



March 8, 2022

Frequently Asked Questions (FAQs) Regarding the Audit Submission Timeline for Third-party Auditor Operational Readiness Reviews for the Enhanced Direct Enrollment (EDE) Pathway for Calendar Year 2022 and Subsequent Calendar Years

The following FAQ provides information on the audit submission timeline for prospective EDE Entities or EDE Entities that plan to seek approval to use the EDE pathway. Please note, the current EDE program requirements for calendar year 2021 are defined in the *Third-party Auditor Operational Readiness Reviews for the Enhanced Direct Enrollment Pathway and Related Oversight Requirements* (published February 26, 2021) (EDE Guidelines).¹ CMS intends to release updated EDE Guidelines prior to the start of the audit submission window for calendar year 2022, and expects to do so annually in subsequent years.

Prospective EDE Entities should provide their Notice of Intent to Participate and Auditor Confirmation to DE Support at directenrollment@cms.hhs.gov as described in the EDE Guidelines.² EDE Entities and prospective EDE Entities should direct all questions related to the audit submission process, timing, and requirement to directenrollment@cms.hhs.gov.

1. When is the annual audit submission window for EDE Entities that plan to seek approval to use the EDE pathway or to seek approval for an eligibility application phase change?

The annual audit submission window for EDE Entities that plan to seek approval to use the EDE pathway or to seek approval for an eligibility application phase change will begin on April 1 and will end on July 1 at 3:00 AM ET. A complete audit must be submitted to CMS by July 1 at 3:00 AM ET.³ This audit submission window will apply to all future calendar years unless CMS indicates otherwise.

¹ <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/Guidelines-for-Third-Party-Auditors-EDE-PY21PY22Year4.pdf>

² See, for example, Exhibit 4 of the EDE Guidelines for Year 4, available at <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/Guidelines-for-Third-Party-Auditors-EDE-PY21PY22Year4.pdf>.

³ Please refer to the EDE Guidelines for the applicable calendar year for CMS's standards of a complete audit. CMS expects to post future versions of the EDE Guidelines at the following link: <https://www.cms.gov/programs-and-initiatives/health-insurance-marketplaces/direct-enrollment-and-enhanced-direct-enrollment>.