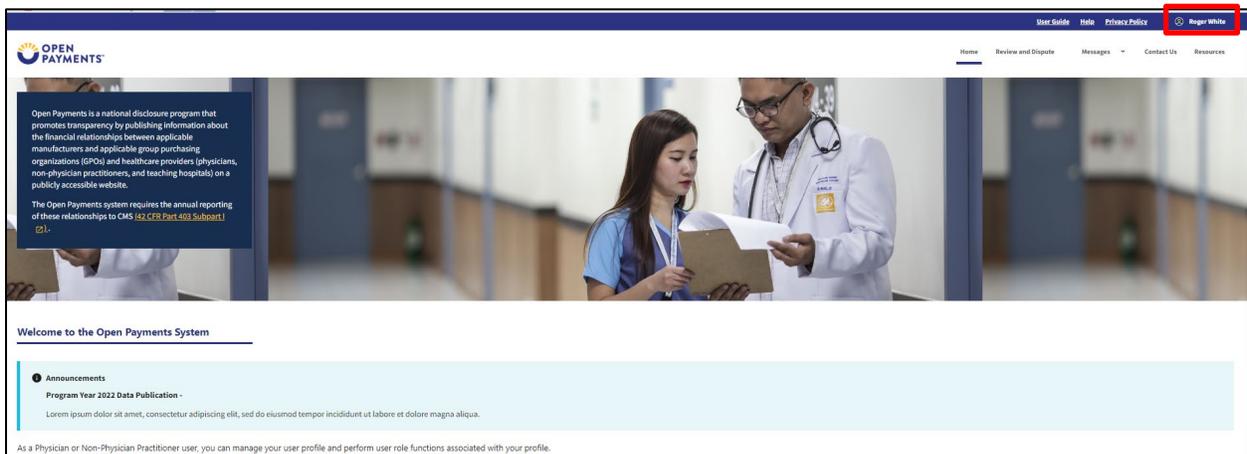
Step 1: Log in to the CMS Enterprise Portal at <https://openpayments.system.cms.gov> using your IDM credentials.

Select the “Switch User Type” link under your name at the top of the screen.

Then, select the Teaching Hospital link from the dropdown.

Select **Create Profile** from the new page.

Figure 159: Open Payments Landing Page for Returning User



Step 2: The on-screen text contains important information regarding creating the physician or NPP individual profiles. Read the on-screen text and **Start Profile** at the bottom of the page when you are ready to continue.

Figure 160: Create My Profile Page

Creating a Profile

To begin creating a profile and registering in the Open Payments system, you must identify your affiliation with an applicable manufacturer, applicable group purchasing organization (GPO), teaching hospital, physician, or non-physician practitioner. Users with provider type of Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant are called Non-Physician Practitioners in the Open Payments system.

For reporting entities, prior to beginning registration, collect the information required for reporting entity registration, then proceed with the registration process.

For reporting teaching hospital, physician, or non-physician practitioner registration, prior to beginning registration, collect the information required for covered recipient registration, then proceed with the registration process.

If the applicable manufacturer, applicable GPO, teaching hospital, physician, or non-physician practitioner has already been registered in the Open Payment system and you have not affiliated with them in the Open Payments system, you may:

- Ask a user already affiliated with the reporting entity or covered recipient to nominate you for a user role; or
- Create a personal profile and request a role with the reporting entity or covered recipient user. Note that users who wish to associate themselves with physicians or non-physician practitioners must be nominated by the physician or non-physician practitioner.

Required Information

Fields for required information are marked with an asterisk *.

It is important that you have all required information available when you begin because registration must be completed in one session. **A registration session will time out after 30 minutes of inactivity. If that occurs, all information entered during that session will be lost.**

A list of required information is available in the "[Required Information for Registration](#)" quick reference guide [PDF]. This QRG is also available on the [Resources page of the Open Payments website](#).

Registering as a Covered Recipient

Registering as a Physician or a Teaching Hospital

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

Physicians should register themselves in the Open Payments system and not delegate this task to another individual.

Registering as a Non-Physician Practitioner

If you are registering as a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant, select the "Physician or Non-Physician Practitioner" option when registering. If you are a Physician Assistant, Nurse Practitioner, Clinical Nurse Specialist, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife, or Anesthesiologist Assistant who works at a teaching hospital and wish to see data related to you in your capacity as a non-physician practitioner, select the "Physician or Non-Physician Practitioner" option when registering.

When you are ready to begin registration, select "Start Profile."

[Back](#) [Start profile](#)

Step 3: Select the user type "Teaching Hospital", and then select **Continue**.

Figure 161: Select Profile Type

Select a Profile Type

A field with an asterisk (*) is required.

If you received a nomination ID and/or registration ID in an email notifying you of your nomination for a user role, begin creating your profile by selecting the "I have a Nomination ID and/or a Registration ID" link.

If you did not receive a nomination ID and/or a registration ID in an email, begin creating your profile by indicating whether you are a reporting entity or covered recipient.

Note:

If you are a physician who works at a teaching hospital and wish to see data related to you in your capacity as a physician, select the "Physician or Non-Physician Practitioner" option when registering. Registering as a user affiliated with a teaching hospital will allow you to see only data related to that teaching hospital and not your records. You may register as both a physician and a user affiliated with a teaching hospital if needed.

If you have any questions regarding the user type you should register as, please contact the Open Payments Help Desk openpayments@cms.hhs.gov for guidance.

*** Required: Select the type of entity or covered recipient you are affiliated with OR the "I have a Nomination ID and/or a Registration ID"**

I have a Nomination ID and/or a Registration ID

Teaching hospital

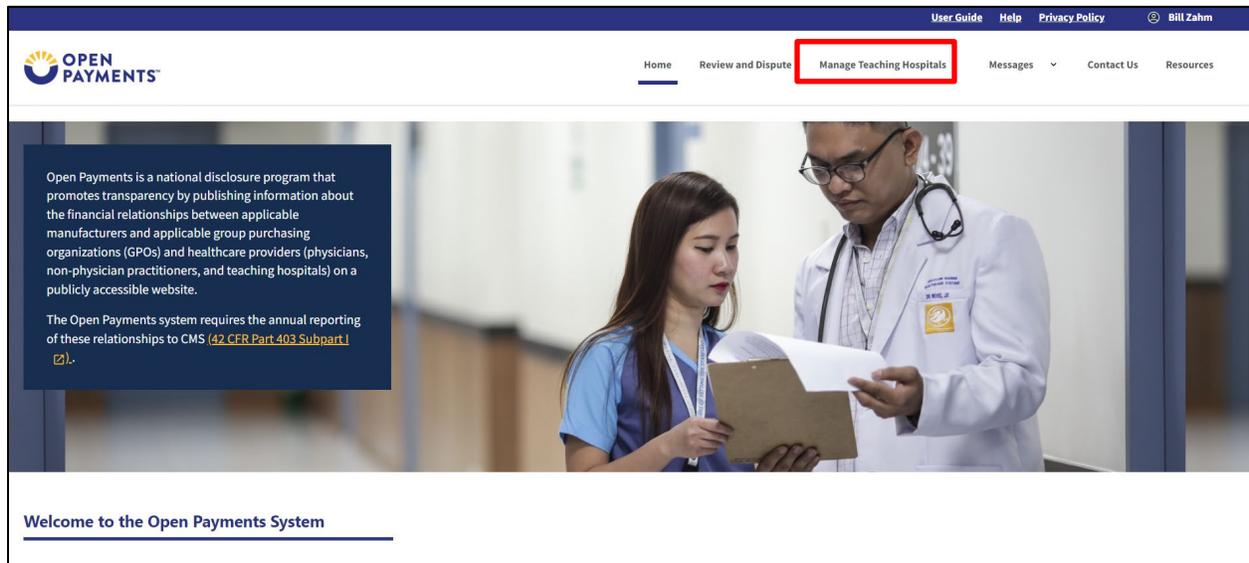
Step 4: The rest of this workflow is the same as Step 4, 3.5e.

3.5i: Approving or Modifying a Nomination: Authorized Official Returning Users Only

If you are an authorized official for a teaching hospital and would like to approve or modify a nomination, follow the steps below.

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and Select **Manage Teaching Hospitals**.

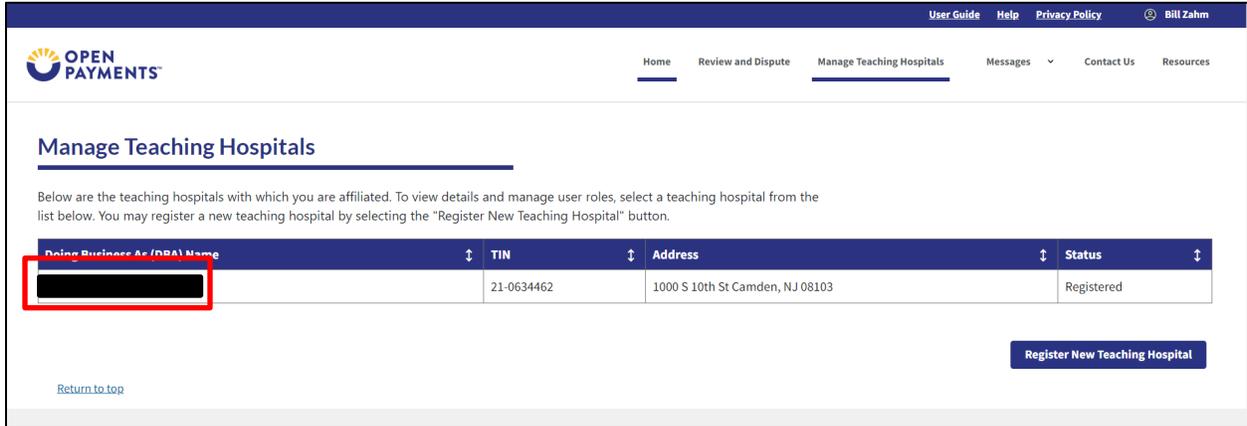
Figure 162: Open Payments Landing Page for Returning User



Open Payments User Guide for Covered Recipients – July 2024

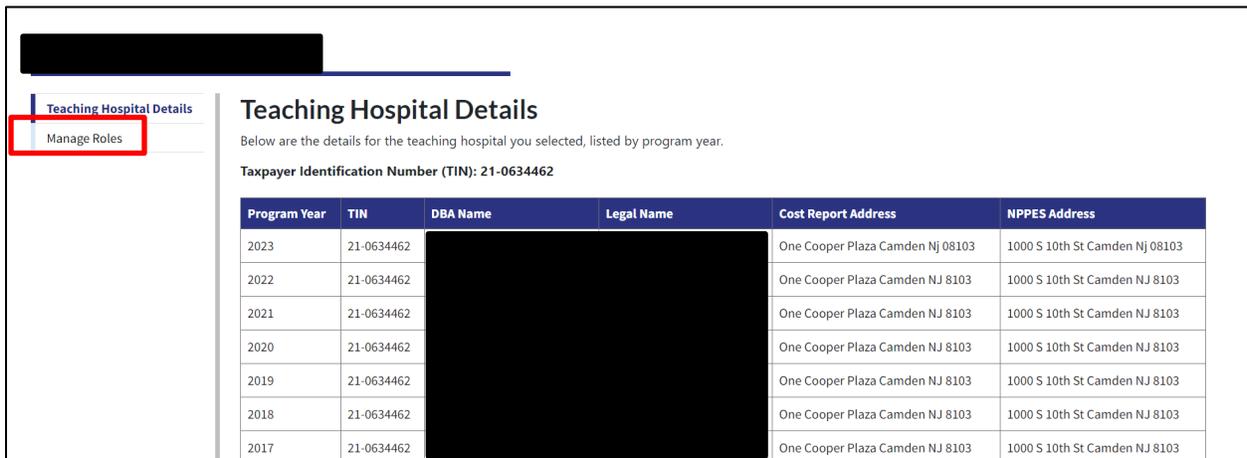
Step 2: On the “Manage Teaching Hospitals” page, select the teaching hospital for which you want to confirm a nomination.

Figure 163: Manage Teaching Hospitals Page



Step 3: Select the **Manage Roles** link on the left side of the screen..

Figure 164: Teaching Hospital Information Page



Step 4: To approve a nomination, select the **Approve** button next to a nominee’s name. The nominee will receive an email notification informing them of the acceptance of their nomination.

Figure 165: Teaching Hospital Manage Roles Tab

Manage Roles

Select the “Nominate an Additional Role” button to nominate a new individual to fill a role for your teaching hospital.

Select the “Modify” button to change the role of an existing user with your teaching hospital.

Select the “Deactivate User” button to remove an active user completely from your teaching hospital.

Note:
An authorized official may modify or deactivate another authorized official but cannot deactivate themselves for the teaching hospital.

Nominate an Additional Role

Teaching Hospital User Role Types

Name	Role	Status	Actions
Roger White	Authorized Official	Approved	Modify
Gunter Meier	Authorized Representative	Requested	Approve Modify Cancel Request
Steve Jones	Authorized Representative	Nomination Approved	Modify
Andy Smith	Authorized Representative	Nomination Approved	Modify
Elizabeth Jenkins	Authorized Representative	Nomination Approved	Modify
Sam Arnold	Authorized Official	Nomination Approved	Modify

Figure 166: Teaching Hospital Manage Roles Tab Confirmation

✔ You have successfully approved Gunter Meier's role.

Manage Roles

Select the "Nominate an Additional Role" button to nominate a new individual to fill a role for your teaching hospital.

Select the "Modify" button to change the role of an existing user with your teaching hospital.

Select the "Deactivate User" button to remove an active user completely from your teaching hospital.

Note: An authorized official may modify or deactivate another authorized official but cannot deactivate themselves for the teaching hospital.

Nominate an Additional Role

Teaching Hospital User Role Types

Name	Role	Status	Actions
Roger White	Authorized Official	● Approved	Modify
Gunter Meier	Authorized Representative	● Approved	Modify Deactivate User
Steve Jones	Authorized Representative	● Nomination Approved	Modify
Andy Smith	Authorized Representative	● Nomination Approved	Modify
Elizabeth Jenkins	Authorized Representative	● Nomination Approved	Modify
Sam Arnold	Authorized Official	● Nomination Approved	Modify

Step 4a: To modify a nomination, select the **Modify** button next to a nominee's name. This will allow you to change the individual's information and/or role. When modifications are complete, select **Save Role**.

In this scenario, the user is holding the authorized representative role. The authorized official wants to change his role to an authorized official.

Figure 167: Teaching Hospital Manage Roles Tab Modify Roles

Manage Roles

Select the "Nominate an Additional Role" button to nominate a new individual to fill a role for your teaching hospital.

Select the "Modify" button to change the role of an existing user with your teaching hospital.

Select the "Deactivate User" button to remove an active user completely from your teaching hospital.

Note: An authorized official may modify or deactivate another authorized official but cannot deactivate themselves for the teaching hospital.

[Nominate an Additional Role](#)

Teaching Hospital User Role Types

Name	Role	Status	Actions
Roger White	Authorized Official	Approved	Modify
Gunter Meier	Authorized Representative	Approved	Modify Deactivate User
Steve Jones	Authorized Representative	Nomination Approved	Modify
Andy Smith	Authorized Representative	Nomination Approved	Modify
Elizabeth Jenkins	Authorized Representative	Nomination Approved	Modify
Sam Arnold	Authorized Official	Nomination Approved	Modify

Note that you cannot remove all user roles from a nominee.

Figure 168: Modify Role Page

Teaching Hospital User Role Types

Gunter Meier

Indicate the role this person will have:

- Authorized Official:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles or modify existing user roles. They are also responsible for approving/denying nominations made by others as well as deactivating users
- Authorized Representative:** May access/review data and initiate disputes on records on behalf of the teaching hospital. May nominate other individuals for user roles with the teaching hospital.

[Cancel](#)
[Save Role](#)

Step 5: You will now see an updated list of nominations and roles on the Manage Roles page.

Figure 169: Teaching Hospital Manage Roles Tab Confirmation

✔ You have successfully modified Gunter Meier's role information.

Manage Roles

Select the "Nominate an Additional Role" button to nominate a new individual to fill a role for your teaching hospital.
 Select the "Modify" button to change the role of an existing user with your teaching hospital.
 Select the "Deactivate User" button to remove an active user completely from your teaching hospital.

Note: An authorized official may modify or deactivate another authorized official but cannot deactivate themselves for the teaching hospital. ×

[Nominate an Additional Role](#)

Teaching Hospital User Role Types +

Name	Role	Status	Actions
Roger White	Authorized Official	● Approved	Modify
Gunter Meier	Authorized Official	● Approved	Modify Deactivate User
Steve Jones	Authorized Representative	● Nomination Approved	Modify
Andy Smith	Authorized Representative	● Nomination Approved	Modify
Elizabeth Jenkins	Authorized Representative	● Nomination Approved	Modify
Sam Arnold	Authorized Official	● Nomination Approved	Modify

3.5j: Deactivating a User

A user holding the role of a teaching hospital authorized official can deactivate other individuals' user roles with that teaching hospital, including other authorized officials. Deactivation removes an individual's association with a teaching hospital in the Open Payments system, including removing the individual's access to that teaching hospital's information and records. It does not remove the individual from the Open Payments system.

You cannot deactivate yourself. If you wish to be deactivated, contact the Open Payments Help Desk.

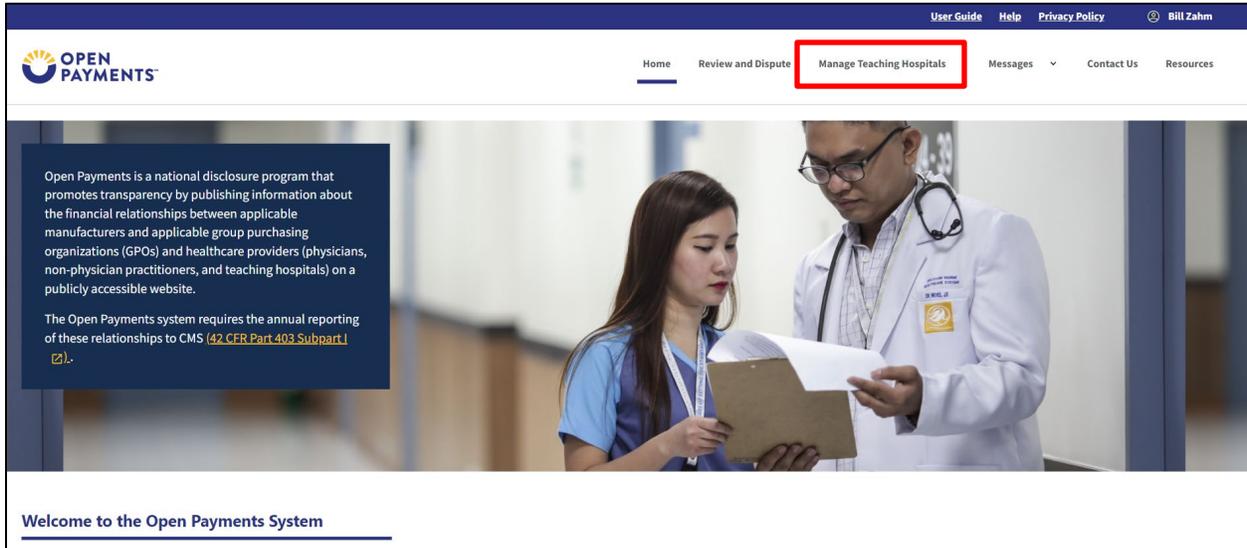
Only active users can be deactivated; individuals who have not yet accepted or rejected a user role nomination cannot be deactivated, nor can individuals who have self-nominated for a user role, but their nomination has not yet been approved or denied.

To deactivate an individual's user role, follow these steps.

Open Payments User Guide for Covered Recipients – July 2024

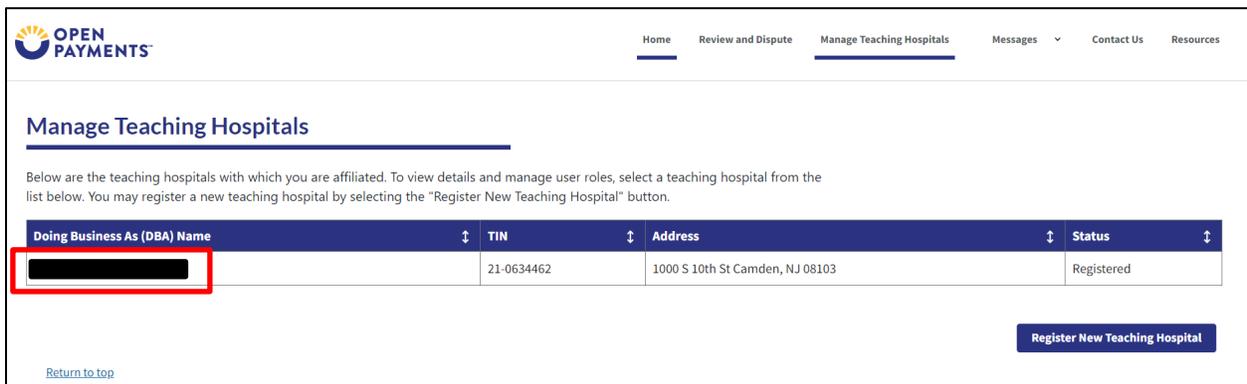
Step 1: Log in to the CMS Enterprise Portal at <https://openpayments.system.cms.gov> using your IDM credentials, navigate to the Manage Teaching Hospitals link from the menu bar on the at the top of the page.

Figure 170: Open Payments Landing Page for Returning User



Step 2: You will see a list of all teaching hospitals you are associated with. Select the hyperlink for the teaching hospital for which you will be deactivating a user.

Figure 171: Manage Teaching Hospitals Page



Step 3: Select the **Manage Roles** link on the left side of the page.

Figure 172: Teaching Hospital Information Page

Teaching Hospital Details

Below are the details for the teaching hospital you selected, listed by program year.

Taxpayer Identification Number (TIN): 21-0634462

Program Year	TIN	DBA Name	Legal Name	Cost Report Address	NPDES Address
2023	21-0634462			One Cooper Plaza Camden NJ 08103	1000 S 10th St Camden NJ 08103
2022	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2021	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2020	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2019	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2018	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2017	21-0634462			One Cooper Plaza Camden NJ 8103	1000 S 10th St Camden NJ 8103
2016	21-0634462			One Cooper Plaza Camden NJ 8103	1 Cooper Plz Camden NJ 8103

Step 4: Select the **Deactivate User** button associated with name of the individual’s name.

Figure 173: Teaching Hospital Manage Roles Tab Deactivate User

Manage Roles

Select the "Nominate an Additional Role" button to nominate a new individual to fill a role for your teaching hospital.

Select the "Modify" button to change the role of an existing user with your teaching hospital.

Select the "Deactivate User" button to remove an active user completely from your teaching hospital.

Note: An authorized official may modify or deactivate another authorized official but cannot deactivate themselves for the teaching hospital.

[Nominate an Additional Role](#)

Teaching Hospital User Role Types +

Name	Role	Status	Actions
Roger White	Authorized Official	● Approved	Modify
Gunter Meier	Authorized Official	● Approved	Modify Deactivate User
Steve Jones	Authorized Representative	● Nomination Approved	Modify
Andy Smith	Authorized Representative	● Nomination Approved	Modify
Elizabeth Jenkins	Authorized Representative	● Nomination Approved	Modify
Sam Arnold	Authorized Official	● Nomination Approved	Modify

Step 5: The Open Payments system will ask you to confirm the deactivation. Select the **Continue** button to confirm deactivation or the **Cancel** button to return to the Manage Roles page with no action taken. If you confirm deactivation, the Open Payments system will send emails to you and the individual being deactivated that confirms the individual’s user role deactivation.

Figure 174: Teaching Hospital Manage Roles Tab- Confirmation Page

Deactivate User

Reminder: All teaching hospitals must have at least one active authorized official in the Open Payment System.

Selecting this option will deactivate Gunter Meier from your teaching hospital. They will no longer be able to perform actions on behalf of your teaching hospital.

Select "Continue" to deactivate this user, or select "Cancel" to return to the previous page.

[Cancel](#)
[Continue](#)

Section 3.6: Updating Profile Information in the Open Payments System

Physician, NPP, and teaching hospital profiles can be kept current by updating them as information changes. The steps for profile updates are given below.

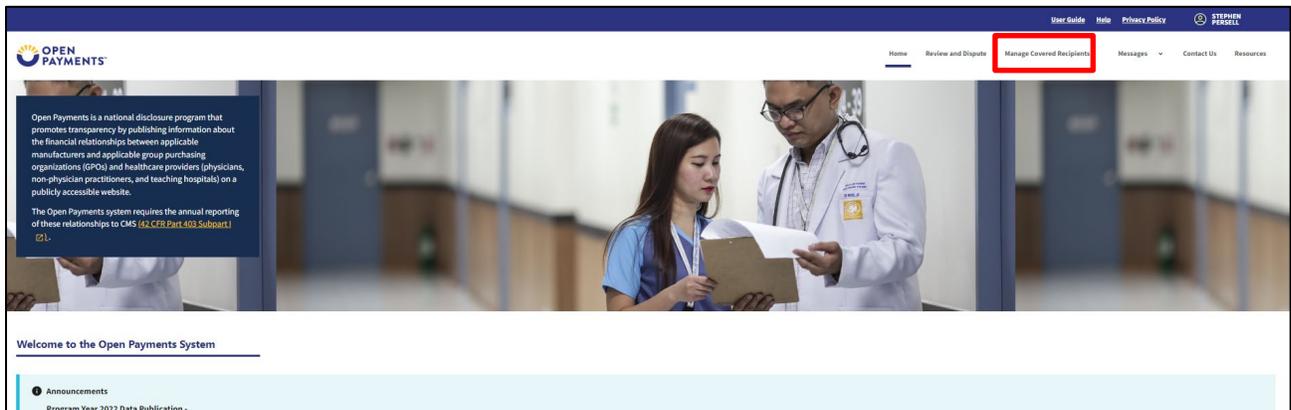
Open Payments User Guide for Covered Recipients – July 2024

3.6a: Updating a Covered Recipient Profile as an Authorized Representative

As an authorized representative who holds the level of access of “Modify Profile” to edit their covered recipient’s profile, follow these steps.

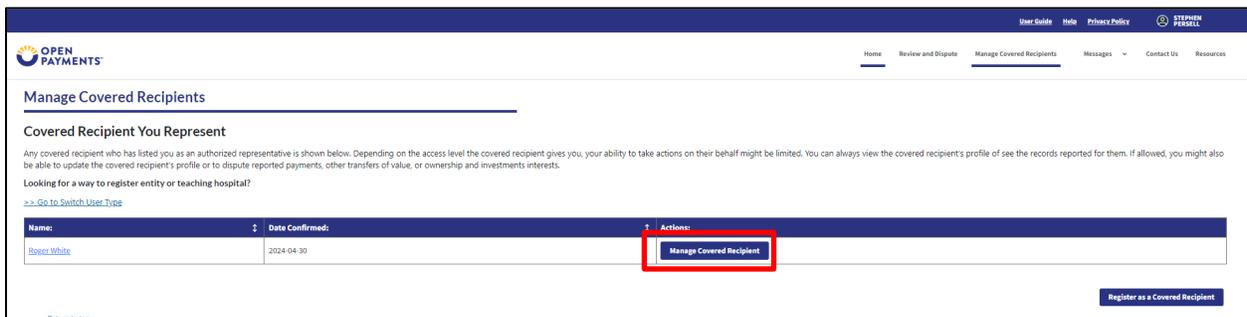
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials, and select the **Manage Covered Recipients** tab.

Figure 175: Open Payments Landing Page



Step 2: Find the covered recipient for which you wish to make updates on the “Manage Covered Recipients” page and select **Manage Covered Recipient**.

Figure 176: Manage Covered Recipients Page



Step 3: First, you can edit the covered recipient’s basic information. To do so:

Select **Update Basic Information**.

Update the information needed.

Select **Save**.

Next, you can update the covered recipient’s details by selecting that link on the left side of the screen.

Figure 177: Covered Recipient Details

The screenshot displays the 'Manage Covered Recipients' page in the Open Payments system. On the left, a sidebar menu shows 'Covered Recipient Information' with 'Basic Information' highlighted in a red box. The main content area is titled 'Basic Information' and includes a sub-header 'Your Covered Recipient's profile information is provided below. To modify their profile information, select the "Update Basic Information" button.' Below this is a form with the following fields:

- First Name ***: Roger
- Middle Name**: (empty)
- Last Name ***: White
- Suffix (Jr., Sr., etc.)**: (empty)
- Your Business Contact Information**
 - Email Address ***: sbisso@index-analytics.com
 - Practice Name ***: (empty)
 - Country ***: - Select -
 - Practice Business Address, Line 1 ***: 623 LAFAYETTE AVENUE
 - Practice Business Address, Line 2**: Practice Business Address, Line 2
 - City Name ***: Hawthorne
 - State ***: New Jersey
 - Zip Code ***: 07506
 - Zip Extension**: XXXX
 - Business Telephone Number ***: 210-602-4955

An 'Update Basic Information' button is located at the bottom right of the form.

Step 4: To edit the covered recipient details:

Select **Update Covered Recipient**.

Update the information needed.

Select **Save**.

Figure 178: Update Covered Recipient

Manage Covered Recipients

Covered Recipient Details
Your covered recipient details are provided below. To modify your information, select the "Update" button.

Covered Recipient Type *
Physician

Physician Primary Type *
Medical Doctor

National Provider Identification (NPI)
(This is a 10-digit number that is required if you (the covered recipient) have an NPI)
1304507830

Drug Enforcement Administration (DEA) Number
This is a 9-character alphanumeric ID
XXXXXXXXXX

Taxonomy Codes
Covered Recipient Taxonomy Code is a lookup field. Start typing a taxonomy code and the system will display a list of taxonomy codes that begin with the characters you have entered. Select the most accurate code and select the "Add" button. This will save the entered code and allow you to enter another taxonomy code if necessary. Repeat until all your taxonomy codes are entered. You may enter up to six taxonomy codes. Any previously entered taxonomy codes may be edited or deleted by selecting the "Edit" or "Delete" buttons. At least one taxonomy code must be selected to continue the registration. Refer to the Taxonomy/Specialty Lookup Document available on the [Resource page of the Open Payments website](#) for the complete list of taxonomy codes that can be entered. Taxonomy codes not on this list cannot be entered into the system. If an exact match cannot be found, choose the taxonomy code that best identifies your current specialty.

Taxonomy Code *
[Empty field]

Taxonomy Code
122320490X

State Licensing Information
To enter your license information, select the state and enter the license number in the fields below. Select the "Add" button to save the information to the profile. This will allow you to enter another license if necessary. Enter each state and license number separately until all licenses have been added. You must enter at least one license number; you may add a total of 32 license numbers.

License State *
-- Select --

License Number *
[Empty field]

License State	License Number
IL	7526

Update Covered Recipient

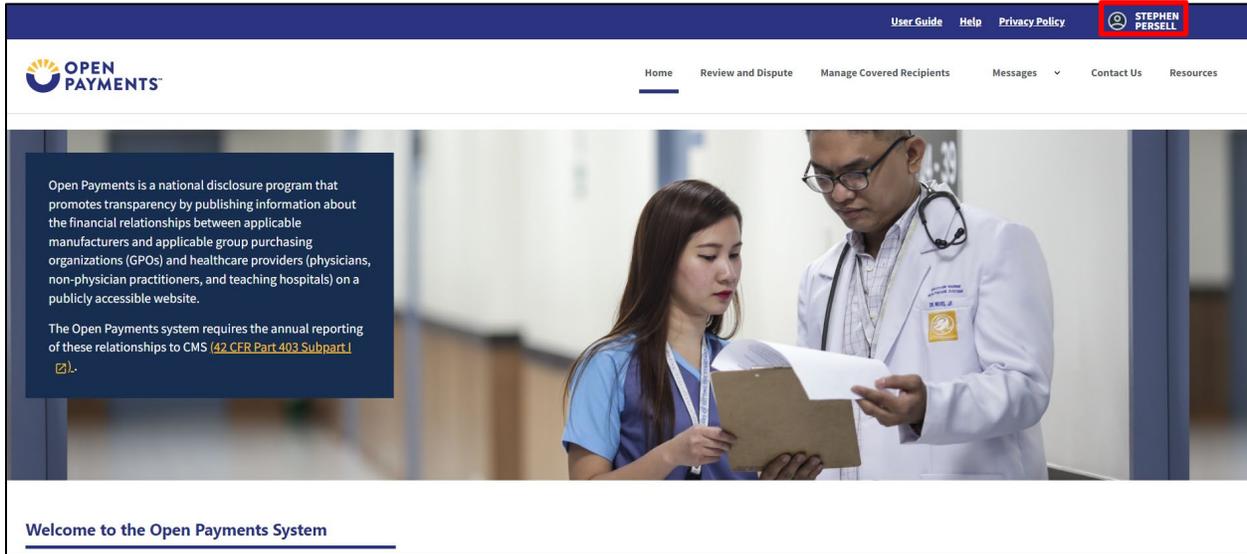
Any updates made to the covered recipient profile may require that the covered recipient be re-vetted. Once the updated information has been re-vetted, both the covered recipient and authorized representative will be able to perform the necessary actions in the Open Payments system. See [Section 3.3c](#) for information on physician and NPP vetting.

3.6b: Updating Covered Recipient or Covered Recipient Authorized Representative Personal Profile

As a user of the Open Payments system, you can update your personal profile information. You will be able to update your name, business email address, business telephone number, job title, and business address. Steps for updating your profile are explained below.

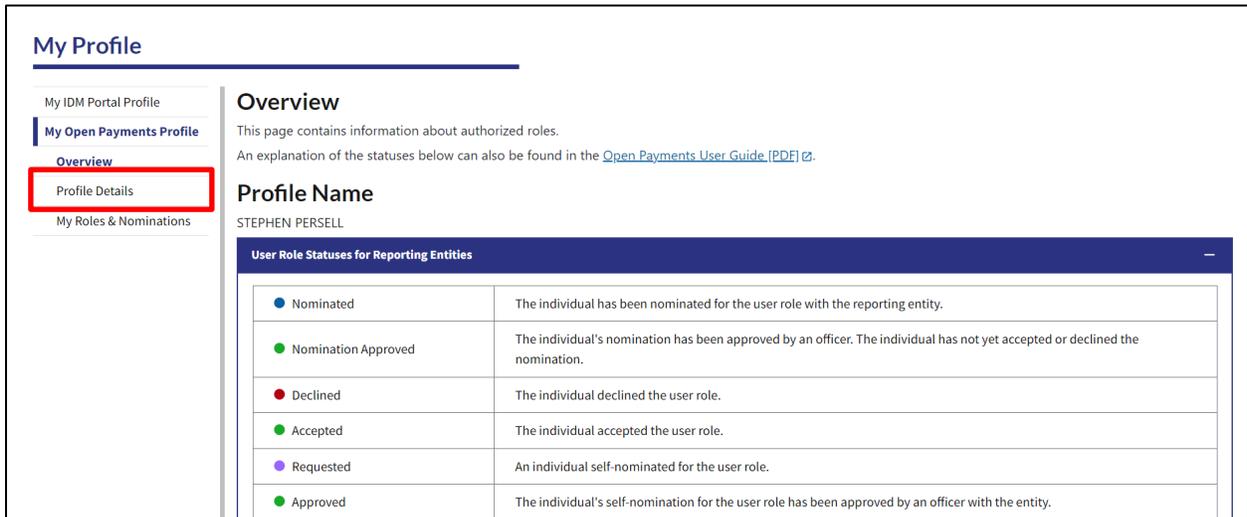
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and select the **My Open Payments Profile** by selecting your name in the upper-right corner of the page.

Figure 179: Open Payments Landing Page for Returning Physician and NPP Users



Step 2: Select the Profile Details link. You will be able to view your existing profile information.

Figure 180: My Profile Page



Open Payments User Guide for Covered Recipients – July 2024

Step 3: Select **Update Profile** to begin making edits and/or updates. When changes are complete, select **Save**. In this scenario, this user added information in the “Business Address, Line 2” field.

Figure 181: My Profile Details Page

Basic Information

First Name * Stephen	Middle Name	Last Name * Persell	Suffix (Jr., Sr., etc.)
--------------------------------	--------------------	-------------------------------	--------------------------------

Your Business Contact Information

Email Address * sdpersell@xyz.com	Job title * Boss			
Country * United States				
Business Address, Line 1 * 123 chicken st	Business Address, Line 2 Business Address, Line 2			
City * Haymarket	State * Texas	Zip Code * 78244	Zip Extension XXXX	Business Telephone Number * 475-253-2035

Update Profile

Step 4: Update fields as necessary. When changes are complete, select **Save Updates**.

The updated information will now appear on the “My Profile Details” page.

Figure 182: My Profile Details Tab

Confirmation: ✕
You have successfully updated your profile.

Basic Information

First Name * Stephen	Middle Name	Last Name * Persell	Suffix (Jr., Sr., etc.)
--------------------------------	--------------------	-------------------------------	--------------------------------

Your Business Contact Information

Email Address * sdpersell@xyz.com	Job title * Boss			
Country * United States				
Business Address, Line 1 * 123 chicken st	Business Address, Line 2 Suite 206			
City * Haymarket	State * Texas	Zip Code * 78244	Zip Extension XXXX	Business Telephone Number * 475-253-2035

Update Profile

Review and Dispute

Chapter 4: Review and Dispute for Physicians, NPPs, Teaching Hospitals, and Principal Investigators

This chapter provides information on the review, dispute, and correction process for physicians, NPPs, teaching hospitals (known as “covered recipients”), and principal investigators in the Open Payments system.

This chapter is divided into the following sections:

- **Review and Dispute Process Overview:** provides a general overview on the covered recipient review and dispute process within the Open Payments system.
- **Reviewing Records:** how covered recipients, and principal investigators can review data submitted about them.
- **Affirming Records:** how covered recipients, and principal investigators can affirm data submitted about them is correct.
- **Disputing Records:** how covered recipients, and principal investigators can initiate disputes against data submitted about them.
- **Withdrawing Disputes:** how covered recipients, and principal investigators can withdraw their initiated disputes.

This chapter covers the review and dispute functions for covered recipients, and principal investigators.

Section 4.1: Review and Dispute Process Overview

Covered recipients and principal investigators may review reported data within the Open Payments system that was submitted about them during the most recent calendar year’s data submission period. For a covered recipient to review their data within the Open Payments System, they must complete the Open Payments registration (see Chapter 3 for registration details).

This includes records submitted for the previous program year and any records submitted late from prior program years. Covered recipient registration and review of the data is voluntary, but strongly encouraged as this ensures the accuracy of the Open Payments data.

As a covered recipient or principal investigator, you may perform one of the two actions below.

Open Payments User Guide for Covered Recipients – July 2024

- Affirm record is accurate: If you agree a record is accurate, you can **affirm** the record. Affirmation is not a required action. Records that have not been affirmed will still be included in the next data publication.
- Dispute a record: If you believe a record is inaccurate or incomplete in any way, you can **initiate a dispute** against the record. Records that are disputed, but not resolved, will still be included in the next data publication.

You may dispute any part of a record in which you are identified as the covered recipient.

Physicians and NPPs identified in a record as a Principal Investigator (PI) rather than covered recipient may only dispute their association with the record and their personal identifying information given in that record. They should not dispute any other information in the record, such as payment amount, nature of payment, etc.

Covered recipients should work directly with the reporting entity to resolve a dispute. **CMS does not mediate or facilitate disputes between physicians, NPPs, teaching hospitals, principal investigators, and reporting entities.**

The outcome of the resolution will be recorded in the Open Payments system. Dispute resolutions can lead to changes to the submitted data, or the dispute may be resolved without changes to the data. In addition, a dispute may be withdrawn by the individual who initiated it.

Email notifications are sent to alert applicable manufacturers, applicable GPOs, physicians, NPPs, teaching hospitals, and principal investigators of review and dispute activities in the Open Payments system.

Records that are flagged for a delay in publication by the reporting entity are eligible for review and dispute by physicians, NPPs, teaching hospitals, and principal investigators.

Refer to the “Methodology and Data Dictionary Document” available on the Resources page of the Open Payments website, at <https://www.cms.gov/OpenPayments/Resources> for details on how dispute and resolution changes and timing affect data publication. In the publication, the data will be associated with the program year of the data, not the year of its publication.

4.1a: Review, Dispute, and Correction Period

Each program year has a scheduled pre-publication review, dispute, and correction period. The period is 60 days long and divided into two activities.

April 1st through May 15th is the 45-day covered recipients’ pre-publication review and dispute period. During this time, you may review, affirm or if necessary, dispute data, and work with the reporting entity to resolve the disputed record(s) submitted during the submission period.

Immediately following the 45-day period is a 15-day correction period, May 16th through May 30th, for reporting entities to continue to make corrections to records and resolve any active disputes.

Open Payments User Guide for Covered Recipients – July 2024

Although there is a distinct pre-publication review, dispute, and correction period, these activities can be performed within the Open Payments system through December 31.

Disputes initiated within the pre-publication 45-day review, dispute, and correction period, and resolved by the end of the additional 15-day correction period, will be published and identified as non-disputed in the initial public posting of data.

If the dispute is not resolved by the end of the correction period, the record will be published and identified as being under dispute. Disputes initiated or resolved after the full 60-day review, dispute, and correction period will not be reflected in the initial publication of data. Those disputes and any related data changes will be published in the next publication of data, which may be a refresh publication of the program year data or the publication of the next program year's data.

If a dispute is not resolved before the end of the calendar year, all parties should continue to seek a resolution until the dispute is resolved. In some cases, this dispute resolution may need to occur outside of the Open Payments system. **CMS does not mediate or facilitate disputes between physicians, NPPs, teaching hospitals, principal investigators, and reporting entities.**

4.1b: Dispute Resolution: Changed Record

When a dispute resolution results in changes to a record, reporting entities must re-submit and re-attest the record with the revisions to the Open Payments system. You will be able to view the original record disputed under the "Deleted and In Progress Records" tab with status of "In Progress", and the user(s) that have disputes in "Initiated" or "Acknowledged" status will be notified via email that the record is being updated by the entity. Once the changes to a record have been attested, the record will be available under the "Available for Review and Dispute" tab again.

If a record is deleted in response to a dispute, any user(s) that have disputes in "Initiated" or "Acknowledged" status will be notified via email that the record has been deleted by the entity when the record is re-attested. The records that have been deleted will display under the "Deleted and In Progress Records" tab with a "Deleted" status. The newly identified physician, NPP, teaching hospital, or principal investigator will see the record during the next calendar year's review and dispute period under the "Available for Review and Dispute" tab.

Note: You should provide a current and direct email address in your Open Payments profile as the Open Payments system will send an email notification to the individual(s) who initiated the dispute(s) if a reporting entity edits or deletes a disputed record. If the dispute initiator is a covered recipient's authorized representative, email notifications will be sent to both the authorized representative and the physician and/or NPP.

4.1c: Review and Dispute Statuses

Records that have been disputed will have one of the following review and dispute statuses:

- **Initiated:** Indicates that a covered recipient initiated a dispute against a record submitted by an applicable manufacturer or applicable GPO.

Open Payments User Guide for Covered Recipients – July 2024

- **Acknowledged:** Indicates that an applicable manufacturer or applicable GPO has received and acknowledged an initiated dispute.
- **Resolved No Change:** Indicates that the applicable manufacturer or applicable GPO and the physician, NPP, teaching hospital, or principal investigator resolved the dispute in accordance with the guidance in the Final Rule, and no changes were made to the disputed record.
- **Resolved:** Indicates that disputed data was updated and then re-submitted and re-attested to by the applicable manufacturer or applicable GPO.
- **Withdrawn:** Indicates that the dispute on a record is no longer needed by a covered recipient and that it has been withdrawn.

Records submitted during the submission period may be unavailable for review and dispute for one of the following reasons:

- The record was deleted. A deleted record can only be viewed under the “Deleted and In Progress Records” tab and cannot be disputed. For deleted records, only the record information will display. Disputes associated with the record will not be available once the record has been deleted.
- A record undergoing editing by its reporting entity can only be viewed under the “Deleted and In Progress Records” tab and cannot be disputed. Records undergoing editing will display all the dispute statuses and dispute details (where available).

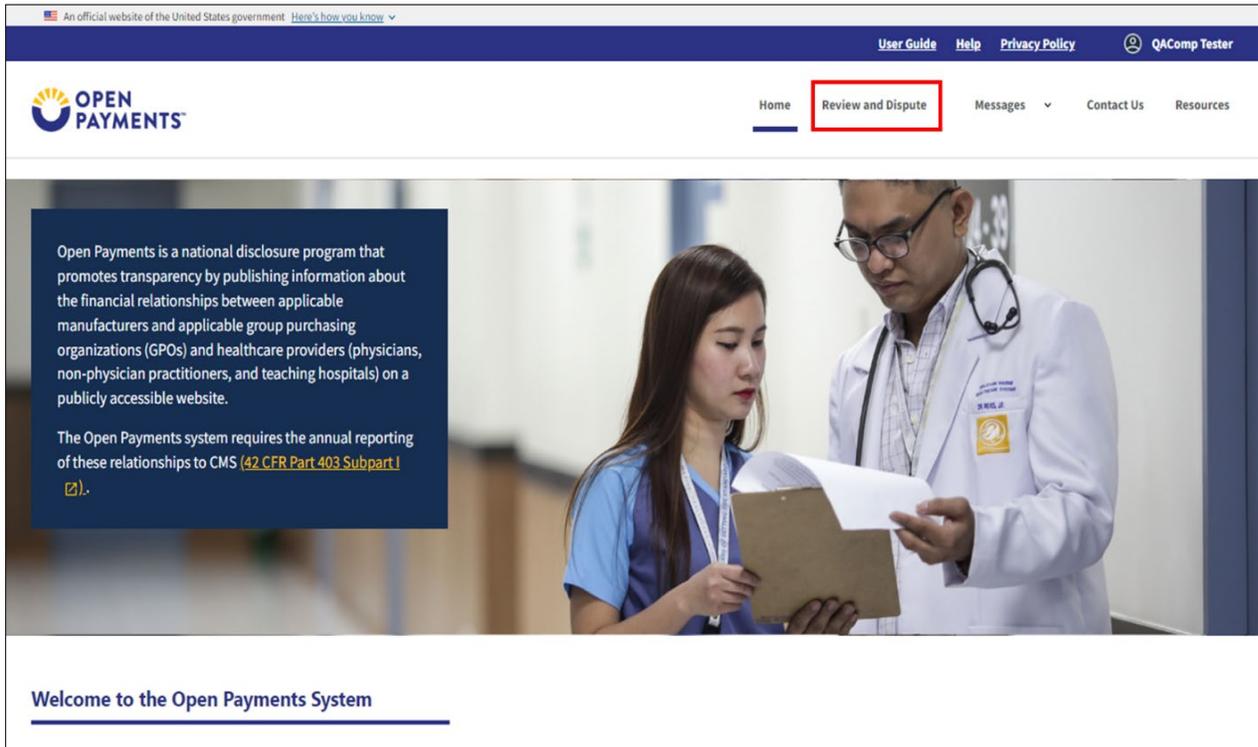
Section 4.2: Reviewing Records

Physicians, NPPs, teaching hospitals, and principal investigators that have registered with the Open Payments system may review all payments, other transfers of value, and physician ownership or investment interests submitted about them by an applicable manufacturer or applicable GPO.

A step-by-step walkthrough for reviewing records follows.

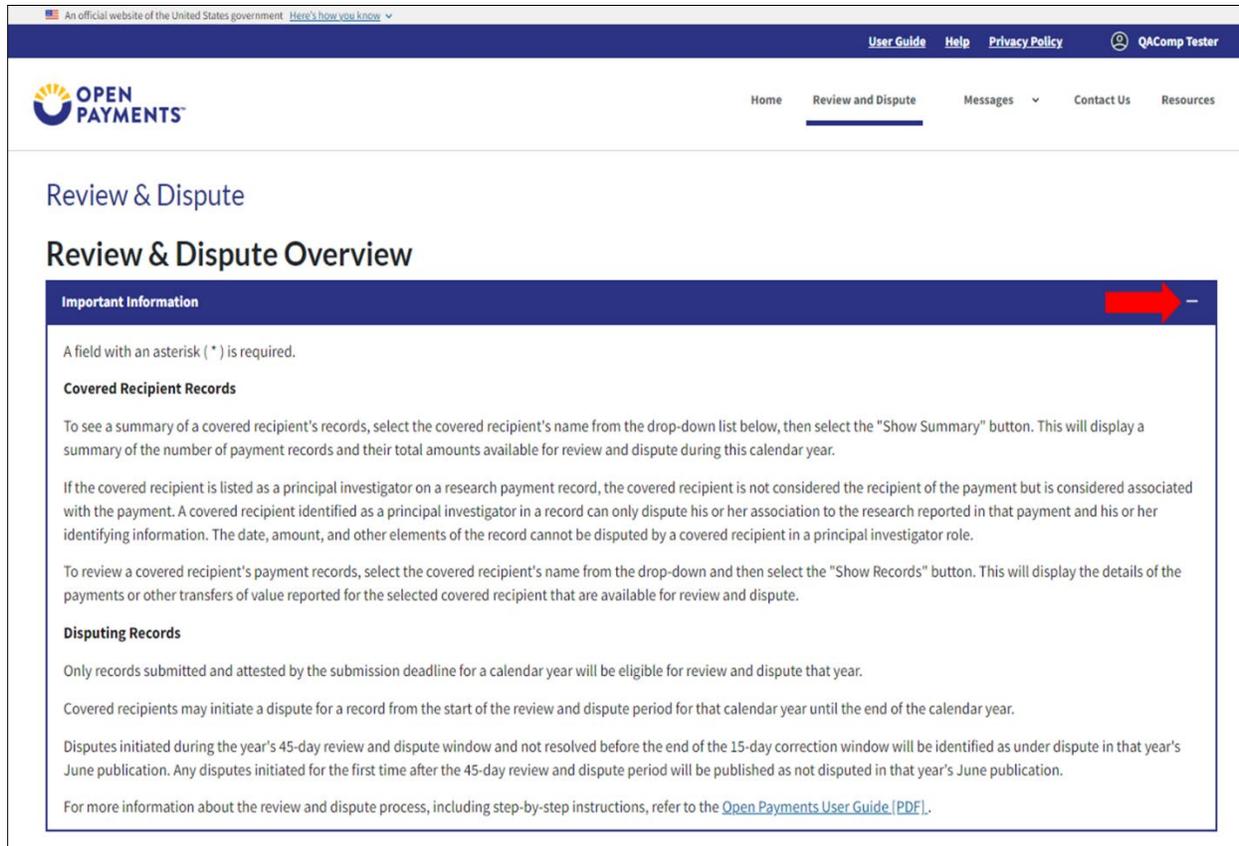
Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and select the **Review and Dispute** tab on the menu bar.

Figure 183: Open Payments Home Page: Review and Dispute Tab



Step 2: On the “Review and Dispute Overview” page, please review the instructions in the expanded “Important Information” section.

Figure 184: Review and Dispute Overview Page: Important Information



Step 3: In the “Select A Covered Recipient” drop down menu, select the covered recipient whose records are to be reviewed. Select the **Show Summary** button to bring up the Summary of Payment Records for the covered recipient chosen.

If the name of the Covered Recipient is not populating in the “Select A Covered Recipient” drop down menu, he or she is in a “Failed Vetting” status and will need to correct identifying information inputted in their Open Payments profile to successfully vet.

The Summary of Payment Records displays the total number of records available for review and dispute and the total dollar amount for those records. The totals are grouped by program year and payment category.

For physicians and NPPs, the research payment category totals are split to differentiate between the records in which the physician and/or the NPP is identified as the covered recipient and the records in which the physician and/or the NPP is identified a principal investigator.

Open Payments User Guide for Covered Recipients – July 2024

Note that teaching hospital and NPP summaries do not include an Ownership/Investment section, as that payment category is not applicable to them.

Figure 185: Review and Dispute Overview Page: Review Summary Button

Review & Dispute Overview

Important Information

A field with an asterisk (*) is required.

Covered Recipient Records

To see a summary of a covered recipient's records, select the covered recipient's name from the drop-down list below, then select the "Show Summary" button. This will display a summary of the number of payment records and their total amounts available for review and dispute during this calendar year.

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. A covered recipient identified as a principal investigator in a record can only dispute his or her association to the research reported in that payment and his or her identifying information. The date, amount, and other elements of the record cannot be disputed by a covered recipient in a principal investigator role.

To review a covered recipient's payment records, select the covered recipient's name from the drop-down and then select the "Show Records" button. This will display the details of the payments or other transfers of value reported for the selected covered recipient that are available for review and dispute.

Disputing Records

Only records submitted and attested by the submission deadline for a calendar year will be eligible for review and dispute that year.

Covered recipients may initiate a dispute for a record from the start of the review and dispute period for that calendar year until the end of the calendar year.

Disputes initiated during the year's 45-day review and dispute window and not resolved before the end of the 15-day correction window will be identified as under dispute in that year's June publication. Any disputes initiated for the first time after the 45-day review and dispute period will be published as not disputed in that year's June publication.

For more information about the review and dispute process, including step-by-step instructions, refer to the [Open Payments User Guide \[PDF\]](#).

Select a Covered Recipient *
 Doctor Patient

Summary of Payment Records

General Payments

Program Year	Number of Records	Total Amount
2023	2	\$1,100.00
2022	0	\$0.00
2021	0	\$0.00
2020	0	\$0.00
2019	0	\$0.00
2018	0	\$0.00
2017	0	\$0.00

Ownership or Investment Payments

Program Year	Number of Records	Amount Investment	Value of Interest
2023	0	\$0.00	\$0.00
2022	0	\$0.00	\$0.00
2021	0	\$0.00	\$0.00
2020	0	\$0.00	\$0.00
2019	0	\$0.00	\$0.00
2018	0	\$0.00	\$0.00
2017	0	\$0.00	\$0.00

Research Payments

Program Year	Covered Recipient		Principal Investigator	
	Number of Records	Total Amount	Number of Records	Total Amount
2023	0	\$0.00	0	\$0.00
2022	0	\$0.00	0	\$0.00
2021	0	\$0.00	0	\$0.00
2020	0	\$0.00	0	\$0.00
2019	0	\$0.00	0	\$0.00
2018	0	\$0.00	0	\$0.00
2017	0	\$0.00	0	\$0.00

Open Payments User Guide for Covered Recipients – July 2024

Step 4: Select the **Show Records** button to navigate to the “Review and Dispute” page for the covered recipient.

Figure 186: Review and Dispute Overview Page: Review Records Button

Review & Dispute Overview

Important Information

A field with an asterisk (*) is required.

Covered Recipient Records

To see a summary of a covered recipient’s records, select the covered recipient’s name from the drop-down list below, then select the “Show Summary” button. This will display a summary of the number of payment records and their total amounts available for review and dispute during this calendar year.

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. A covered recipient identified as a principal investigator in a record can only dispute his or her association to the research reported in that payment and his or her identifying information. The date, amount, and other elements of the record cannot be disputed by a covered recipient in a principal investigator role.

To review a covered recipient’s payment records, select the covered recipient’s name from the drop-down and then select the “Show Records” button. This will display the details of the payments or other transfers of value reported for the selected covered recipient that are available for review and dispute.

Disputing Records

Only records submitted and attested by the submission deadline for a calendar year will be eligible for review and dispute that year.

Covered recipients may initiate a dispute for a record from the start of the review and dispute period for that calendar year until the end of the calendar year.

Disputes initiated during the year’s 45-day review and dispute window and not resolved before the end of the 15-day correction window will be identified as under dispute in that year’s June publication. Any disputes initiated for the first time after the 45-day review and dispute period will be published as not disputed in that year’s June publication.

For more information about the review and dispute process, including step-by-step instructions, refer to the [Open Payments User Guide | PDF](#).

Select a Covered Recipient *

Doctor Patient

Show Summary Show Records

Summary of Payment Records

General Payments

Program Year	Number of Records	Total Amount
2023	2	\$1,100.00
2022	0	\$0.00
2021	0	\$0.00
2020	0	\$0.00
2019	0	\$0.00
2018	0	\$0.00
2017	0	\$0.00

Ownership or Investment Payments

Program Year	Number of Records	Amount Investment	Value of Interest
2023	0	\$0.00	\$0.00
2022	0	\$0.00	\$0.00
2021	0	\$0.00	\$0.00
2020	0	\$0.00	\$0.00
2019	0	\$0.00	\$0.00
2018	0	\$0.00	\$0.00
2017	0	\$0.00	\$0.00

Research Payments

Program Year	Covered Recipient		Principal Investigator	
	Number of Records	Total Amount	Number of Records	Total Amount
2023	0	\$0.00	0	\$0.00
2022	0	\$0.00	0	\$0.00
2021	0	\$0.00	0	\$0.00
2020	0	\$0.00	0	\$0.00
2019	0	\$0.00	0	\$0.00
2018	0	\$0.00	0	\$0.00
2017	0	\$0.00	0	\$0.00

Open Payments User Guide for Covered Recipients – July 2024

Step 5: The “Review and Dispute” page displays all available records for review. Users can use the available filtering tool on the page to narrow search results as desired.

Figure 187: Review and Dispute Page: Covered Recipient Records

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information, such as name, NPI (if applicable), and license details, may be disputed.

To withdraw a dispute, select the "Withdraw Dispute" button in the dispute that needs to be withdrawn. The dispute must be in the status of "Initiated" or "Acknowledged" to be withdrawn. To return to the previous page, select the "Show Summary" button.

For more information about the review and dispute process, including step-by-step instructions, refer to the [Open Payments User Guide \(PDF\)](#).

Covered Recipient Records

Program Year: 2023 Entity Making Payment: -- Select -- Dispute Status: -- Select --

Record ID: Dispute ID: Payment Category: General Payments Research Payments Ownership or Investment Interest Affirmed?: Yes No

Listed as PI Only? Yes No [Clear All](#) [Search](#)

Available for Review & Dispute Deleted & In Progress Records [Download Zip File](#)

Showing Results for Program Year: 2023

Select a single record below to dispute payment. Select one or multiple records to affirm payments. To withdraw a dispute, click on view link under Dispute Details column. [Affirm Record\(s\)](#) [Dispute Record](#)

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Affirmed	Dispute Status	Dispute Details
5935675		Special Drugs	\$100.00	02/10/2023	General Payments	N/A	2023	No		
5935667		Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	No		

Showing [All](#) of 2 entries [Previous](#) [Next](#)

Open Payments User Guide for Covered Recipients – July 2024

Step 5a: There are two buttons that may contain information related to the disputes attributed to the covered recipient:

- **Available for Review and Dispute:** This button displays all records in a data table that are currently available for review and dispute. All records in this table are in “Attested” status.

Figure 188: Review and Dispute Page: Available for Review and Dispute Button

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the “Yes” check box under “Listed as PI Only” and select the “Search” button.

All payment records available for review and dispute will be displayed under the “Available for Review and Dispute” tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the “Deleted and In Progress Records” tab. Records shown in the “Deleted and In Progress Records” tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the “Available for Review and Dispute” tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the “Download Zip File” link on the “Available for Review and Dispute” tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the “Record ID” link.

To affirm the accuracy of a record, select the “Affirm Record” button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the “Dispute Record” button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show “Disputed” and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = “Yes”), only the covered recipient’s identification as principal investigator for the record and identifying information will be available for review and dispute.

[Available for Review & Dispute](#) [Deleted & In Progress Records](#)

Showing Results for [Download Zip File](#)

Program Year: 2023

Select a single record below to dispute payment. Select one or multiple records to affirm payments.
To withdraw a dispute, click on view link under Dispute Details column.

[Affirm Record\(s\)](#) [Dispute Record](#)

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Record Status	Affirmed	Dispute Details
59355675		Special Drugs	\$100.00	02/10/2023	General Payments	N/A	2023	Attested	No	
59355667		Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	Attested	No	

Showing [All](#) of 2 entries [Previous](#) [Next](#)

Open Payments User Guide for Covered Recipients – July 2024

- Deleted and In Progress Records:** This button displays all records that were available for review and dispute in the current calendar year but were deleted or are in the process of being edited by the reporting entity and are not available for review. Review and dispute actions will not be allowed for these records as they can only be viewed.

Figure 189: Review and Dispute Page: Deleted and in Progress Records Button

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information will be displayed. Dispute details may be displayed.

Available for Review & Dispute Deleted & In Progress Records

Showing Results for

Program Year: 2023

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Program Year	Record Status	Affirmed	Dispute Details
5935667		Special Drugs	\$1,000.00	02/10/2023	General Payments	2023	Attested	No	

Showing All of 1 entries < Previous 1 Next >

Open Payments User Guide for Covered Recipients – July 2024

Step 6: Records on the “Review and Dispute” page are displayed in a table format. To see all a record’s information, select the hyperlinked number under the “Record ID” column. This will open the “Record ID” page for that record, which contains the record’s details, general information, and reporting entity Review and Dispute contact information. The Record Details page also displays any “Active Disputes,” if available.

Figure 190: Review and Dispute Page: Record ID Pages

The screenshot displays the 'Review & Dispute' page for a 'Doctor Patient'. It includes an 'Important Information' section with instructions on how to search for records, filter results, and export data. Below this is a table of records with columns for Record ID, Dispute ID, Entity Making Payment, Total Payment Amount, Date of Payment, and Payment Category. The record with ID 5935667 is highlighted. To the right, a modal window titled 'Record ID: 5935667' provides 'Reporting Entity Contact Information', including primary and backup contact details, and 'Record Details' such as Program Year (2023), Reporting Entity (Special Drugs), and Submission Date (05/09/2024).

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information will be displayed. All other details may be disclosed.

Showing Results for
Program Year: 2023

Select a single record below to dispute payment. Select one or multiple records to affirm payments.
To withdraw a dispute, click on view link under Dispute Details column.

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category
5935667		Special Drugs	\$1,000.00	02/10/2023	General

Showing All of 1 entries

Record ID: 5935667
Reporting Entity Contact Information

If you have any questions about this record, you may use the contact information provided below to directly contact the reporting entity.

Review & Dispute Primary Contact

Name	AMGPO Submitter
Title at the Entity	CEO
Business Telephone Number & Extension	555-555-5555
Business Email Address	RDdept@amgpo.org

Review & Dispute Backup Contact

The entity did not enter a review & dispute backup contact.

Record Details

Program Year	2023
Reporting Entity	Special Drugs
Payment Category	General Payments
Consolidated Reporting	No
Submission Date	05/09/2024
Record Status	Attested
Last Modified Date	05/14/2024

Open Payments User Guide for Covered Recipients – July 2024

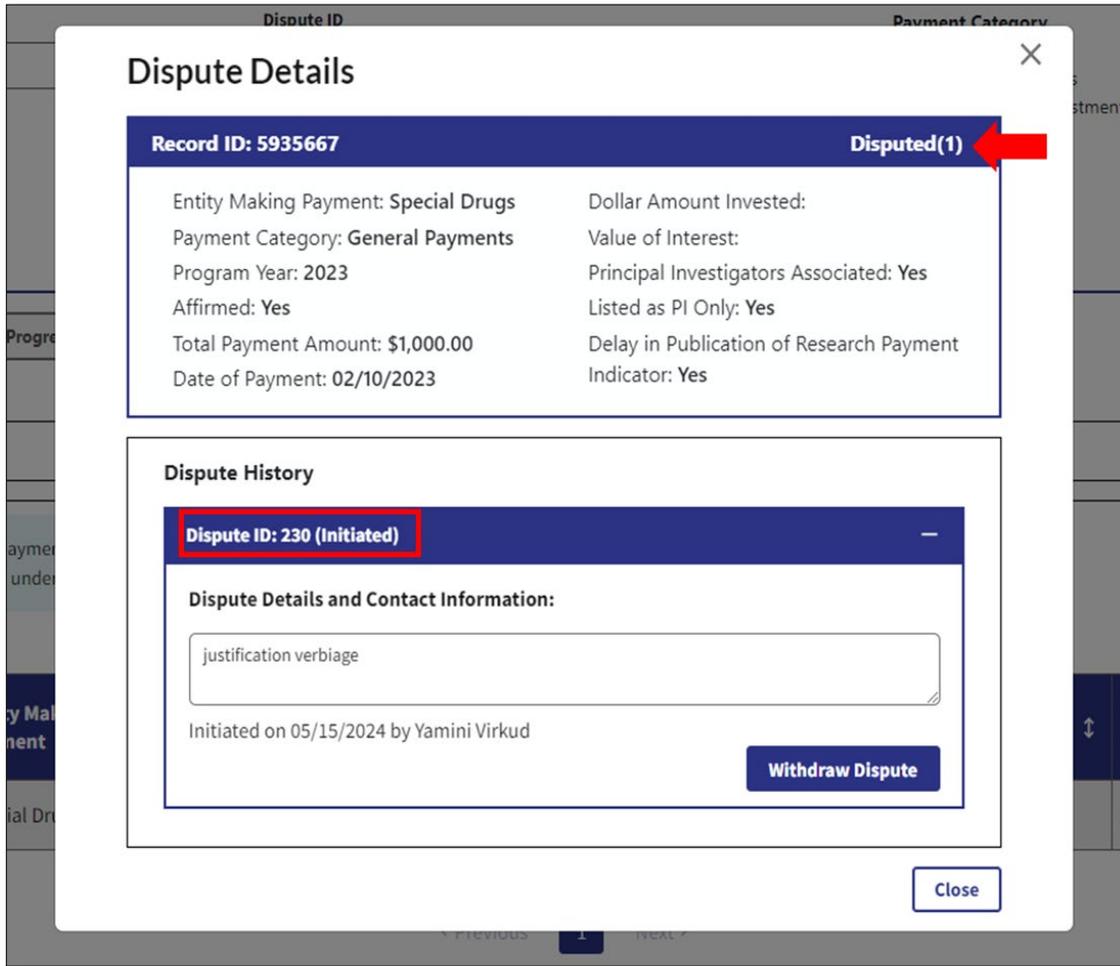
Step 7: Select the “View” link in the “Dispute Details” column to view all initiated dispute(s) associated with the record. Each dispute is displayed in the Dispute Details window and listed in descending order of Dispute ID. The record header also displays the total number of disputes for the record.

Figure 191: Review and Dispute Page: Dispute Details View Hyperlink

The screenshot displays the 'Review & Dispute' page for a 'Doctor Patient'. The page includes a navigation bar with links for 'Home', 'Review and Dispute', 'Messages', 'Contact Us', and 'Resources'. Below the navigation bar, there is a section titled 'Review & Dispute - Doctor Patient' with a 'Show Summary' button. An 'Important Information' section provides instructions on how to search for records, filter results, and export data. Below this, there are tabs for 'Available for Review & Dispute' (selected) and 'Deleted & In Progress Records'. A 'Showing Results for' section shows 'Program Year: 2023' and a 'Download Zip File' link. A message box instructs users to select records to dispute or affirm. Below the message is a table of payment records. The table has the following columns: Record ID, Dispute ID, Entity Making Payment, Total Payment Amount/Dollar Amount Invested, Date of Payment, Payment Category, Listed as PI Only, Program Year, Record Status, Affirmed, and Dispute Details. The first record in the table is: Record ID: 5935667, Dispute ID: 230, Entity Making Payment: Special Drugs, Total Payment Amount: \$1,000.00, Date of Payment: 02/10/2023, Payment Category: General Payments, Listed as PI Only: N/A, Program Year: 2023, Record Status: Attested, Affirmed: No, Dispute Details: View. A red arrow points to the 'View' link in the Dispute Details column. Below the table, there is a 'Showing' section with a dropdown menu set to 'All' and 'of 1 entries', and navigation links for 'Previous' and 'Next'.

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Record Status	Affirmed	Dispute Details
5935667	230	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	Attested	No	View

Figure 192: Review and Dispute Page: Dispute Details View Window



Section 4.3: Affirming Records

Records that have been reviewed and determined to be accurate can be affirmed by the physician, NPP, teaching hospital, or principal investigator in the Open Payments system. Affirmation is not a required action. Records that have not been affirmed will still be included in the data publication. You may initiate disputes on records that have been previously affirmed if you determine that the record contains an error.

To affirm a record, follow the steps below.

Step 1: On the “Review and Dispute” page, use the filtering tools to help find the records to be affirmed, by selecting the **No** checkbox for the “Affirmed?” filter, and then select the **Search** button. All records available for affirmation will be under the **Available for Review and Dispute** tab.

Figure 193: Review and Dispute Page: Search Filter for Affirmed Records

An official website of the United States government. [Here's how you know](#)

User Guide Help Privacy Policy QComp Tester

Home Review and Dispute Messages Contact Us Resources

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information, such as name, NPI (if applicable), and license details, may be disputed.

To withdraw a dispute, select the "Withdraw Dispute" button in the dispute that needs to be withdrawn. The dispute must be in the status of "Initiated" or "Acknowledged" to be withdrawn. To return to the previous page, select the "Show Summary" button.

For more information about the review and dispute process, including step-by-step instructions, refer to the [Open Payments User Guide \[PDF\]](#).

Covered Recipient Records

Program Year: 2023

Entity Making Payment: -- Select --

Dispute Status: -- Select --

Record ID:

Dispute ID:

Listed as PI Only?

Yes

No

Payment Category

General Payments

Research Payments

Ownership or Investment Interest

Affirmed?

Yes

No

Clear All Search

Showing Results for

[Download Zip File](#)

Open Payments User Guide for Covered Recipients – July 2024

Step 2: Select the checkbox next to the record you want to affirm, and then select the **Affirm Record(s)** button to open the “Affirm Records” window.

Figure 194: Review and Dispute Page: Affirm Record(s) Button

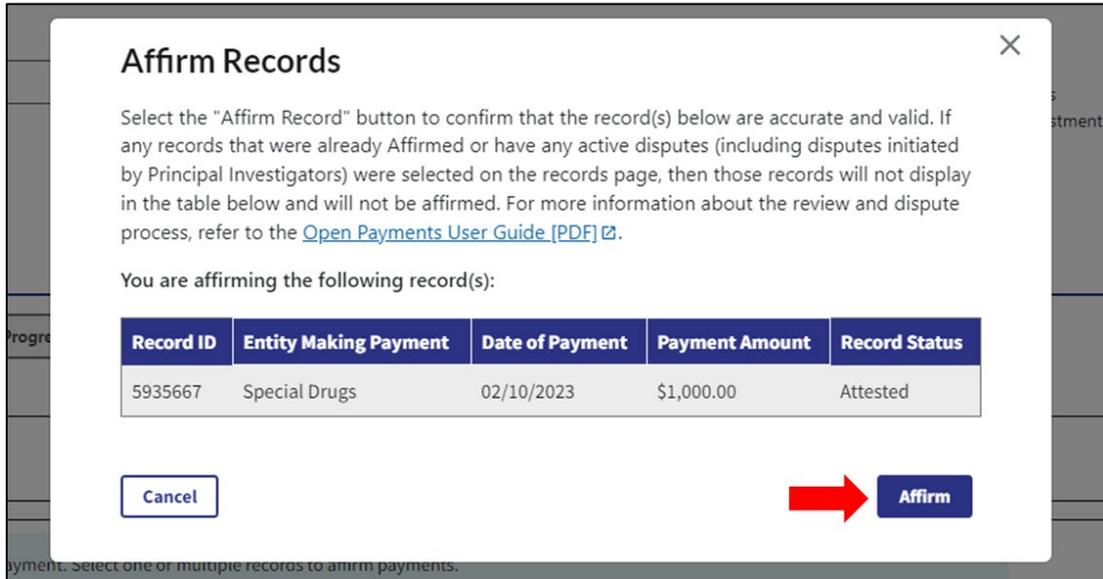
The screenshot displays the 'Review & Dispute' interface for a 'Doctor Patient'. It includes a navigation bar with 'User Guide', 'Help', 'Privacy Policy', and 'QAComp Tester'. The main content area features a 'Review & Dispute' header and a 'Review & Dispute - Doctor Patient' sub-header. Below this is a 'Show Summary' button and a 'Showing Results for' section with filters for 'Program Year: 2023' and 'Affirmed: No'. A 'Download Zip File' link is also present. A light blue instruction box states: 'Select a single record below to dispute payment. Select one or multiple records to affirm payments. To withdraw a dispute, click on view link under Dispute Details column.' To the right of this box are 'Affirm Record(s)' and 'Dispute Record' buttons, with a red arrow pointing to the 'Affirm Record(s)' button. Below the instructions is a table of records:

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Record Status	Affirmed	Dispute Details
<input checked="" type="checkbox"/> 58335667	230	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	Attested	No	View

At the bottom, there is a 'Showing All of 1 entries' indicator and navigation arrows for 'Previous' and 'Next'.

Step 3: Review the information displayed on the “Affirm Records” window and select the **Affirm** button to affirm the record.

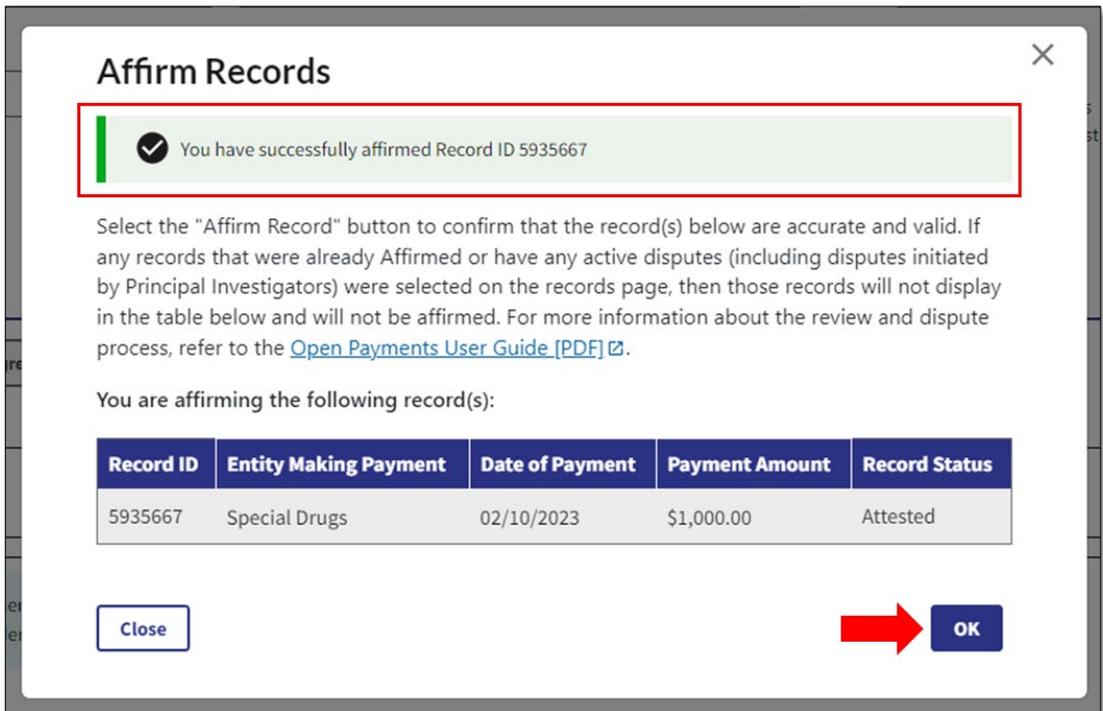
Figure 195: Affirm Records Window: Affirm Button



Step 4: A confirmation message is displayed that the record has been successfully affirmed. Select the **OK** button to exit out the window and return to the “Review and Dispute page” to perform any additional actions on other records, if applicable.

Once a record has been affirmed, the “Affirm Record” button will be grayed out.

Figure 196: Affirm Record Confirmation Message



Section 4.4: Disputing Records

Records can only be disputed individually. To initiate a dispute on a record, follow the steps below.

Step 1: On the “Review and Dispute” page, use the filtering tool to help find the records to be disputed, (i.e., search by Program Year, Entity Making Payment, Payment Category) then select the **Search** button. All records available for dispute will be under the **Available for Review and Dispute** tab.

Figure 197: Review and Dispute Page: Record Filtering Tool

The screenshot shows the 'Review & Dispute' page for a 'Doctor Patient'. It features a filtering tool with the following fields:

- Program Year:** 2023
- Entity Making Payment:** -- Select --
- Dispute Status:** -- Select --
- Record ID:** [Empty text box]
- Dispute ID:** [Empty text box]
- Listed as PI Only?**
 - Yes
 - No
- Payment Category:**
 - General Payments
 - Research Payments
 - Ownership or Investment Interest
- Affirmed?:**
 - Yes
 - No

Buttons for 'Clear All' and 'Search' are located at the bottom right of the filter section. A red arrow points to the 'Search' button.

Below the filter tool, there are two tabs: 'Available for Review & Dispute' (selected) and 'Deleted & In Progress Records'. The 'Showing Results for' section displays 'Program Year: 2023' and a 'Download Zip File' link.

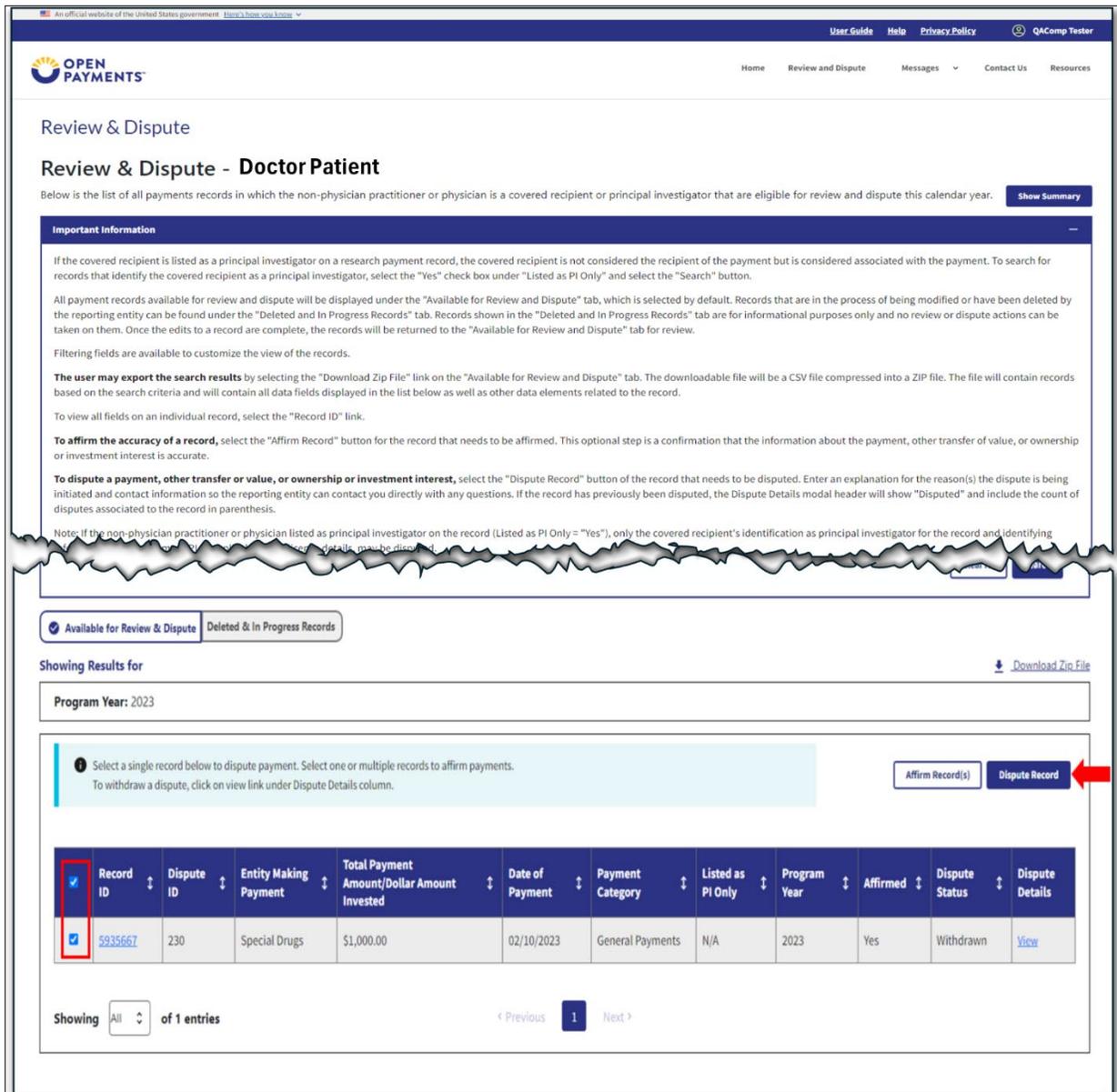
A message box states: 'Select a single record below to dispute payment. Select one or multiple records to affirm payments. To withdraw a dispute, click on view link under Dispute Details column.' Buttons for 'Affirm Record(s)' and 'Dispute Record' are present.

<input checked="" type="checkbox"/>	Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Affirmed	Dispute Status	Dispute Details
<input checked="" type="checkbox"/>	5935667	230	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	Yes	Withdrawn	View

At the bottom, it shows 'Showing All of 1 entries' and navigation links for '< Previous' and 'Next >'.

Step 2: Check the box next to the Record ID and select the **Dispute Record** button to dispute the record.

Figure 198: Review and Dispute Page: Dispute Record Button



When the user selects the **Dispute Record** button to initiate a dispute on a record that already has an active dispute (Dispute Status is “Initiated” or “Acknowledged”), the system will display a pop-up window identifying that a dispute has already been initiated against the record with the following messages based on the scenarios explained below:

- The covered recipient (not principal investigator), covered recipient’s Authorized Representative (AR), or Teaching Hospital’s Authorized Representative (AR)/Authorized Official (AO) has ACTIVE (Dispute Status is “Initiated” or “Acknowledged”) disputes against the record. The Record ID

Open Payments User Guide for Covered Recipients – July 2024

header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the “Dispute Record” button:

The selected payment record has a dispute that is currently in progress.

Select Yes to continue with your dispute request.

Select No to cancel your dispute request.

- The covered recipient is a principal investigator or AR for a covered recipient listed as principal investigator and has NO ACTIVE disputes against the record. The Record ID header only displays the Record ID, and the following pop-up message is issued when the user selects the **Dispute Record** button:

The selected payment record has the covered recipient listed as a principal investigator. A principal investigator is not considered a recipient of a payment but rather is considered associated with the payment.

As a result, the dispute can be initiated for the covered recipient’s association with the selected research payment(s) but not the date, amount, or other values it contains.

Select Yes to continue with your dispute request.

Select No to cancel your dispute request.

- The covered recipient is a principal investigator or AR for a covered recipient listed as a principal investigator and has disputes in status other than “Initiated” or “Acknowledged” against that record. The Record ID header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the **Dispute Record** button:

The selected payment record has the covered recipient listed as a principal investigator. A principal investigator is not considered a recipient of a payment but rather is considered associated with the payment.

As a result, the dispute can be initiated for the covered recipient’s association with the selected research payment(s) but not the date, amount, or other values it contains.

Select Yes to continue with your dispute request.

Select No to cancel your dispute request.

- The covered recipient is a principal investigator or AR for a covered recipient listed as a principal investigator and has ACTIVE (“Initiated” or “Acknowledged”) disputes against the record. The Record ID header displays “Disputed (# of disputes)” and the following pop-up message is issued when the user selects the **Dispute Record** button:

The selected payment record has a dispute that is currently in progress. Note that the covered recipient is listed as a principal investigator in this record. As a result, the dispute can be initiated for the covered recipient’s association with the selected research payment(s) but not the date, amount, or other values it contains.

Select **Yes** to continue with your dispute request.

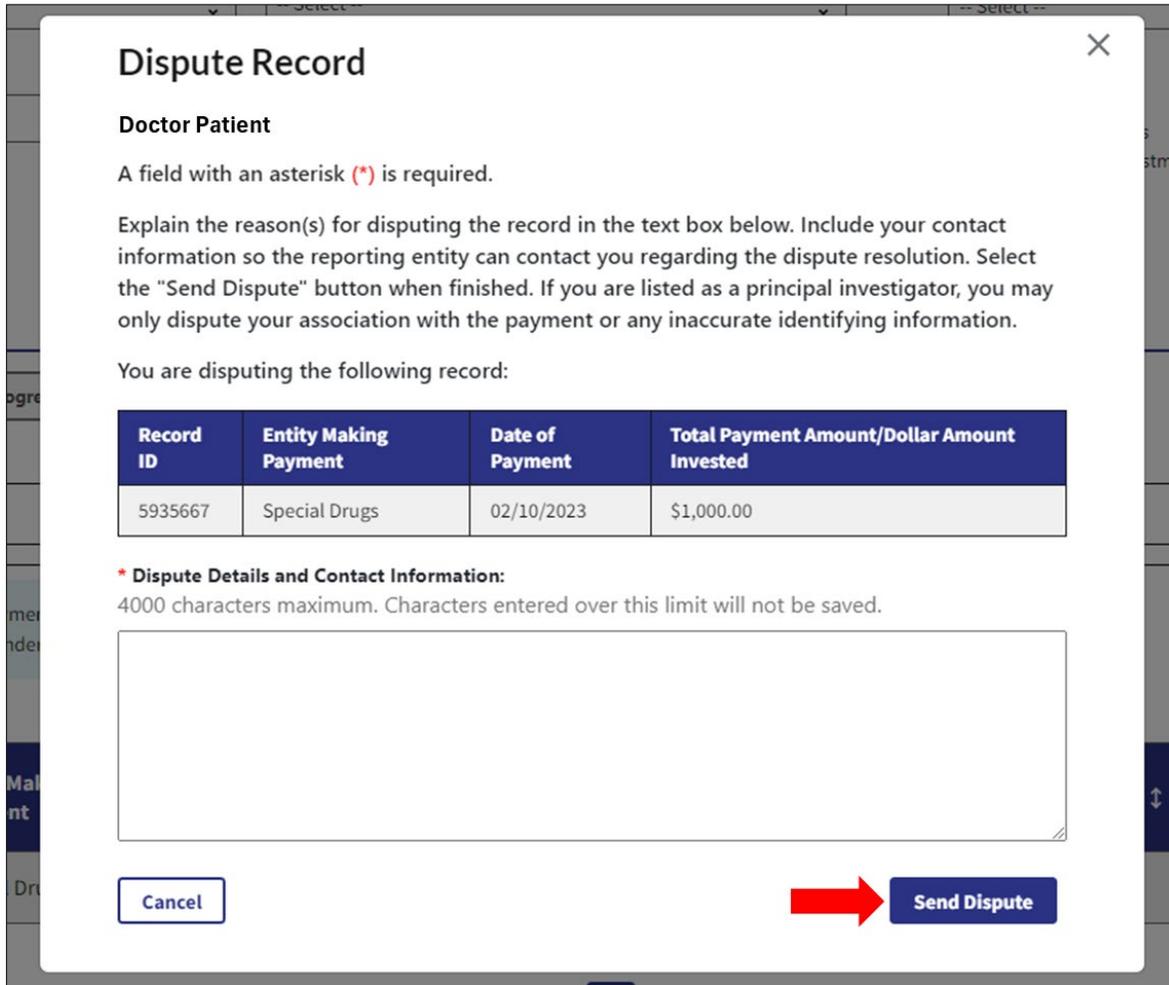
Select **No** to cancel your dispute request.

Step 3: Review the information on the “Dispute Record” window. Enter a detailed reason for why the dispute has been initiated and select the **Send Dispute** button.

Note it is recommended that the “Dispute Details and Contact Information” text includes direct contact information, such as an email address and/or phone number, within the text box, to facilitate the resolution of the dispute in a timely manner.

The Open Payments system will send an email to the reporting entity to notify them that a dispute has been initiated. The email will include the contents of the “Dispute Details and Contact Information” text box.

Figure 199: Dispute Record Window: Send Dispute Button



Dispute Record [Close]

Doctor Patient

A field with an asterisk (*) is required.

Explain the reason(s) for disputing the record in the text box below. Include your contact information so the reporting entity can contact you regarding the dispute resolution. Select the "Send Dispute" button when finished. If you are listed as a principal investigator, you may only dispute your association with the payment or any inaccurate identifying information.

You are disputing the following record:

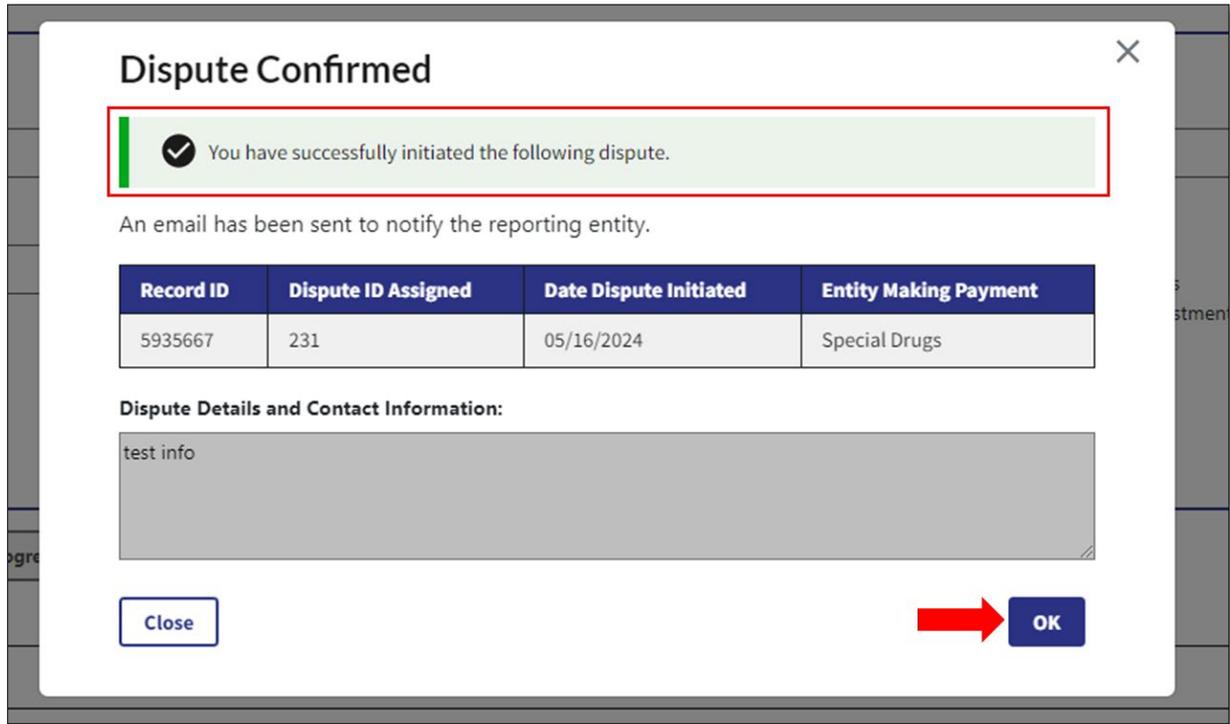
Record ID	Entity Making Payment	Date of Payment	Total Payment Amount/Dollar Amount Invested
5935667	Special Drugs	02/10/2023	\$1,000.00

* **Dispute Details and Contact Information:**
4000 characters maximum. Characters entered over this limit will not be saved.

[Cancel] [Send Dispute]

Step 4: The “Dispute Confirmed” window displays a confirmation message that the dispute has been successfully initiated for that record. Select the **Ok** button to exit out the window and return to the “Review and Dispute” page to perform any additional actions on other records, if applicable.

Figure 200: Dispute Confirmed Window



The covered recipient who initiated the dispute will receive an email notification from the Open Payments system if the reporting entity takes any action on the record. Also, the covered recipient can see any records that are undergoing editing by the entity under the **Deleted and In Progress Records** tab. Records under the **Deleted and In Progress Records** tab will be in either “In Progress” or “Deleted” statuses. The records “In Progress” will display under the **Available for Review and Dispute** tab once the entity has re-attested to them.

Section 4.5: Withdrawing Disputes

Only records with a dispute status of “Initiated” and/ or “Acknowledged” can be withdrawn. To withdraw a dispute on a record, follow these steps.

Step 1: On the “Review and Dispute” page, use the filtering tool to help find the records to be withdrawn (i.e., search for Dispute Status, Dispute ID, etc.), then select the **Search** button. All records available for withdrawing will be under the **Available for Review and Dispute** tab.

Figure 201: Review and Dispute Page: Record Filtering Tool

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information, such as name, NPI (if applicable), and license details, may be disputed.

To withdraw a dispute, select the "Withdraw Dispute" button in the dispute that needs to be withdrawn. The dispute must be in the status of "Initiated" or "Acknowledged" to be withdrawn. To return to the previous page, select the "Show Summary" button.

For more information about the review and dispute process, including step-by-step instructions, refer to the [Open Payments User Guide \(PDF\)](#).

Covered Recipient Records

Yes
 No

General Payments
 Research Payments
 Ownership or Investment Interest

Yes
 No

Available for Review & Dispute
 Deleted & In Progress Records

Showing Results for [Download Zip File](#)

Program Year: 2023
Review & Dispute Status: Initiated

Available for Review & Dispute
 Deleted & In Progress Records

Showing Results for [Download Zip File](#)

Program Year: 2023
Review & Dispute Status: Initiated

Select a single record below to dispute payment. Select one or multiple records to affirm payments.
To withdraw a dispute, click on view link under Dispute Details column.

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Affirmed	Dispute Status	Dispute Details	
<input type="checkbox"/>	5935667	231	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	No	Initiated	View

Showing of 1 entries < Previous 1 Next >

Step 2: Select the "View" link in the "Dispute Details" column to view dispute details for the record with the initiated dispute.

Figure 202: Review and Dispute Page: Dispute Details Column “View” Link

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer of value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying information may be displayed. Details may be disclosed.

Available for Review & Dispute Deleted & In Progress Records

Showing Results for [Download Zip File](#)

Program Year: 2023

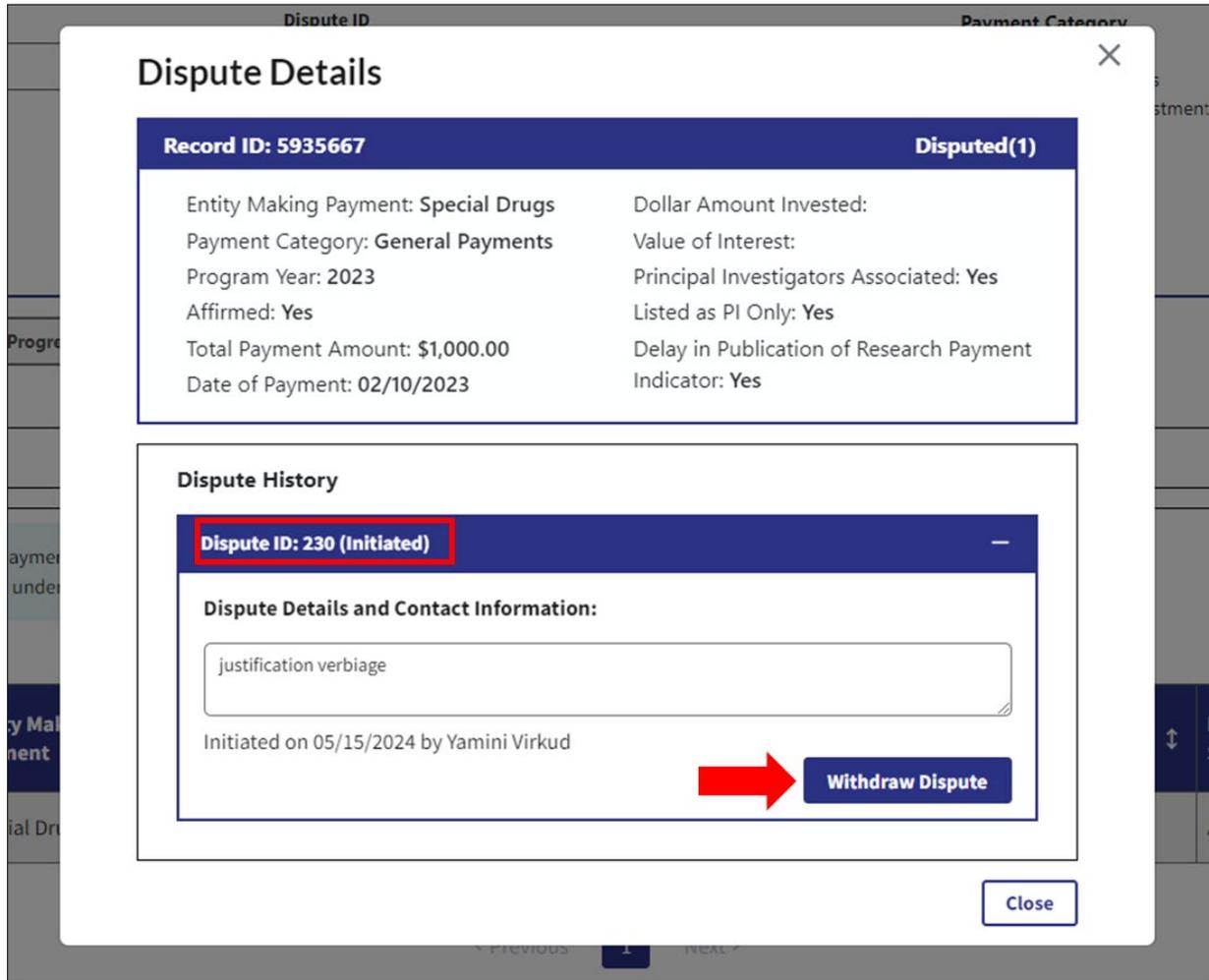
i Select a single record below to dispute payment. Select one or multiple records to affirm payments.
To withdraw a dispute, click on view link under Dispute Details column.

<input type="checkbox"/>	Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Record Status	Affirmed	Dispute Details
<input type="checkbox"/>	59335667	230	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	Attested	No	View

Showing of 1 entries < Previous 1 Next >

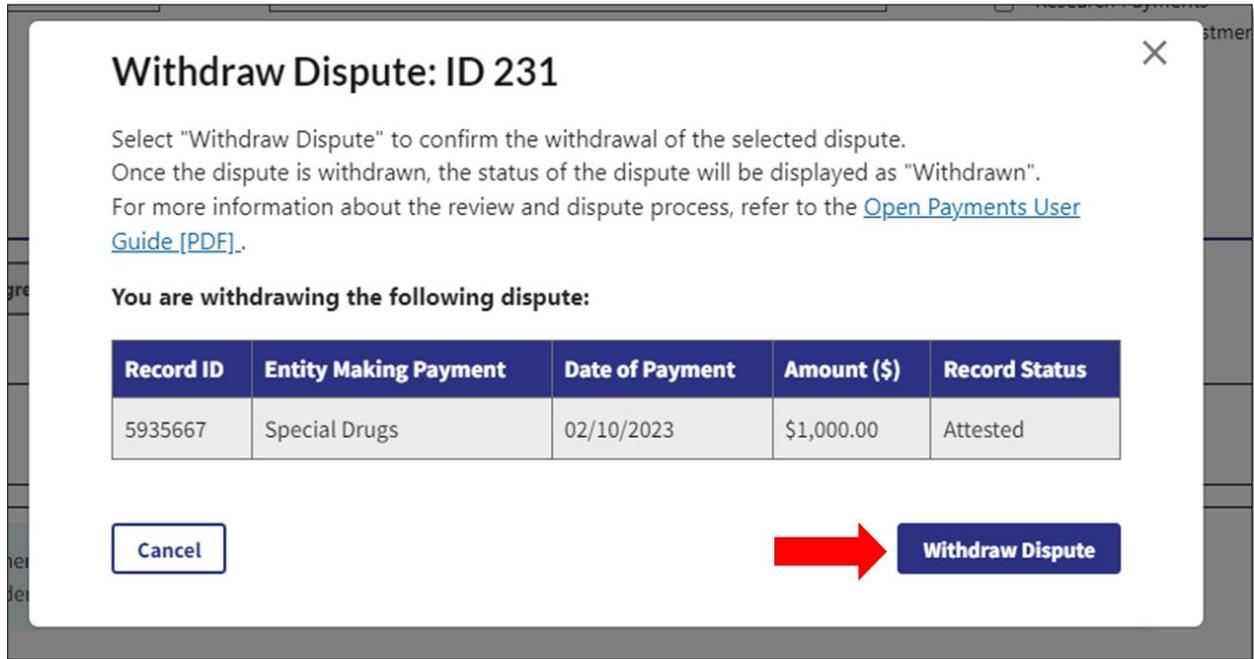
Step 3: Select the “Withdraw Dispute” button in the Dispute Details window.

Figure 203: Dispute Details Window: Withdraw Dispute Button



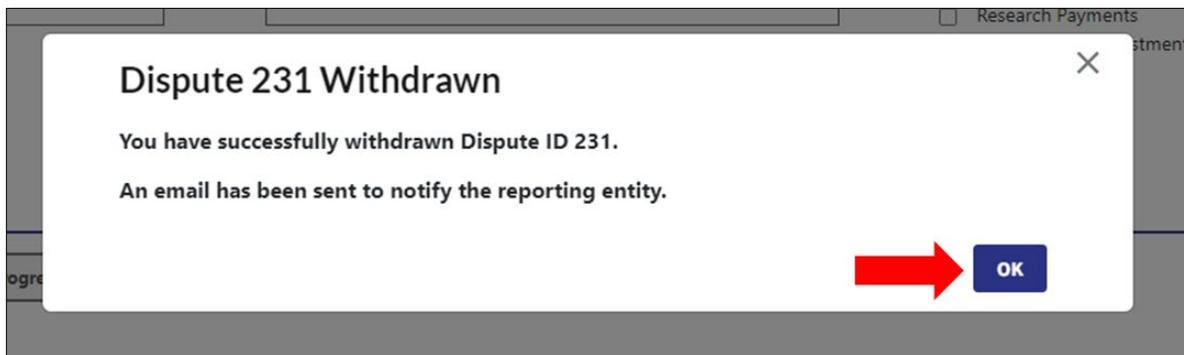
Step 4: Review the information on the “Withdraw Dispute ID” window and select the **Withdraw Dispute** button.

Figure 204: Withdraw Dispute ID: Withdraw Dispute Button



Step 5: A confirmation message is displayed that the dispute has been successfully withdrawn. Select **Ok** button to exit out of the window and return to the "Review and Dispute page" to perform any additional actions on other records, if applicable. An email notification will be sent to the reporting entity informing them the initiated dispute was withdrawn.

Figure 205: Dispute Withdrawn Confirmation Window



Section 4.6: Exporting Data

Users can download records associated with them by selecting the "Download Zip File" link on the "Review and Dispute" page.

Open Payments User Guide for Covered Recipients – July 2024

Records are exported into a pipe (“|”) delimited CSV format, compressed into a ZIP file. It will contain all data fields displayed in the table and other data elements related to the dispute, including the status of each record’s dispute(s), if applicable.

Downloaded data files cannot exceed the acceptable limit of 20,000 records. If your record volume exceeds the record limit, apply filtering criteria to create smaller subsets of records and download them in multiple files.

Figure 206: Review and Dispute Page: Download Zip File Link

Review & Dispute

Review & Dispute - Doctor Patient

Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year. [Show Summary](#)

Important Information

If the covered recipient is listed as a principal investigator on a research payment record, the covered recipient is not considered the recipient of the payment but is considered associated with the payment. To search for records that identify the covered recipient as a principal investigator, select the "Yes" check box under "Listed as PI Only" and select the "Search" button.

All payment records available for review and dispute will be displayed under the "Available for Review and Dispute" tab, which is selected by default. Records that are in the process of being modified or have been deleted by the reporting entity can be found under the "Deleted and In Progress Records" tab. Records shown in the "Deleted and In Progress Records" tab are for informational purposes only and no review or dispute actions can be taken on them. Once the edits to a record are complete, the records will be returned to the "Available for Review and Dispute" tab for review.

Filtering fields are available to customize the view of the records.

The user may export the search results by selecting the "Download Zip File" link on the "Available for Review and Dispute" tab. The downloadable file will be a CSV file compressed into a ZIP file. The file will contain records based on the search criteria and will contain all data fields displayed in the list below as well as other data elements related to the record.

To view all fields on an individual record, select the "Record ID" link.

To affirm the accuracy of a record, select the "Affirm Record" button for the record that needs to be affirmed. This optional step is a confirmation that the information about the payment, other transfer of value, or ownership or investment interest is accurate.

To dispute a payment, other transfer or value, or ownership or investment interest, select the "Dispute Record" button of the record that needs to be disputed. Enter an explanation for the reason(s) the dispute is being initiated and contact information so the reporting entity can contact you directly with any questions. If the record has previously been disputed, the Dispute Details modal header will show "Disputed" and include the count of disputes associated to the record in parenthesis.

Note: If the non-physician practitioner or physician listed as principal investigator on the record (Listed as PI Only = "Yes"), only the covered recipient's identification as principal investigator for the record and identifying

Showing Results for [Download Zip File](#)

Program Year: 2023

Select a single record below to dispute payment. Select one or multiple records to affirm payments.
To withdraw a dispute, click on view link under Dispute Details column. [Affirm Record\(s\)](#) [Dispute Record](#)

Record ID	Dispute ID	Entity Making Payment	Total Payment Amount/Dollar Amount Invested	Date of Payment	Payment Category	Listed as PI Only	Program Year	Affirmed	Dispute Status	Dispute Details
5935667	230	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	No	Withdrawn	View
5935667	231	Special Drugs	\$1,000.00	02/10/2023	General Payments	N/A	2023	No	Withdrawn	View

Showing All of 2 entries < Previous 1 Next >

Chapter 5: System Messages

This chapter on System Messages provides detailed information on how to search for system generated email messages received by the covered recipient physician or teaching hospital in the past 12 months.

Section 5.1: System Messages

As a Covered Recipient user, you can view system generated messages sent to you within the past 12 months.

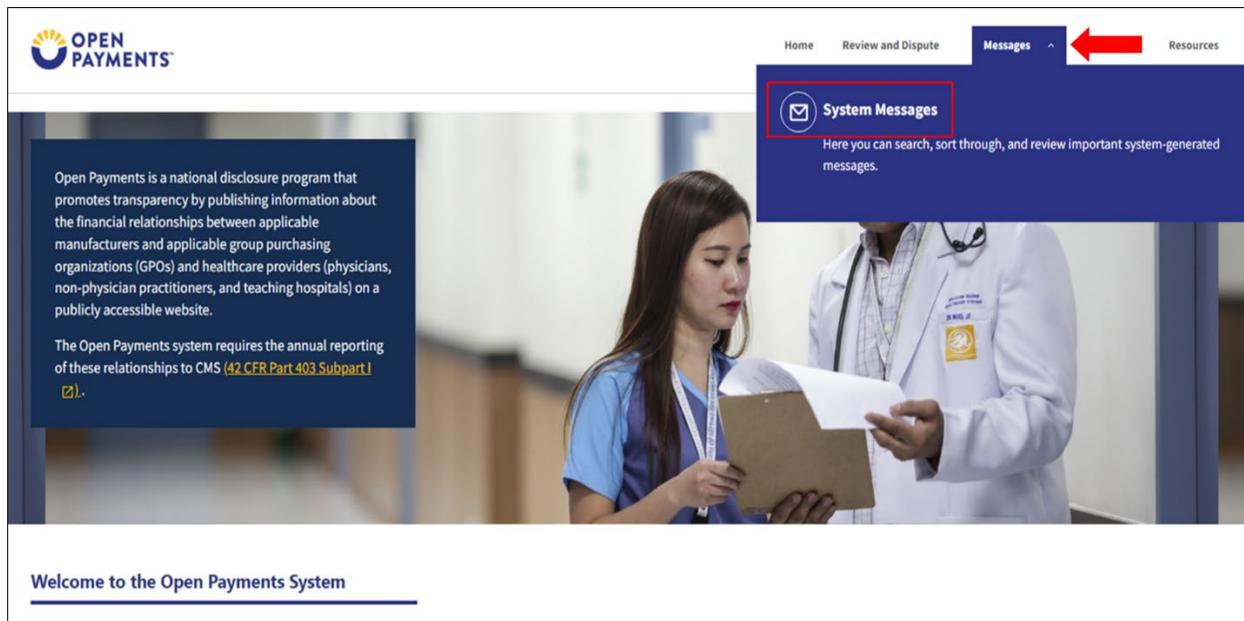
A system generated email is an email generated in response to any action performed in the Open Payments system. For example, during the registration process, once a covered recipient registers on the CMS Open Payments system, an email is sent out to the covered recipient confirming registration. This is a system generated email that can be viewed on the “Messages” tab.

The “Messages” tab is accessible from the Open Payments system home page. Once you are redirected to the “System Messages” page, you can view the following searchable fields: (1) Teaching Hospital, Physician, or NPP Name, (2) Record ID, (3) Payment Category, and (4) Message Category. All these fields are optional to refine your search.

5.1a: Instructions for searching messages

Step 1: Log in to the Open Payments system at <https://openpayments.system.cms.gov> using your IDM credentials and select the **Messages** tab on the tool bar and then select **System Messages**.

Figure 207: Open Payments Messages Tab: System Messages Option



Open Payments User Guide for Covered Recipients – July 2024

Step 2: On the “Messages” page, you can search results based on the following search criteria:

- Teaching Hospital or Physician or NPP Name
- Record ID (only applicable for Message Category of “Review and Dispute”)

Figure 208: Messages Page: System Messages Search Criteria

The screenshot shows the 'Messages' page in the Open Payments system. At the top, there is a navigation bar with the Open Payments logo and links for Home, Review and Dispute, Messages, Contact Us, and Resources. The main heading is 'Messages'. Below this, a 'System' section contains introductory text and a link to the user guide. A search interface is present with a dropdown menu currently showing 'Record ID' and 'Covered Recipient Name' as options. A red arrow points to the search input field. To the right of the search field is a 'Search' button. Below the search area, there is a 'Filter' section with a 'Filter +' button and a 'No Filters Applied' indicator. At the bottom, a table displays message details.

Message Category	Message Subject	Recipient Role(s)	Payment Category	Date/Time Received
Registration	Open Payments System Successful Vetting Notification	Covered Recipient		05/22/2024 08:50 PM

Step 3a: Select the **Search** button to initiate the search. After selecting the **Search** button, a data table with the list of messages will display. This list of messages is sorted from newest to oldest.

Figure 209: Search Button for System Messages

The screenshot shows the 'Messages' section of the Open Payments user interface. At the top, there is a navigation bar with links for Home, Review and Dispute, Messages, Contact Us, and Resources. Below this, the 'Messages' header is displayed. A 'System' section contains introductory text and a search bar. The search bar has a dropdown menu for 'Covered Recipient Name' with 'Covered Recipient' selected. A red arrow points to the 'Search' button. Below the search bar, there is a 'Filter' button and a table of messages. The table has columns for Message Category, Message Subject, Recipient Role(s), Payment Category, and Date/Time Received. Three rows of messages are visible, all with the category 'Registration' and subject 'Open Payments System Vetting In Process Notification'.

Message Category	Message Subject	Recipient Role(s)	Payment Category	Date/Time Received
Registration	Open Payments System Successful Vetting Notification	Covered Recipient		05/22/2024 08:50 PM
Registration	Open Payments System Vetting In Process Notification	Covered Recipient		05/22/2024 08:50 PM
Registration	Open Payments System Vetting In Process Notification	Covered Recipient		05/22/2024 08:48 PM

Step 3b: Select the **Filter** button to help refine your search results based on the following criteria:

- Message Category
- Payment Category (only applicable for Message Category of Review and Dispute)

Figure 210: System Messages Search Results Filter Button

The screenshot shows the Open Payments Messages search results page. At the top, there is a navigation bar with the Open Payments logo and links for Home, Review and Dispute, Messages, Contact Us, and Resources. Below the navigation bar, the page title is "Messages". A "System" section contains introductory text and a search bar for "Covered Recipient Name". Below the search bar, there is a table of messages. The table has columns for "Message Category", "Message Subject", "Recipient Role(s)", and "Payment". The first four rows of the table show "Registration" messages with subjects like "Open Payments System Successful Vetting Notification" and "Open Payments System Vetting In Process Notification". A filter panel is open on the right side of the table, showing "Message Category" with a list of options (Registration, Submissions, Review and Dispute, Nomination) and "Payment Category" with a dropdown menu. A red arrow points to the "Filter +" button in the top right corner of the filter panel.

Message Category	Message Subject	Recipient Role(s)	Payment
Registration	Open Payments System Successful Vetting Notification	Covered Recipient	
Registration	Open Payments System Vetting In Process Notification	Covered Recipient	
Registration	Open Payments System Vetting In Process Notification	Covered Recipient	
Registration	Open Payments System Vetting In Process Notification	Covered Recipient	
Nomination	Open Payments System - Authorized Representative Rejected Nomination	Covered Recipient	05/31/2024 11:40 AM

Step 4: Select the message subject hyperlink under the “Message Subject” column for the message you wish view.

Figure 211: Message Subject Hyperlink

Messages

System

The table below provides information on the system generated email messages received by the covered recipient.

Search and filtering tools above the table can customize the view of the messages displayed.

For more information on the actions you can take on this page, refer to the [Open Payments User Guide for Covered Recipients \[PDF\]](#).

Search

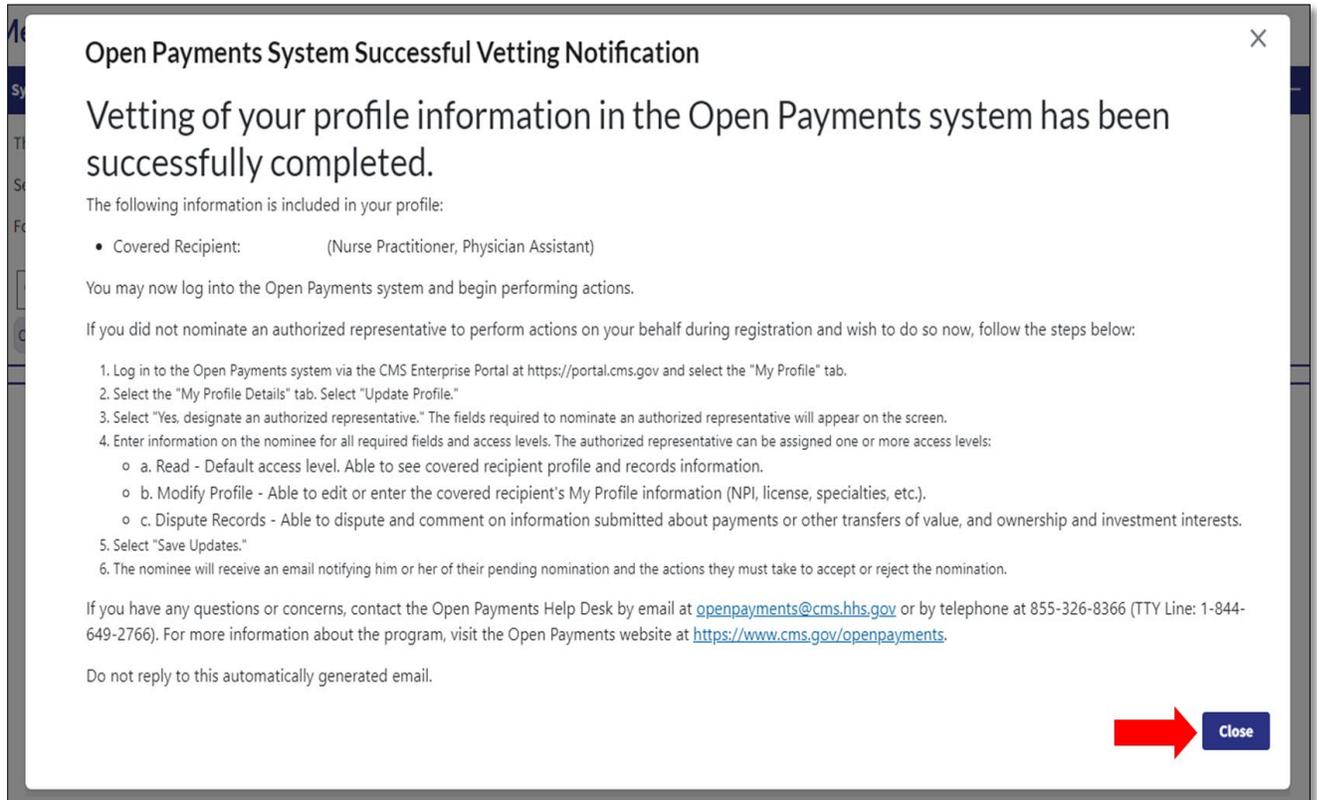
Covered Recipient Name: X

No Filters Applied Filter +

Message Category	Message Subject	Recipient Role(s)	Payment Category	Date/Time Received
Registration	Open Payments System Successful Vetting Notification	Covered Recipient		05/22/2024 08:50 PM
Registration	Open Payments System Vetting In Process Notification	Covered Recipient		05/22/2024 08:50 PM
Registration	Open Payments System Vetting In Process Notification	Covered Recipient		05/22/2024 08:48 PM
Registration	Open Payments System Vetting In Process Notification	Covered Recipient		05/22/2024 08:47 PM
Nomination	Open Payments System - Authorized Representative Rejected Nomination	Covered Recipient		05/21/2024 11:40 AM
Nomination	Open Payments System Action Required -Authorized Representative Nomination	Authorized Representative		05/21/2024 11:37 AM
User/Nomination Deactivation	Open Payments System Nomination Deactivation	Authorized Representative		05/21/2024 11:36 AM

Step 5: View the full message displayed in the pop-up window and select the **Close** button when done.

Figure 212: Full Message Window: Close Button



Appendix A: Glossary of Terms for Open Payments

Note: The text of the Open Payments Final Rule (42 C.F.R. § 403.902) is available at <https://www.cms.gov/OpenPayments/Downloads/Affordable-Care-Act-Section-6002-Final-Rule.pdf>.

Applicable Manufacturer:

Applicable manufacturers are entities that operate in the United States and (1) are engaged in the production, preparation, propagation, compounding, or conversion of a covered drug, device, biological, or medical supply, but not if such covered drug, device, biological, or medical supply is solely for use by or within the entity itself or by the entity's own patients (this definition does not include distributors or wholesalers (including, but not limited to, re-packagers, re-labelers, and kit assemblers) that do not hold title to any covered drug, device, biological, or medical supply); or (2) are entities under common ownership with an entity described in part (1) of this definition, which provides assistance or support to such entities concerning the production, preparation, propagation, compounding, conversion, marketing, promotion, sale, or distribution of a covered drug, device, biological, or medical supply. (See 42 C.F.R. § 403.902)

Applicable Group Purchasing Organization (GPO):

Applicable group purchasing organizations (GPOs) are entities that operate in the United States and purchase, arrange for, or negotiate the purchase of covered drugs, devices, biologicals, or medical supplies for a group of individuals or entities, but not solely for use by the entity itself. (See 42 C.F.R. § 403.902)

Assistance or Support:

Assistance or support means to provide a service or services needed to produce, prepare, propagate, compound, convert, market, promote, sell, or distribute a covered drug, device, biological, or medical supply. (See 42 C.F.R. § 403.902)

Biologicals:

For the purpose of Open Payments, biologicals are defined as in Section 1927(k)(2)(B) of the Social Security Act, which includes a cross-reference to licensure under Section 351 of the Public Health Service Act (“PHS Act”).

Common Ownership:

Common ownership is when the same individual, individuals, entity, or entities directly or indirectly own five percent or more of two entities. This includes, but is not limited to, parent corporations, direct and indirect subsidiaries, and brother or sister corporations. (See 42 C.F.R. § 403.902)

Consolidated Report:

A consolidated report is a report filed by an applicable manufacturer, which includes payments or other transfers of value to covered recipients, physician owners or investment interests for the applicable manufacturer filing and applicable manufacturers under common ownership. (See 42 C.F.R. § 403.908(d))

Covered Recipients:

Covered recipients are any physicians (see [Physicians](#) for an extensive explanation of how Open Payments defines this group) who are not employees of the applicable manufacturer that is reporting the payment; or NPPs (see [NPPs](#) for an extensive explanation of how Open Payments defines this group) who are not employees of the applicable manufacturer that is reporting the payment; or teaching hospitals that receive payment for Medicare direct graduate medical education (GME), inpatient prospective payment system (IPPS) indirect medical education (IME), or psychiatric hospital IME programs during the last calendar year for which such information is available. (See 42 C.F.R. § 403.902)

General Payments:

Payments or other transfers of value not made in connection with a research agreement or research protocol as required in Open Payments.

Non-Covered Recipient Entity:

Non-covered recipient entities are entities that do not meet the Open Payments definition of a covered recipient (see 42 C.F.R. § 403.902). Non-covered recipient entities may include non-teaching hospitals or clinics. A payment or other transfer of value to a non-covered recipient entity is reportable if it is a research payment with at least one associated covered recipient principal investigator.

Non-Covered Recipient Individual:

Non-covered recipient individuals are individuals who do not meet the Open Payments definition of a covered recipient (see 42 C.F.R. § 403.902). Non-covered recipient individuals may include non-physician employees of a teaching hospital or physician-owned practice. A payment or other transfer of value to a non-covered recipient individual is reportable if it is a research payment with at least one associated covered recipient principal investigator.

Non-Physician Practitioners:

The Open Payments program expanded the definition of covered recipients to include the following NPPs:

- Physician Assistant (PA): an individual who performs such services as such individual is legally authorized to perform (in the State in which the individual performs such

Open Payments User Guide for Covered Recipients – July 2024

services) in accordance with State law (or the State regulatory mechanism provided by State law), and who meets such training, education, and experience requirements (or any combination thereof) as the Secretary may prescribe in regulations.

- Nurse Practitioner (NP): an individual who performs such services as such individual is legally authorized to perform (in the State in which the individual performs such services) in accordance with State law (or the State regulatory mechanism provided by State law), and who meets such training, education, and experience requirements (or any combination thereof) as the Secretary may prescribe in regulations.
- Clinical Nurse Specialist (CNS): an individual who is a registered nurse and is licensed to practice nursing in the State in which the clinical nurse specialist services are performed; and holds a master's degree in a defined clinical area of nursing from an accredited educational institution.
- Certified Registered Nurse Anesthetist (CRNA)/Anesthesiologist Assistant (AA): a certified registered nurse anesthetist licensed by the State who meets such education, training, and other requirements relating to anesthesia services and related care as the Secretary may prescribe. In prescribing such requirements the Secretary may use the same requirements as those established by a national organization for the certification of nurse anesthetists.
- Certified Nurse-Midwife (CNM): a registered nurse who has successfully completed a program of study and clinical experience meeting guidelines prescribed by the Secretary, or has been certified by an organization recognized by the Secretary

Open Payments:

Open Payments is a national transparency program which requires:

- Applicable manufacturers of covered drugs, devices, biologicals, or medical supplies to report information about payments or other transfers of value to physicians, NPPs, and teaching hospitals to CMS every year.
- Applicable manufacturers and applicable group purchasing organizations (GPOs) to report information about ownership and investment interests held by physicians or their immediate family members to CMS every year.
- Applicable GPOs to report information about payments or other transfers of value made to physicians owners and investment interests to CMS every year.

Physicians:

For the purposes of Open Payments, physicians are defined as doctors of medicine or osteopathy practicing medicine or surgery, doctors of dental medicine or dental surgery

Open Payments User Guide for Covered Recipients – July 2024

practicing dentistry, doctors of podiatric medicine, doctors of optometry, or chiropractors, all legally authorized to practice by their state.

Physician Owners or Investors:

Physicians who have an ownership or investment interests in an applicable manufacturer or applicable group purchasing organization. Applicable manufacturers and applicable group purchasing organizations are required to report ownership or investment interests held by a physician or a physician’s immediate family member in an applicable manufacturer or applicable group purchasing organization.

Research Payments:

Payments or other transfers of value made in connection with a research agreement or research protocol as required in Open Payments.

Special Characters:

Characters that are neither letters nor numbers. Special characters include punctuation, spaces, and other non-alphanumeric symbols.

Special characters are required in registration fields where appropriate. For example, the "@" symbol and the period are required in email address fields, while dashes are required in telephone number fields.

Data elements of submitted records may contain only the special characters allowed per the “Submission Data Mapping Document,” which is found on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/Resources>. Note that there are multiple versions of the Submission Data Mapping Document based on program year. Consult the Submission Data Mapping Document for the program year of your records.

Free text fields allow all special characters on a standard U.S. keyboard, excepting ALT+NUMPAD ASCII Key characters. Below are the special characters allowed in free text fields.

Figure 213: Special Characters Allowed in Free-Text Fields

Special Character	Description
+	Plus sign
&	Ampersand
'	Apostrophe
*	Asterisk
@	At sign
\	Backslash
^	Caret
:	Colon
,	Comma

Special Character	Description
\$	Dollar sign
Space	Space character
=	Equal
!	Exclamation mark
/	Forward slash
`	Grave accent
>	Greater than
-	Minus sign/hyphen
(Left parenthesis
{	Left curly brackets
[Left square brackets
<	Less than
%	Percent
.	Period
#	Pound
?	Question mark
"	Quotation marks
)	Right parenthesis
}	Right curly brackets
]	Right square brackets
;	Semi-colon
	Pipe
~	Tilde
+	Plus sign

Characters used in foreign languages that are not used in English must be converted to English characters to be acceptable to the Open Payments system. Refer to the conversion table below.

Figure 214: Conversions for Foreign Language Characters

Foreign Character	Convert to English Character
À	A
Á	A
Â	A
Ã	A
Ä	A
Å	A
È	E
É	E
Ê	E
Ë	E

Open Payments User Guide for Covered Recipients – July 2024

Foreign Character	Convert to English Character
ì	I
í	I
î	I
ï	I
ò	O
ó	O
ô	O
õ	O
ö	O
ø	O
ù	U
ú	U
û	U
ü	U

Teaching Hospital:

Teaching hospitals are hospitals that receive payment for Medicare direct graduate medical education (GME), IPPS indirect medical education (IME), or psychiatric hospital IME programs during the last calendar year for which such information is available. (See 42 C.F.R. § 403.902). The full list of affected teaching hospitals can be found on the Resources page of the Open Payments website at <https://www.cms.gov/OpenPayments/Resources>.

Disclosure

- **Disclaimer:** The contents of this document do not have the force and effect of law and are not meant to bind the public in any way unless specifically incorporated into a contract. This document is intended only to provide clarity to the public regarding existing requirements under the law.
- **Activities/persons addressed by this document:** Guidance for physicians, NPPs, and teaching hospitals on Open Payments, including how to register, and review, and dispute attributed data.
- **Date of document issuance:** January 2025
- **Replacement / Revision Status:** Revision to previous versions
- **Agency Identifier:** OBRHI CFRG 4180
- **Summary of Document:** A one-stop-shop resource to help physicians, NPPs, and teaching hospitals understand in detail how the Open Payments system works.
- **Citation to statutory provision/regulation applicable to this document:**
 - **Statute citation:** Social Security Act SEC. 1128G. [42 U.S.C. 1320a-7h]
 - **Rule citation:** 42 C.F.R. §403.900-14