CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-04 Medicare Claims Processing	Centers for Medicare & Medicaid Services (CMS)
Transmittal 10550	Date: January 5, 2021
	<b>Change Request 12032</b>

Transmittal 10440, dated November 6, 2020, is being rescinded and replaced by Transmittal 10550, dated, January 5, 2021 to correct the file name in business requirement 12032.2. All other information remains the same.

SUBJECT: Instructions for Retrieving the 2021 Pricing and Healthcare Common Procedure Coding System (HCPCS) Data Files through CMS' Mainframe Telecommunications Systems

**I. SUMMARY OF CHANGES:** This transmittal provides the annual update to the various pricing files used by the Medicare Administrative Contractors (MACs) to adjudicate Part B fee schedule paid claims. The attached recurring update notification applies to chapter 23, section 40.

### **EFFECTIVE DATE: January 1, 2021**

\*Unless otherwise specified, the effective date is the date of service.

**IMPLEMENTATION DATE: January 4, 2021** 

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

# II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE	
N/A	N/A	

### III. FUNDING:

### For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

#### IV. ATTACHMENTS:

**Recurring Update Notification** 

# **Attachment - Recurring Update Notification**

Fub. 100-04   Transmittal: 1050   Date: January 5, 2021   Change Request: 12052	Pub. 100-04	Transmittal: 10550	Date: January 5, 2021	Change Request: 12032
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SUBJECT: Instructions for Retrieving the 2021 Pricing and Healthcare Common Procedure Coding System (HCPCS) Data Files through CMS' Mainframe Telecommunications Systems

**EFFECTIVE DATE: January 1, 2021** 

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**IMPLEMENTATION DATE: January 4, 2021** 

### I. GENERAL INFORMATION

- **A. Background:** Attached are the instructions for retrieving the 2021 Pricing and HCPCS Data Files through CMS' Mainframe Telecommunications System (MTS). These instructions pertain to institutional abstract files only, so there is no A/B Medicare Administrative Contractors (MAC Part B) impact. CMS' Division of Data Systems (DDS) will release the fee schedules files on the dates indicated. Contractors shall use these files for pricing HCPCS codes for dates of service beginning January 1, 2021.
- **B.** Policy: Section 5102(b) of the Deficit Reduction Act requires payment for imaging services to be limited to the Medicare Outpatient Department fee schedule amount established under the Prospective Payment System (PPS) for hospital outpatient department services. To the extent possible, this limit is reflected in the fees contained in these files. However, contractor priced services are not included in these files. For any imaging services that are contractor priced, the contractor will need to ensure this limit is implemented when its fee is developed.

### II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility								
			A/B	A/B		Shared-				Other
		N	/IAC	7)	M	System				
					Е	Maintainers			ers	
		A	В	Н		F	M	V	C	
				Н	M	_	C	M	W	
				Н	A	S	S	S	F	
					C	S				
12032.1	Medicare contractors shall download the 2021 HCPCS file with the following name from the CMS MTS on or after November 3, 2020.	X								VDC
	P@HCP.@AAA2360.HCPC2021.CONTR.Q1									
12032.2	Medicare contractors shall download the 2021 fee amounts for clinical diagnostic laboratory services with the following name from the CMS MTS on or	X								VDC

Number	mber Requirement Responsibility									
			A/B MA(		D M E		Sha Sys aint	tem		Other
		A	В	H H H		F I S S	M C S	V M S	C W F	
	after November 15, 2020 after 8:00 PM Eastern Standard Time (EST):									
	MU00.@BF12394.CLAB.V2021Q1.									
12032.3	Medicare contractors shall download the 2021 fee amounts for Durable Medical Equipment, Prosthetics, Orthotics and Supplies (DMEPOS) with the following name from the CMS MTS on or after the release date still to be determined after 8:00 PM EST:  MU00.@BF12393.DMEPOS.T210101.V1203.FI	X		X						VDC
12032.3.1	MACs shall retrieve from the following DMEPOS categories as appropriate:	X								
	OS, IL, P/O SC, and S/D									
12032.3.2	Medicare contractors shall retrieve as appropriate from all DMEPOS categories except T/S.	X		X						
12032.4	Medicare contractors shall download the 2021 physician fee schedule abstract fee amounts for outpatient rehabilitation and Comprehensive Outpatient Rehabilitation Facility (CORF) services with the following name from the CMS MTS on or after the release date still to be determined after 8:00 PM EST:	X								VDC
	MU00.@BF12390.MPFS.CY21.ABSTR.V1101.FI									
12032.5	Medicare contractors shall download the 2021 fee amounts for Part B hospice claims, outpatient rehabilitation, CORF, Skilled Nursing Facilities (SNF) and Critical Access Hospital (CAH) services with the following name from the CMS MTS on or after the release date still to be determined after 8:00 PM EST:	X		X						VDC
	MU00.@BF12390.MPFS.CY21.HHH.V1101.FI									
12032.5.1	Medicare contractors shall download the 2021 Physician Fee Schedule Payment Policy Indicator file for Method II CAH professional services with the	X								VDC

Number	Requirement	Responsibility									
			A/B		D		Sha			Other	
		N	MA(	C	M		Sys				
		A	В	Н	E	Е	F	aint M		1	
		A	D	Н	M	I	C				
				Н	A	S	S	S	F		
					С	S					
	following name from the CMS MTS on or after the release date still to be determined after 8:00 PM EST:										
	MU00.@BF12390.MPFS.CY21.PAYIND.V1101										
12032.6	Medicare contractors shall download the 2021 fee	X								VDC	
	amounts for the new digital mammography technology										
	and regular screening mammography services with the										
	following name from the CMS MTS on or after the release date still to be determined after 8:00 PM EST:										
	release date still to be determined after 8.00 f W EST.										
	MU00.@BF12390.MPFS.CY21.MAMMO.V1101.FI										
12032.7	Medicare contractors shall download the 2021 fee	X								VDC	
	amounts for Part B SNF claims with the following										
	name from the CMS MTS on or after the release date										
	still to be determined after 8:00 PM EST:										
	MU00.@BF12390.MPFS.CY21.SNF.V1101.FI										
12032.8	Medicare contractors shall download the 2021	X								VDC	
	Anesthesia conversion factor fee amounts for CAH										
	services from the CMS MTS on or after the release										
	date still to be determined after 8:00 PM EST:										
	NOTE: The data set name for this file will be provided										
	in email from CMS later.										
12032.9	Medicare contractors shall download the 2021	X								VDC	
	ambulance fee amounts by locality for all localities										
	with the following name from the CMS MTS on November 13, 2020 after 8:00 PM EST:										
	13, 2020 arter 6.00 FW EST.										
	MU00.@AAA2390.AMBFS.FINAL.CY2021.V1113										
12032.10	Medicare contractors shall refer to the locality	X		X							
	structure listed in the file below to identify the carrier										
	number associated with the locality name and number.										
	http://www.ome.gov/Medicare/Medicare Fee fee										
	http://www.cms.gov/Medicare/Medicare-Fee-for- Service-Payment/PhysicianFeeSched/PFS-Relative-										
	Value-Files.html										

Number	Requirement	Re	espo	nsi	bilit	y			
			A/B D MAC M E				Sha Sys aint	tem	Other
		A	В	H H H	M A C	F I S S	M C S	V M S	
12032.11	Medicare contractors shall treat pricing data as confidential and shall not release data until notification is received from CMS (publication of the final rule implementing the fee schedule for physician services for 2021).	X		X					
12032.12	Medicare contractors shall price claims with dates of service on and after January 1, 2021, with codes and fee rates furnished in the 2021 files.	X		X					
12032.13	Medicare contractors shall notify CMS of successful receipt via e-mail to price_file_receipt@cms.hhs.gov stating the name of the file received, (e.g., Clinical Lab (CLAB), Average Sales Price (ASP), etc.) and the entity for which it was received (i.e., include states, carrier numbers, quarter, and if Part A, Part B, or both).	X		X					
12032.14	Medicare contractors shall compare selected contractor priced imaging service fees to the outpatient PPS amount in their system for the same service and load the lower amount for payment.	X							

# III. PROVIDER EDUCATION TABLE

Number	Requirement	Re	spoi	nsib	ility	
			A/B		D	C
		ľ	MA(	7)	M	Е
					Е	D
		Α	В	Н		I
				Н	M	
				Н	A	
					C	
	None					

# IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements:

<sup>&</sup>quot;Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
	N/A

**Section B: All other recommendations and supporting information:** The record layouts can be found in Pub. 100-04 Chapter 23, sections 40 through 50.

### V. CONTACTS

**Pre-Implementation Contact(s):** Wil Gehne, Wilfried.Gehne@cms.hhs.gov, Shauntari Cheely, Shauntari.Cheely@cms.hhs.gov, Kimberly Oliver-Culbreath, kimberly.oliver-culbreath@cms.hhs.gov

**Post-Implementation Contact(s):** Contact your Contracting Officer's Representative (COR).

### VI. FUNDING

## **Section A: For Medicare Administrative Contractors (MACs):**

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### **ATTACHMENTS: 1**

#### INSTRUCTIONS FOR RECEIVING 2021 PART B PRICING FILES VIA CMS' MAINFRAME TELECOMMUNICATIONS SYSTEM

Listed below are instructions for receiving the 2021 Part B Pricing Files via CMS' mainframe telecommunications system. In order not to incur additional transmission cost, transmission must occur during the evening hours, specifically after 8:00 P.M. Eastern Standard Time (EST).

Listed below are the most common problems encountered when A/M MACs receive data via CMS' mainframe telecommunications system:

- Receipt was performed in interactive mode, rather than batch. If transmission is performed interactively, it is impossible for DHPPD to access the CMS' mainframe telecommunications system log to verify transmission success/failure.
- Omission or change of NEWNAME parameter. Omission of this parameter makes it extremely difficult and cumbersome for DHPPD to access CMTS log to verify transmission success/failure. See the following NEWNAME parameters:
  - -- DMEPOS for services priced under the durable medical equipment, prosthetics, orthotic and supply fee schedule
  - -- CLAB for services priced under the clinical diagnostic laboratory fee schedule
  - -- MPFS for the radiology and other diagnostic services priced under the physician fee schedule
  - -- HCPCS for procedure coding information required for claims processing
- Omission or change of STARTT parameter. This parameter establishes the starting time for the batch job. As stated earlier, transmission must occur during the evening hours. Setting the STARTT to 20:00:00 hours assures that transmission will not commence prior to 8:00 PM EST.

The following is the JCL required for setting up a CMTS transmission of the 2021 Part B Pricing Files file from the CMS Data Center.

\*

```
//UID#DMEP JOB (ACCTNG), 'NAME', MSGCLASS=A, CLASS=C,
    MSGLEVEL=(1,1)
//DMBATCH EXEC PGM=DMBATCH,REGION=512K,PARM=(YYSLYNN)
//DMPUBLIB DD DSN= NDM.PROCESS.LIBRARY, DISP=SHR
//DMMSGFIL DD DSN= NDM.MESSAGE.LIBRARY,DISP=SHR
//DMNETMAP DD DSN= NDM.NETWORK.MAP,DISP=SHR
//DMPRINT DD SYSOUT=*
//NDMCMDS DD SYSOUT=*
//SYSUDUMP DD SYSOUT=*
//SYSPRINT DD SYSOUT=*
//SYSIN
        DD *
SIGNON USERID=(NDM USERID) -
        NODE= NDM NODE ID
      NETMAP= NDM NETWORK MAP
 SUBMIT DSN= PROCESS LIBRARY MEMBER -
     STARTT=(,20:00:00) -
   NEWNAME=DMEPOS or CLAB or MPFS or HCPCS
SIGNOFF
************************
Prior to submitting this job, supply the following parameters particular to your job site:
UID#
                                  = Your system User-ID
ACCTNG
NAME
                                  = Programmer's Name
```

= Accounting Information, if applicable = NDM Process Library for your system NDM.PROCESS.LIBRARY = NDM Message Library for your system NDM.MESSAGE.LIBRARY NDM.NETWORK.MAP = NDM Network Map File for your system

```
NDM USERID
NDM NODE
PROCESS LIBRARY MEMBER

= NDM Userid for your system
= NDM Node ID for your system
= Member where the code for the NDM COPY (see next page) is stored
```

The following code should be placed in your process library. This code will be executed from within CMTS to perform the copying of the 2021 Part B pricing data from a file at the CMS Data Center to a file at your processing site.

\*

```
DMEPOS PROCESS
                 PNODE= NDM NODE -
                 SNODE=NDM.CMS -
                 SNODEID=(TWXX, PASSWD) -
                PACCT= 'ACCTNG' -
                 &DSN= DATASET NAME
STEP01 COPY -
    FROM -
     (DSN=CMS FILE
      DISP=SHR -
      SNODE) -
    TO -
      (DSN=&DSN -
      DISP=(,CATLG,DELETE) -
      UNIT= UNIT ID
      PNODE)
```

\*

Supply the following parameters particular to your job site:

NDM NODE= NDM Node ID for your systemTWXX= NDM User ID for CMS' systemPASSWD= Password to access NDM at CMSACCTNG= Accounting Information (if required)DATASET NAME= File to receive HCFA data transmissionCMS FILE= APPROPRIATE DATA SET NAMEUNIT ID= Unit Identifier for your system

The submission of this JCL will enter this job in the MTS queue. In order not to incur additional transmission line costs, the job must not run before 8:00 P.M. (EST) of the day it is submitted.