



Open Payments

Overview and Enhancements

O P E N P A Y M E N T S

C R E A T I N G P U B L I C T R A N S P A R E N C Y
I N T O I N D U S T R Y - P H Y S I C I A N
F I N A N C I A L R E L A T I O N S H I P S

January 2025

CMS Disclaimer: This information is a summary of the final rule implementing Open Payments (Medicare, Medicaid, Children's Health Insurance Programs; Transparency Reports and Reporting of Physician Ownership or Investment Interests [CMS-5060-F], codified at 42 CFR Parts 402 and 403). The summary is not intended to take the place of the final rule which is the official source for information on the program.

Outline

- Open Payments System Overview
- Open Payments System Enhancements
- Next Steps and Available Resources

Target Audience & Learning Objectives

- Target audience:
 - Reporting entities that need to submit data to the Open Payments system to comply with regulatory and reporting requirements
 - Covered recipients who want to review and possibly dispute payment records associated with them that have been reported to Open Payments
- Learning objective:
 - Understand the Open Payments system and enhancements

Key Terms

- **Reporting Entities** – refers to pharmaceutical and medical device manufacturers and their distributors who are required to report payments and other transfers of value to Open Payments; also referred to as Applicable Manufacturers and Applicable Group Purchasing Organizations (AM/GPOs)
- **Covered Recipients** – refers to physicians, non-physician practitioners (NPPs) and teaching hospitals receiving payments or other transfers of value from Applicable Manufacturers and Applicable Group Purchasing Organizations (AM/GPOs)
 - NPPs include physician assistant (PA), nurse practitioner (NP), clinical nurse Specialist (CNS), Certified Registered Nurse Anesthetist or Anesthesiologist Assistant (CRNA/AA) and Certified Nurse-Midwife (CNM)

For a complete list of key terms for Open Payments, refer to the Open Payments User Guide for Reporting Entities or Open Payments User Guide for Covered Recipients

Open Payments Program Overview

What is Reported?

- Direct or indirect payments or other transfers of value made to covered recipients and physician owners or investors
 - A direct payment is a payment or other transfer of value made directly by reporting entities to a covered recipient (or a physician owner or investor)
 - An indirect payment is a payment or other transfer of value made by a reporting entity to a covered recipient (or a physician owner or investor) through a third party, where the entity requires, instructs, directs, or otherwise causes the third party to provide the payment or transfer of value, in whole or in part, to a covered recipient (or a physician owner or investor)
- Certain ownership or investment interests held by physician owners or investors, or their immediate family members

Who is Responsible for Reporting?

Applicable Manufacturers of covered products AND entities under common ownership with applicable manufacturers who also provide assistance and support are required to annually report to CMS.

- Operates in the United States
- Engages in the production, preparation, compounding, or conversion of a covered drug, device, biological, or medical supply
This includes distributors or wholesalers that hold title to a covered drug, device, biological, or medical supply

Applicable Group Purchasing Organizations (GPOs) are required to annually report to CMS.

- Operates in the United States
- Purchases, arranges for or negotiates the purchase of a covered drug, device, biological, or medical supply for a group of individuals or entities, but not solely for use by the entity itself

Who is Reported On?

Covered Recipient Physicians

- Doctors of medicine or osteopathy legally authorized to practice medicine or surgery by the state
- Doctors of dental medicine or dental surgery legally authorized to practice dentistry by the state
- Doctors of Podiatric Medicine licensed by the state and legally authorized to practice podiatry by the state
- Doctors of optometry legally authorized to perform as a doctor of optometry by the state
- Chiropractors licensed by the state and legally authorized to perform by the state

Physician Owners or Investors

- Physicians who are owners or investors of an applicable manufacturer or applicable GPO
- Immediate family members who have ownership or investment interest in an Applicable Manufacturer Or Applicable GPO: spouse, natural or adoptive parent, child, or sibling, stepparent, stepchild, stepbrother, or stepsister, father-, mother-, daughter-, son-, brother-, or sister-in-law, grandparent or grandchild, spouse of a grandparent or grandchild

Who is Reported On? (Cont.)

Covered Recipient Non-Physician Practitioners

- Physician Assistant (PA)
- Nurse Practitioner (NP)
- Clinical Nurse Specialist (CNS)
- Certified Registered Nurse Anesthetist or Anesthesiologist Assistant (CRNA/AA)
- Certified Nurse-Midwife (CNM)

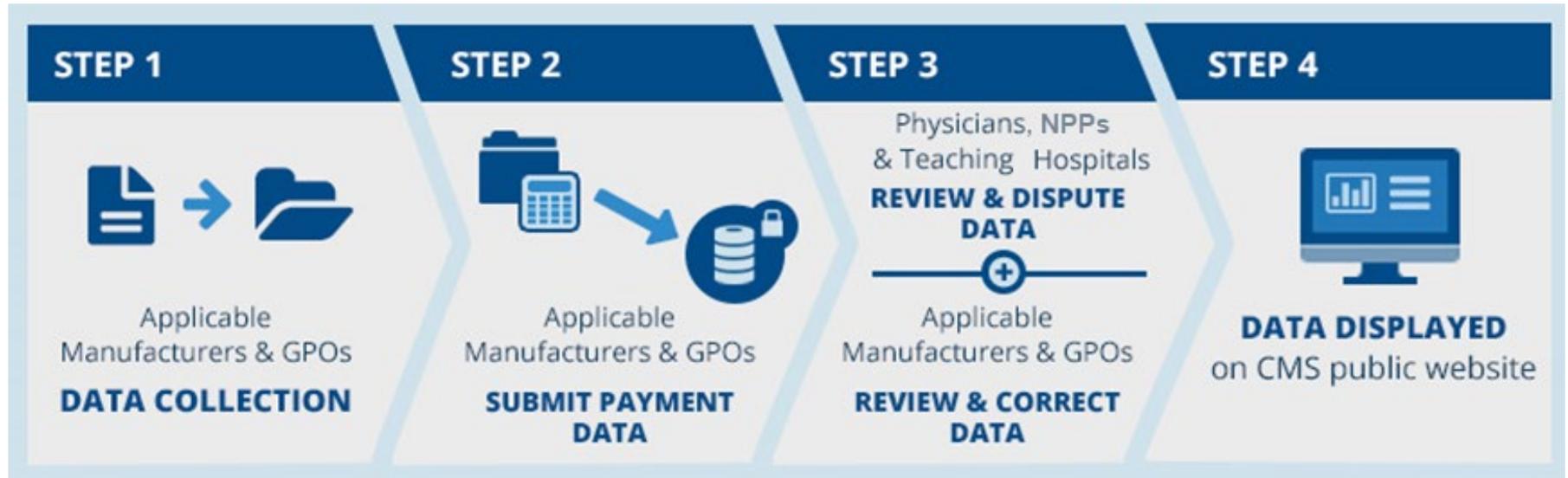
Covered Recipient Teaching Hospitals

- The hospitals that CMS has recorded as receiving payment(s) under Medicare Direct Graduate Medical Education (GME), indirect medical education (IME), or psychiatric hospitals IME programs
- Each year, Open Payments publishes a list of these teaching hospitals; the list is available on the Resources for Reporting Entities page of the Open Payments website at <https://www.cms.gov/OpenPayments/Resources/Reporting-Entities>

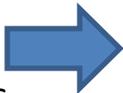
Types of Payments

- **General Payments:** Payments or other transfers of value made that are not in connection with a research agreement or research protocol
- **Research Payments:** Payments or other transfers of value made in connection with a research agreement or research protocol
- **Ownership or Investment Interest:** Information about physicians or their immediate family members who have an ownership or investment interest in a reporting entity

Open Payments Process Flow



Reporting entities collect payment data for a program year, which runs from January 1 to December 31



Reporting entities submit their data for the program year to the Open Payments system



Covered recipients review and, if necessary, dispute submitted data. Reporting entities resolve disputes



Program year data is published for public viewing in accordance with the publication guidelines

Program Year 2024 Timeline



Review and Dispute activities start on April 1st and can continue until end of the calendar year. The end dates provided on this slide are the cutoff for disputes and corrections to appear in the June data publication.

***Anticipated date**

Open Payments System Enhancements

Login Enhancements

- All users may log in to the Open Payments 2.0 System (OPS) environment at the new URL: <https://openpayments.system.cms.gov/>.
- Existing users may log in with their existing credentials. All user data has been migrated from OPS 1.0 to OPS 2.0.

An official website of the United States government [Here's how you know](#)

User Guide Help Privacy Policy

OPEN PAYMENTS Open Payments System for Reporting Entities & Covered Recipients About Us Resources Contact Us

i The Open Payments System is for reporting Open Payments Data to CMS and used for covered recipient review of the data. The published Open Payments Data is available on: <https://openpaymentsdata.cms.gov>

OPEN PAYMENTS

yvujini

.....

I agree to [Terms & Conditions](#)

Log In

[Forgot your User ID or Password?](#)

[Need to unlock your account?](#)

New User Registration

For more information, visit Open Payments [Resources](#)

Registration Enhancements

New users, who did not have an account in OPS 1.0 and will be registering for the first time in OPS 2.0 will need to follow a two-part registration process:

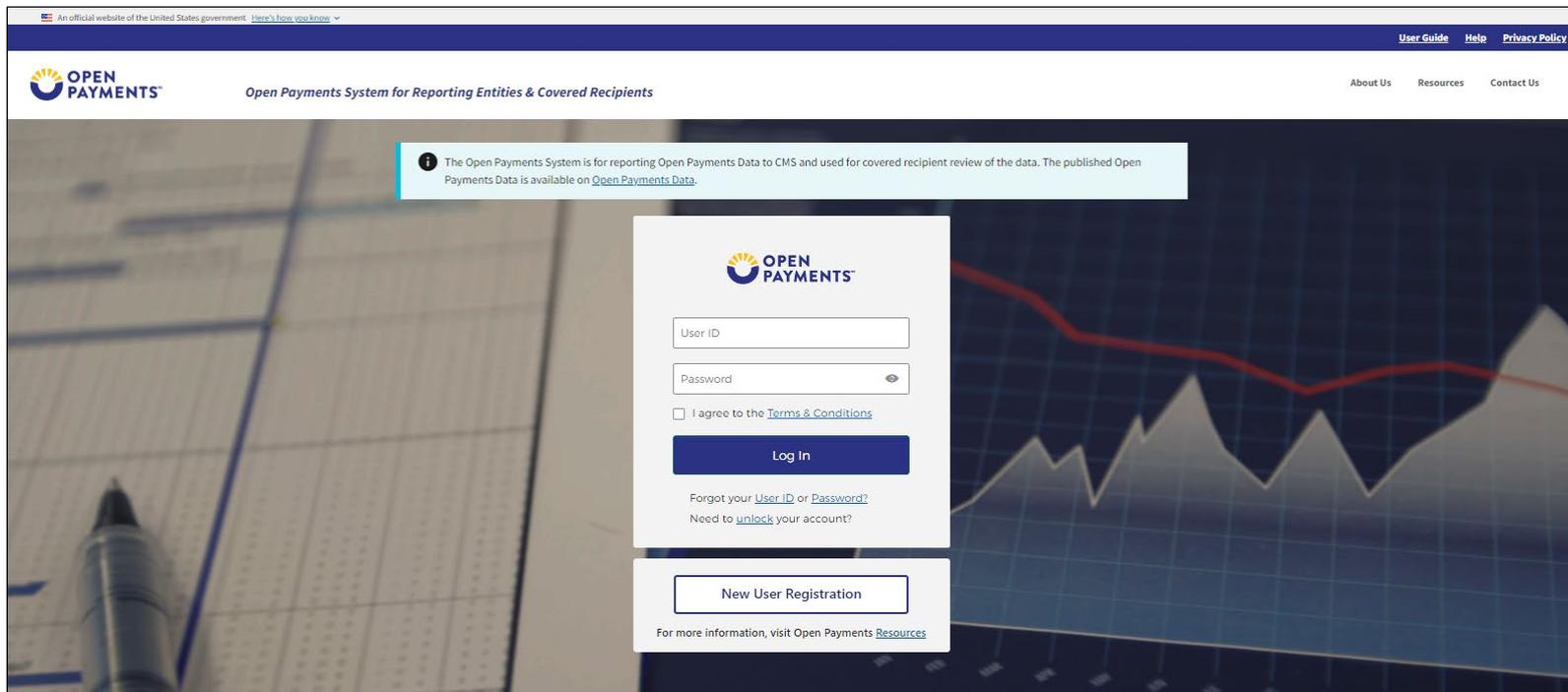
- Select **New User Registration** on the OPS 2.0 landing page. This will take them to the CMS Portal Identity Management system (IDM)
 - Establish a user account and request access to the Open Payments system in the CMS's Enterprise Portal Identity Management System (IDM).
 - Complete the identity verification if required for your role
 - Create a profile in the Open Payments system.

The screenshot displays the Open Payments System landing page. At the top, there is a navigation bar with links for "User Guide", "Help", and "Privacy Policy". Below this, the page title "Open Payments System for Reporting Entities & Covered Recipients" is visible. A central white box contains an information icon and text: "The Open Payments System is for reporting Open Payments Data to CMS and used for covered recipient review of the data. The published Open Payments Data is available on: <https://openpaymentsdata.cms.gov>". Below this is a login form with a text input field containing "yvujini", a password field with masked characters, and a checkbox for "I agree to [Terms & Conditions](#)". A blue "Log In" button is positioned below the form. Underneath the button are links for "Forgot your [User ID](#) or [Password](#)?" and "Need to [unlock](#) your account?". At the bottom of the page, a "New User Registration" button is highlighted with a red rectangular border. Below this button, there is a link to "Open Payments Resources".

New User Registration

New users, who did not have an account in OPS 1.0 and will be registering for the first time in OPS 2.0, will need to follow a two-part registration process:

- Establish a user account and request access to the Open Payments system in CMS's Enterprise Portal Identity Management System (IDM).
- Register a profile in the Open Payments system.



The screenshot shows the Open Payments System website. At the top, there is a navigation bar with links for "User Guide", "Help", and "Privacy Policy". Below this, the "OPEN PAYMENTS" logo is displayed on the left, and the text "Open Payments System for Reporting Entities & Covered Recipients" is centered. On the right, there are links for "About Us", "Resources", and "Contact Us". A light blue information box contains the text: "The Open Payments System is for reporting Open Payments Data to CMS and used for covered recipient review of the data. The published Open Payments Data is available on [Open Payments Data](#)." In the center, there is a white login form with the "OPEN PAYMENTS" logo at the top. It includes input fields for "User ID" and "Password", a checkbox for "I agree to the [Terms & Conditions](#)", a blue "Log In" button, and links for "Forgot your [User ID](#) or [Password](#)?" and "Need to [unlock](#) your account?". Below the login form is a white box with a "New User Registration" button and the text "For more information, visit Open Payments [Resources](#)". The background of the page features a grid pattern and a line graph.

OPS User Account Management

Users can update their IDM profiles in OPS 2.0 by selecting “My IDM Portal Profile” in the menu drop down.

OPS 2.0 redirects users to the IDM Enterprise Portal My Profile page to modify their MFA options and password in another tab. During this process, the user remains logged in to OPS 2.0 and can continue to use the system.

The screenshot displays the 'My Profile' page in the OPS 2.0 system. The page header includes the 'OPEN PAYMENTS' logo and navigation links: Home, Submissions, Review and Dispute, Manage Entities, Messages, Contact Us, and Resources. The main content area is titled 'My Profile' and features a sidebar with navigation options: My IDM Portal Profile (selected), My Open Payments Profile, Overview, Profile Details, and My Roles & Nominations. The main section is titled 'View My IDM Portal Profile' and contains a form with the following fields:

First Name:	Middle Name:	Last Name:	
John		Doe	
Date of Birth:			
Email Address:	Phone Number:		
myemail@personal.com	555-555-5555		
Home Address Line 1:	Home Address Line 2:		
1 a street			
City:	State:	Zip Code:	Zip+4 Code:
San Antonio	TX	78222	

A yellow note box at the bottom of the form contains the following text: **Note:** Selecting to change your profile will take you to the IDM Portal website. [external link icon]. A blue 'Change Profile' button is located at the bottom right of the form.

“Create Profile”, “Manage Roles”, and “Manage Entities” Enhancements

OPS 2.0 features collapsible help text and organizes individual data entry sections into a workflow. This includes individual sections for personal information, covered recipient information such as Primary type, Taxonomy Code, License information, and Authorized Representative nomination.

- The “Create Profile”, “Manage Roles”, and “Manage Entities” sections feature a vertical navigation panel that shows the user where they are in the process and the status of each section. The panel allows users to quickly navigate across sections.
- The "Modify" button uses pop-up screens that appear faster and, when closed, retain the user's place in the system. Once the user closes the modal, they can resume their previous work.
- Error messages are displayed immediately when the user exits a field.

The screenshot shows a web form with two main sections: "Basic Information" and "Business Information".

Basic Information:

- First Name *: Justin
- Last Name *: Elfrey
- Middle Name: (empty)
- Suffix (Jr., Sr., etc.): (empty)

Business Information:

- Practice Name: (empty)
- Email Address *: email@email.com (highlighted in red with an error message: "Enter an email address in the format: name@example.com")
- Country *: United States (dropdown menu)
- Practice Business Address, Line 1 *: 12 East St
- Practice Business Address, Line 2: Practice Business Address, Line 2 (empty)
- City *: Baltimore
- State *: Maryland (dropdown menu)
- Zip Code *: 21224
- Zip Extension: 0001
- Business Telephone Number *: 471-455-8787

At the bottom of the form are three buttons: "Back", "Cancel", and "Continue".

Display Enhancements

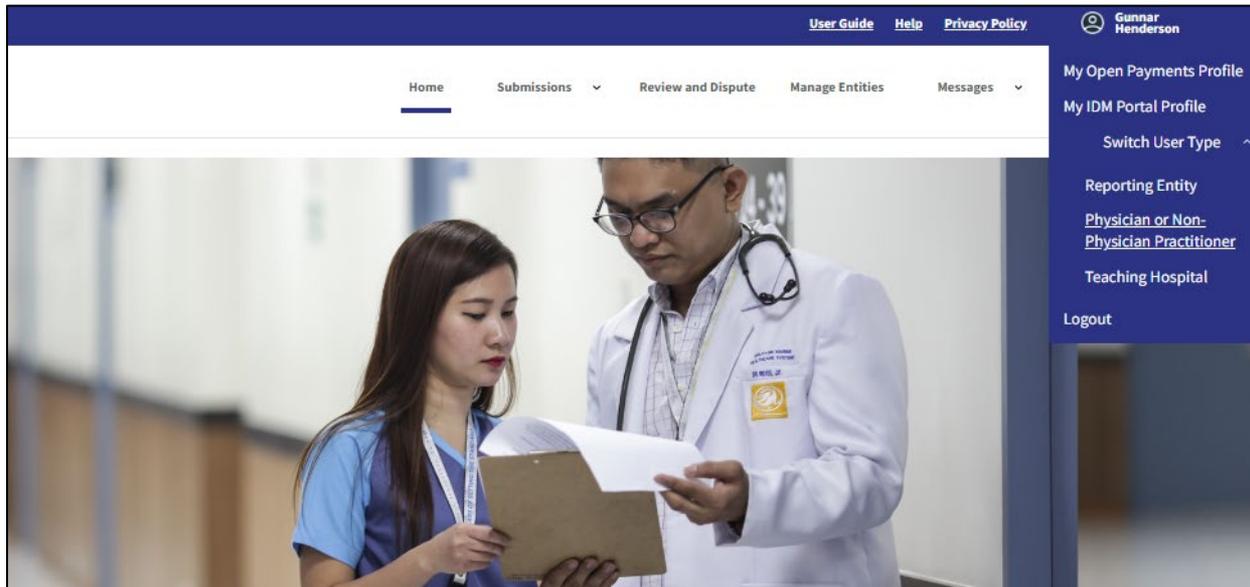
New Look and Feel

- OPS 2.0 features multiple enhancements to the look and feel of the system pages, ease of navigation and workflow of various user tasks.
- New Look and Feel : The new system comes with a fresh modernized look and feel including updated color schemes and screen design.
- Enhanced Message Display and Help Text
 - OPS 2.0 provides collapsible help text to optimize screen space and allow users to access help contents without interrupting the task workflows.
 - OPS 2.0 displays system messages (like confirmation or error notifications) with colored banners to differentiate system messages from help text.

Improved System Navigation

OPS 2.0 introduces drop-down task menus. These menus display options and brief descriptions of available tasks. This improvement allows users to navigate more efficiently with fewer clicks.

- The new homepage design includes visual elements that enhance the readability of the text and declutter the page, making it easier for users to find the information they need. The new prominent announcement banners are there to draw attention to important updates.
- The user-specific information, link to navigate to IDM, and switch user type functionality have been moved to a drop-down menu under the user avatar.



Performance Enhancements

Performance Enhancements

OPS 2.0 performance gains compared to OPS 1.0:

- Performance more consistent with no bottlenecks for bulk file uploads.
- Faster file and record processing times.
- Improves durability for file and payment processing.
- Maintains high levels of reliability and responsiveness under normal operating conditions.

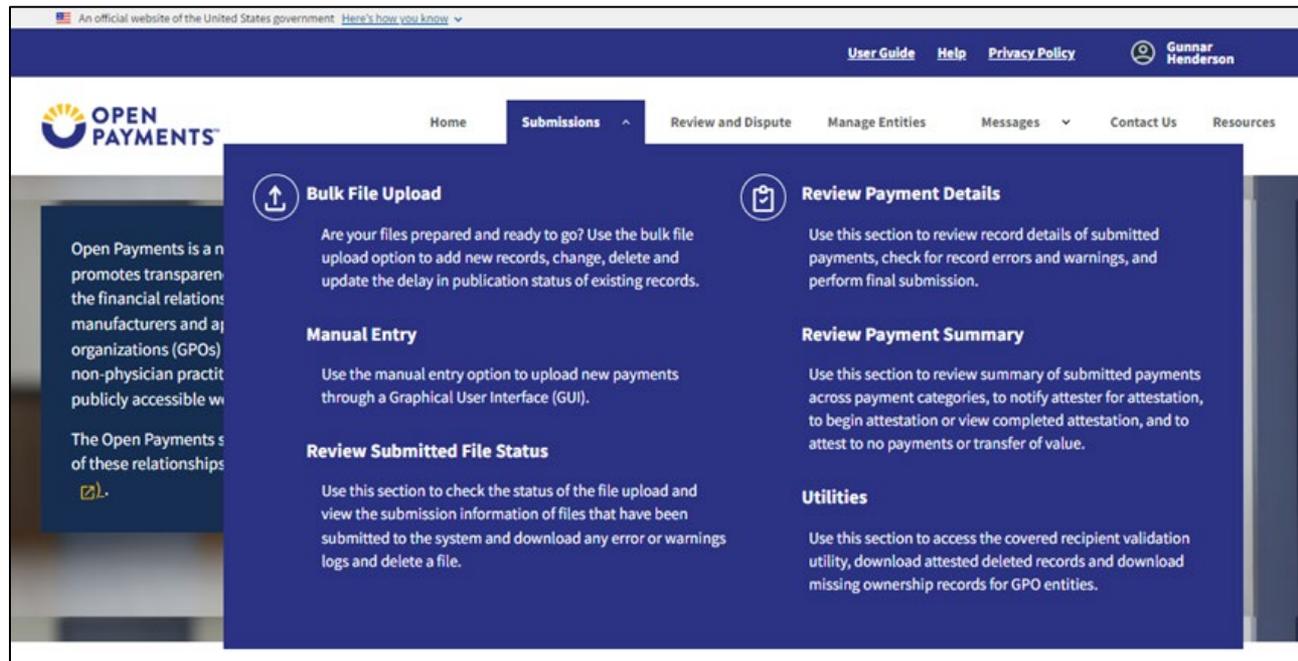
Reporting Entities: Submissions and Attestation Enhancements

Reporting Entities: Submission and Attestation Enhancements

The most significant change for reporting entities is the introduction of a more efficient Submissions menu, replacing the previous Submissions home page. This new navigation system is designed to enhance the user experience, allowing users to navigate quicker and with fewer clicks.

The Submissions menu is organized according to the users' roles, which reduces the amount of scrolling required. The left side of the menu provides options used exclusively by those with the submitter role. The right side displays options available to all user roles.

There is also a menu option for utilities where users can find and launch the Covered Recipient Validation Tool. All necessary resources, like the Validated Physician Lists (VPL) and Validated Non-Physician Lists (VNPL), are located on the screen associated with the appropriate task.



Reporting Entities: Submissions and Attestation Enhancements

Manual Data Submissions

- The Manual Data Submission process launches directly from the Submissions menu. OPS 2.0 did not alter the manual data entry process used in 1.0, but implemented several improvements:
 - The payment navigation bar displays the status of each manual submission process step.
 - Tax Identification Number (TIN) search: There is a new TIN search for Teaching Hospital covered recipients. Selecting a valid TIN automatically populates the hospital's information.
 - National Provider Identifier (NPI) search: there is a new NPI search for Physician/Non-Physician Practitioners being entered for the covered recipient or as research payment principal investigators. Selecting a valid NPI populates the covered recipient or principal investigator information required for matching. This includes the first and last name and license information.
 - Drug and device ID fields are dynamic in nature based on the section type.

Add General Payment

Progress bar: Covered Recipient Demographics Information (✓), **Payments** (!), General Record Information (○), Review & Save (○)

Payments

General Payment or Other Transfer of Value Information

Enter the required payment or transfer of value information. A field with an asterisk (*) is required.

Total Amount of Payment *	Date of Payment * 📅	Number of Payment Included in Total Amount *
<input type="text"/>	<input type="text" value="mm/dd/yyyy or mm-dd-yyyy"/>	<input type="text"/>
Form of Payment or Transfer of Value *	Nature of Payment or Transfer of Value *	
<input type="text" value="-- Select --"/>	<input type="text" value="-- Select --"/>	

[< Previous](#) Cancel Continue

Reporting Entities: Submission and Attestation Enhancements

Bulk File Upload

- The bulk file upload screen in OPS 2.0 is also launched directly from the Submissions menu and follows the same process as OPS 1.0.
- The uploaded bulk file goes through the same validation and matching processes. Users can download the error log from the 'Review Submitted File Status' pop-up screen if the file contains errors. OPS 2.0 error logs contain the Error Code and Description of the Errors.

Search and View Records

- The search filter criteria and search results are located a single page.
- This eliminates the need for the user to select the back button and navigate to a different screen to change the search criteria for a different entity category or entity.

Advanced Search Filters

Payment Details Advanced Search Criteria

Record Status:

File ID:

Record ID:

Home System Payment ID:

Reason For Deletion:

Covered Recipient Type: Physician Non-Physician Practitioner Teaching Hospital

Physician First Name:

Physician Last Name:

Address State:

Zip Code:

License State:

License Number:

Physician NPI:

Teaching Hospital Name:

Teaching Hospital CCN:

Teaching Hospital TIN:

Marked for Deletion?: Yes No

Input Method: Manual Entry Bulk Upload Files

Report Type: Single Consolidated

Record Warnings: Yes No

To change results, you can select different criteria and select "Search" again.

Search Results [Download Search Results \(.zip\)](#)

Select an action for one or more multiple records below:

To view, copy and edit your record, click on Record ID link. Record(s) with warnings are flagged with a warning icon (▲)

	File ID	Record ID	Home System Payment ID	Amount (\$)	Recipient Type	Recipient	Record Status	Marked for Deletion	Reason for Deletion
<input type="checkbox"/>	177111	1061077275	Context TH blank	\$548.00	Covered Recipient Teaching Hospital	The Johns Hopkins Hospital	Failed Validation	No	
<input type="checkbox"/>	177111	1061077273	Valid error nop	\$1,050.00	Covered Recipient Teaching Hospital	The Johns Hopkins Hospital	Failed Validation	No	
<input type="checkbox"/>	177111	1061077271	Valid error products	\$548.00	Covered Recipient Teaching Hospital	The Johns Hopkins Hospital	Failed Validation	No	

Display Record ID Details

- OPS 2.0 uses popup modals to display the record ID details. It displays the modal on the right half of the screen once users select the record ID hyperlink. Since they still have access to the search results, users can quickly select another record ID hyperlink, and the modal refreshes with the new record details.
- OPS 2.0 relocates the copy and edit action buttons from the results grid to the top of the record ID popup modal.
- OPS 2.0 relocates the delete, cancel deletion, return to attester, and return to the submitter in a drop-down component to minimize clutter on the page.

The screenshot displays the 'Advanced Search Filters' interface. The 'Payment Details Advanced Search Criteria' section is at the top. Below it, the 'Search Results' section shows a table of results. The table has columns for File ID, Record ID, Home System Payment ID, Amount (\$), and Recipient Type. The record ID 1061075035 is highlighted. To the right, a modal titled 'Record ID: 1061075035' is open, showing details for this record. The modal includes buttons for 'Edit' and 'Copy'. The details are organized into sections: Record Details and Recipient Demographic Information.

Advanced Search Filters

Payment Details Advanced Search Criteria

Search Results

Select an action for one or more multiple records below: -- Select -- Apply

To view, copy and edit your record, click on Record ID link. Record(s) with warnings are flagged with a warning icon.

File ID	Record ID	Home System Payment ID	Amount (\$)	Recipient Type
177111	1061077275	Context TH blank	\$548.00	Covered Recipient Teaching Hospital
177111	1061077273	Valid error nop	\$1,050.00	Covered Recipient Teaching Hospital
177111	1061077271	Valid error products	\$548.00	Covered Recipient Teaching Hospital
N/A	1061075037	Testing copy payment	\$250.00	Teaching Hospital
N/A	1061075035	Testing edit payment	\$250.00	Teaching Hospital

Showing All of 5 entries

Return to top

OPEN DATA TOOLS

- [Data.CMS.gov](#)
- [OpenPaymentsData.CMS.gov](#)
- [Data.Medicaid.gov](#)
- [Data.Healthcare.gov](#)

ADDITIONAL RESOURCES

- [CMS contact info](#)
- [Help Desk](#)
- [Help with file formats & plug-ins](#)
- [FAQs](#)
- [Privacy policy](#)

OPEN PAYMENTS

Unauthorized use of the application is strictly prohibited.

Record ID: 1061075035

Edit Copy

Record Details

Program Year	2023
Entity Submitting Payment	CSJ Pharma
Payment Category	General Payments
Consolidated Reporting	No
Home System Payment ID	Testing edit payment
Was this payment previously submitted, attested, and then deleted?	No
File ID	
Last Modified Date	06/11/2024
Last Modified By	Thomas Cibull
Submission Date	06/11/2024
Submitted By	Thomas Cibull
Record Status	Ready for Attestation
Marked for Deletion	No
Associated Disputes and Statuses	No
Late Payment Indicator	Yes

Recipient Demographic Information

Covered Recipient Type	Teaching Hospital
Teaching Hospital Name	Riverside Regional Medical Center
Teaching Hospital Tax Payer ID Number(TIN)	521245746
Recipient Business Street Address, Line 1	500 J Clyde Morris Blvd
Recipient Business Street Address, Line 2	
Recipient City	Newport News
Recipient State	Virginia
Recipient Zip Code	23601

Download Zip Files

In OPS 2.0, the system allows users to download the first 200,000 records with a warning message within the file instructing the user that their file only contains a portion of their search results and that they will need to refine their search parameters so that the number of the results is below 200,000.

Advanced Search Filters

Payment Details Advanced Search Criteria +

Search Results

[Download Search Results \(zip\)](#)
Please note that you can download a maximum of 200,000 records

Select an action for one or more multiple records below: -- Select -- **Apply**

i To view, copy and edit your record, click on Record ID link. Record(s) with warnings are flagged with a warning icon (▲)

File ID	Record ID	Home System Payment ID	Amount (\$)	Recipient Type	Recipient	Record Status	Marked for Deletion	Reason for Deletion	
<input type="checkbox"/>	176857	1061302366	OPS5297_Testing 1	\$1,000.00	Physician	Recipient	Failed Validation	No	
<input type="checkbox"/>	176857	1061302364	OPS5297_Testing 1	\$1,000.00	Physician	Recipient	Failed Validation	No	
<input type="checkbox"/>	N/A	1061302363		\$5,000.00	Physician	Recipient	Failed Matching	No	
<input type="checkbox"/>	N/A	1061301050		\$564,654,654.00	Physician	Recipient	Attested	No	
<input type="checkbox"/>	N/A	1061299911		\$5,000.00	Physician	Recipient	Attested	Yes	Different Covered Recipient

Showing **All** of 5 entries < Previous 1 Next >

Final Submission, Attestation, Renew Delay in Publication Workflow

- OPS 2.0 provides a modernized design using popup confirmation modals for processes such as deleting records, performing final and submissions.

Confirm Deletion

Are you sure you want to delete the selected record(s)?

Note that deleting records with attested status requires agreement and re attestation by the reporting entities attester. Attested records will not be deleted from the open payment system until re-attestation takes place.

[Close](#) [Delete](#)

Confirm Final Submission

Confirm the final submission for this data category.

i Once a record has undergone final submission, the covered recipient identifying information in that record (e.g. physician first name and last name, NPI, license information) is locked and cannot be edited. To change covered recipient identifying information in a record that has undergone final submission, you must delete the original record and submit a new record with updated covered recipient identifying information.

Special Meds - 2023 General Payments

Total Value:	\$5,000.00
Submitter Name:	Stephen Ramondino

[Close](#) [Submit as Final Submission](#)

Renew Delay in Publication, Final Submission, Attestation Workflow

OPS 2.0 provides a modernized design using popup confirmation modals for processes such as deleting records, performing final submissions, renewing a delay in publication, and attestation.

During the Attestation process, a navigation bar displays users' status and progression horizontally.

The navigation depicts the status of the process step, i.e., complete or contains errors, and allows the user to move forward or backward in the process.

Attest Payments Data



Confirm Payments



Add Assumptions



Agree to Attestations



Review and Attest

Confirm Payments

The number of records marked for deletion ("Records Marked for Deletion") and the total value of records marked for deletion ("Total Value of Records Marked for Deletion") represent records that were previously attested and are now marked for deletion. These records will be removed from the Open Payments system after re-attestation is complete. Records counted as "Marked for Deletion" are also included in the "Attested" record count.



Note: Total for Records Included in Attestation = Records in "Ready for Attestation" Status + Records in "Attested" Status - Records in "Marked for Deletion"

Entity Name: Special Meds | Program Year: 2023

Reporting Entities Review and Dispute Enhancements

Review and Dispute Enhancements for Reporting Entities

OPS 2.0 uses popup modals to display the record ID details. Once users select the record ID hyperlink, the modal displays on the right half of the screen. Since they still have access to the search results, they can quickly select another record ID hyperlink to refresh the modal with new record details.

- OPS 2.0 disables the Download Disputes Zip File for users without disputes.
- The 'Edit' and 'Copy' buttons are available from within the record ID popup modal.
- All disputes are formatted in a table for quick navigation and selection, easy to read record details, and sort and filter functions.

Showing Results for

Show Disputes for: Special Meds
Program Year: 2023

Acknowledge Dispute Resolved No Change Edit Record Delete Selected Cancel Deletion

i Select one or multiple records below for Acknowledge Dispute, Resolved No Change, Delete Selected and Cancel Deletion actions. Select a single record for Edit Record action.
Review and Dispute actions are only applicable for records that are in open Program Years in the appropriate status. If any actions are needed for records in closed Program Years, please contact the Open Payments Help Desk Support at openpayments@cms.hhs.gov or 1-855-326-8366 (TTY Line: 1-844-649-2766).

Record ID	Dispute ID	Recipient	Payment Category	Program Year	Total Payment Amount/Dollar Amount Invested	Dispute Status	Date Dispute Initiated	Marked for Deletion	Reason for Deletion	Dispute History
<input type="checkbox"/> 1061299911	157375	Stephen Ramondino	General Payments	2023	\$5,000.00	Initiated	07/09/2024	No		View

Showing All of 1 entries < Previous 1 Next >

Review and Dispute Enhancements for Reporting Entities

Reporting Entity Processes during Review and Dispute:

- In OPS 2.0, processes such as Acknowledge Dispute, Resolved No Change, Delete Record, and Cancel Deletion use popup modals rather than having users navigate to new pages.

Acknowledge Dispute

Special Meds - 2023

Select the "Acknowledge" button to acknowledge the dispute records initiated by the physician, non-physician practitioner, or teaching hospital. Once the dispute is acknowledged, the Review and Dispute status for the records will be displayed as "Acknowledged". If any of the selected Review and Dispute record statuses do not equal "Initiated", or are in closed Program Years, then those records have been omitted from the "Acknowledge the following disputes" table and acknowledgement process.

For more information about the review and dispute process, refer to the [Open Payments User Guide \[PDF\]](#).

Acknowledge the following disputes:

Dispute ID	Record ID	Date of Payment	Amount(\$)	Covered Recipient	Dispute Status	Date Dispute Initiated
157375	1061299911	12/15/2023	\$5,000.00	Stephen Ramondino	Initiated	07/09/2024

Resolved No Change

Important Information

Resolve the following disputes with no change(s) to data:

Dispute ID	Record ID	Date of Payment	Amount(\$)	Covered Recipient	Dispute Status	Date Dispute Initiated
157375	1061299911	12/15/2023	\$5,000.00	Stephen Ramondino	Initiated	07/09/2024

Reason for dispute resolution with no change(s) to data *

500 characters remaining

Covered Recipients Review and Dispute Enhancements

Review and Dispute Enhancements for Covered Recipients

Covered Recipient Search:

OPS 2.0 improves the covered recipient "record search" and "view disputed records" to enable the users to view more data

- Dropdown field provide a precise display,
- Once users review the text in the field, they can collapse the field to view the results of the covered recipient records.

The screenshot shows a web application interface for searching covered recipient records. At the top, there is a dark blue header with the text "Important Information" and a plus sign icon. Below this is the main title "Covered Recipient Records". The search area contains several input fields and checkboxes:

- Program Year:** A dropdown menu with "2023" selected.
- Entity Making Payment:** A dropdown menu with "-- Select --" selected.
- Dispute Status:** A dropdown menu with "-- Select --" selected.
- Record ID:** A text input field.
- Dispute ID:** A text input field.
- Listed as PI Only:** Two checkboxes, "Yes" and "No", both of which are unchecked.
- Payment Category:** Three checkboxes: "General Payments", "Research Payments", and "Ownership or Investment Interest", all of which are unchecked.
- Affirmed?:** Two checkboxes, "Yes" and "No", both of which are unchecked.

At the bottom right of the search area, there are two buttons: "Clear All" and "Search". Below the search area, there are two tabs: "Available for Review & Dispute" (which is selected) and "Deleted & In Progress Records". Below the tabs, it says "Showing Results for" followed by a box containing "Program Year: 2023". At the bottom right, there is a link to "Download Zip File" with a download icon.

Review and Dispute Enhancements for Covered Recipients (contd.)

Covered Recipient Search and View Records:

OPS 2.0 enhances the Covered Recipient Review and Dispute records results by displaying the results in a table view.

- The table view allows the user to see many more records and all of the information listed above at a glance. The display is in a table format, allowing the user to sort by any column of data.
- The dispute and record details are displayed in faster popup modals that enable users to quickly open and close them.
- Once the popup modal is displayed, users can expand the dispute information to minimize scrolling and allow the user to focus on reading the information within the modal.

The screenshot displays the 'Review & Dispute' section of the Open Payments website. At the top, there is a navigation bar with links for Home, Review and Dispute, Messages, Contact Us, and Resources. Below the navigation, the page title is 'Review & Dispute' followed by a redacted area. A sub-header reads: 'Below is the list of all payments records in which the non-physician practitioner or physician is a covered recipient or principal investigator that are eligible for review and dispute this calendar year.' To the right of this text is a 'Show Summary' button. Below this is a section titled 'Important Information' with a plus sign icon. The main content area is titled 'Covered Recipient Records' and contains a search form with the following fields: 'Program Year' (set to 2023), 'Entity Making Payment' (set to --Select--), 'Dispute Status' (set to --Select--), 'Record ID', and 'Dispute ID'. There are also checkboxes for 'Listed as PI Only?' (Yes/No), 'Payment Category' (General Payments, Research Payments, Ownership or Investment Interest), and 'Affirmed?' (Yes/No). 'Clear All' and 'Search' buttons are located at the bottom right of the search form. Below the search form, there are two tabs: 'Available for Review & Dispute' (selected) and 'Deleted & In Progress Records'. At the bottom, it says 'Showing Results for' followed by a table with one row: 'Program Year: 2023'. A 'Download Zipped File' link is also present.

Affirm, Dispute and Withdraw Disputes Workflow

OPS 2.0 provides enhancements to the covered recipients Affirm Records, Dispute, and Withdraw Disputes, workflow process.

- OPS 2.0 displays popup modals for users to enter dispute information. After submitting a dispute, users will see a confirmation banner. Once the user clears the confirmation banner, their page returns to the same spot within the system.
- The "affirm" process allows the user to select multiple records to "affirm" at one time. Records that are not eligible to be affirmed or those that have an open dispute. As a reminder, if you no longer wish to dispute a record and affirm instead, withdraw the dispute.

Below to dispute payment. Select one or multiple records to affirm payments.

click on view lin

Dispute Details

Record ID: 26349	Disputed(1)
Entity Making Payment: NS Entity	Dollar Amount Invested:
Payment Category: General Payments	Value of Interest:
Program Year: 2023	Principal Investigators Associated:
Affirmed: No	Listed as PI Only:
Total Payment Amount: \$12.00	Delay in Publication of Research Payment Indicator:
Date of Payment: 10/10/2023	

Dispute History

Dispute ID: 4333 (Initiated)
Dispute Details and Contact Information:
stas pretium. Vivamus sapien justo, semper sit amet aliquam ac, ultrices vitae magna. Curabitur porta enim et rutrum placeat laoreet venenatis. nterdum neque vitae viverra. Vestibulum cons
Initiated on 05/28/2024 by Blair Skinner
Withdraw Dispute

Close

entries < Previous 1 2 3 4 5 ... 21 Next >

Next Steps and Available Resources

Next Steps for Reporting Entities

New or Returning?	Next Steps
New Reporting Entities	<ul style="list-style-type: none">• Register in IDM and request access to the Open Payments system• Register self and reporting entity (if applicable) in the Open Payments system• Assign user roles
Returning Reporting Entities	<ul style="list-style-type: none">• Ensure IDM account has not been deprovisioned due to inactivity and reset password if necessary• Recertify reporting entity information and provide required review and dispute contact information• Confirm user roles

Note: See the “Registration” tutorials, or the Open Payments User Guides for the new registration enhancements and walk-throughs.

Next Steps for Covered Recipients

New or Returning?	Next Steps
New Physicians, Non-Physician Practitioners and Teaching Hospitals	<ul style="list-style-type: none">• Register in IDM and request access to the Open Payments system• Register self and teaching hospital (if applicable) in the Open Payments system
Returning Physicians, Non- Physician Practitioners and Teaching Hospitals	<ul style="list-style-type: none">• Ensure IDM account has not been deprovisioned due to inactivity and reset password if necessary• No other action is required at this time

Note: See the “Registration” tutorials, or the Open Payments User Guides for the new registration enhancements and walk-throughs.

Available Resources

- Review available resources on the Resources page of the CMS Open Payments website at <https://www.cms.gov/OpenPayments/Resources>
 - Open Payments User Guide for Reporting Entities
 - Open Payments User Guide for Covered Recipients
 - Tutorials
- Register for CMS email notifications via the Open Payments website to receive e-mail updates about Open Payments
- For additional questions, contact the Open Payments Help Desk:
 - Email: openpayments@cms.hhs.gov
 - Phone: 1-855-326-8366 or for TTY line call 1-844-649-2766

Disclosure

- **Disclaimer:** The contents of this document do not have the force and effect of law and are not meant to bind the public in any way, unless specifically incorporated into a contract. This document is intended only to provide clarity to the public regarding existing requirements under the law.
- **Activities/persons addressed by this document:** Guidance for Reporting Entities and Covered Recipient on overview of the Open Payments system and enhancements.
- **Date of document issuance:** January 2025
- **Replacement / Revision Status:** Revision to previous version
- **Agency Identifier:** OBRHI CFRG 4181
- **Summary of Document:** An overview of the Open Payments program year enhancements that were implemented in July 2024.
- **Citation to statutory provision / regulation applicable to this document:**
 - **Statute citation:** Social Security Act SEC. 1128G. [42 U.S.C. 1320a-7h]
 - **Rule citation:** 42 C.F.R. §403.900-14