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State/Territory Name: MN

State Plan Amendment (SPA) #: 13-034

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Page

TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL		1. TRANSMITTAL NUMBER: 13-34	2. STATE Minnesota
FOR: CENTER FOR MEDICARE & MEDICAID SERVICES		3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR CENTER FOR MEDICARE & MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES		4. PROPOSED EFFECTIVE DATE January 1, 2014	
5. TYPE OF PLAN MATERIAL (Check One): <input type="checkbox"/> NEW STATE PLAN <input type="checkbox"/> AMENDMENT TO BE CONSIDERED AS NEW PLAN <input checked="" type="checkbox"/> AMENDMENT COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)			
6. FEDERAL STATUTE/REGULATION CITATION: § 1902(a)(73) of the Act		7. FEDERAL BUDGET IMPACT: a. FFY '14 (\$ 0) b. FFY '15 (\$ 0)	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Pre-print page 9.1		9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable): Same	
10. SUBJECT OF AMENDMENT: Tribal Consultation			
11. GOVERNOR'S REVIEW (Check One): <input checked="" type="checkbox"/> GOVERNOR'S OFFICE REPORTED NO COMMENT <input type="checkbox"/> OTHER, AS SPECIFIED: <input type="checkbox"/> COMMENTS OF GOVERNOR'S OFFICE ENCLOSED <input type="checkbox"/> NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL			
12. SIGNATURE OF STATE AGENCY OFFICIAL: 		16. RETURN TO: Sean Barrett Minnesota Department of Human Services Federal Relations Unit PO Box 64983 St. Paul, MN 55164-0983	
13. TYPED NAME: Ann Berg			
14. TITLE: Deputy Medicaid Director			
15. DATE SUBMITTED: December 31, 2013			
FOR REGIONAL OFFICE USE ONLY			
17. DATE RECEIVED: December 31, 2013		18. DATE APPROVED: 3/7/14	
PLAN APPROVED - ONE COPY ATTACHED			
19. EFFECTIVE DATE OF APPROVED MATERIAL: January 1, 2014		20. SIGNATURE OF REGIONAL OFFICIAL: /s/	
21. TYPED NAME: Verlon Johnson		22. TITLE: Associate Regional Administrator	
23. REMARKS:			

Revision: HCFA-AT-80-38 (BPP)
May 22, 1980

State: MINNESOTA

Citation

1.4 State Medical Care Advisory Committee

42 CFR 431.12(b)
AT-78-90

There is an advisory committee to the Medicaid agency director on health and medical care services established in accordance with and meeting all the requirements of 42 CFR §431.12.

42 CFR 438.104(c)

The State enrolls recipients in MCOs. The State assures that it complies with the requirements of 42 CFR §438.104(c) to consult with the Medical Care Advisory Committee in the review of marketing materials.

1902(a)(73)
2107(e)(1)

Tribal Consultation Requirements

In compliance with §1902(a)(73) of the Social Security Act, the state agency meets on a quarterly basis with tribal health directors of federally-recognized tribes, Indian Health Service (IHS) representatives, and urban Indian healthcare providers to seek advice on matters related to Medicaid and CHIP programs, including consultation on State Plan Amendments, waiver proposals, waiver extensions, waiver amendments, waiver renewals and proposals for demonstration projects prepared for submission to CMS.

Ongoing consultation: Since 1999, the state has met on a quarterly basis with tribal health directors, Indian Health Service representatives, and urban Indian healthcare providers. The quarterly meetings provide a forum for the state to solicit input from the providers on any issues of interest to them, including proposed changes to state health care programs, updates on state and federal law and regulation changes and funding opportunities. State agency policy staff attend the meetings to provide information, respond to questions, and accept comments from the providers.

Tribal participation on Medicaid Citizens' Advisory Committee:

The State Medicaid Citizens' Advisory Committee advises the state agency on issues affecting populations served by Medicaid. Representatives of the tribes who have volunteered to participate, have been appointed by the state Medicaid director to serve on the Committee.

Consultation regarding state plan amendments, waiver proposals, waiver extensions, waiver amendments, waiver renewals and proposals for demonstration projects: The state agency has designated a staff person in the Medicaid Director's office to act as a

TN: 12-24
Supersedes TN: 10-26

Approval Date: 2/19/13 Effective Date: October 1, 2012

9.1

liaison to the Indian health care providers regarding consultation. The liaison sends written notification to Tribal Chairs, Tribal Health Directors, and Tribal Social Services Directors, the Indian Health Service Area Office Director, and the Director of the Minneapolis Indian Health Board clinic at least ~~60~~30 days prior to the anticipated submission of all waiver requests, waiver renewals, or waiver amendments. When a ~~60~~30-day notice is not possible, the longest practicable notice timeframe will be utilized.

The liaison provides written notice to Indian health care programs 30 days in advance of the anticipated submission of all proposed state plan amendments likely to have a direct effect on Indians, Indian health programs, or urban Indian organizations. Changes that are likely to have a direct effect on Indians, Indian health programs, or urban Indian organizations are those that would impact eligibility determinations, reduce payment rates, change payment methodologies, reduce covered services, or change provider qualification requirements.

When a deadline for submission of a state plan amendment is outside the control of the agency, or in severely time-limited situations, the agency expedites the process and may provide, at a minimum, 10 days advance written notice of the change.

Notices include a brief description of the proposal, its likely impact on Indian people or Indian health care providers, and a process and timeline for comment. At the request of an Indian health care provider, the liaison will send more information about any proposal.

The liaison arranges for appropriate state agency policy staff to meet with Indian health care providers to receive their input and to answer questions. This consultation may take place as part of a Quarterly Tribal Health Directors meeting or via a separate meeting, conference call, or other mechanism, as appropriate. The liaison forwards all comments received from Indian health care providers to appropriate state policy staff for their response.

When an Indian health care provider has requested changes to a proposed state plan amendment or waiver request, renewal, or amendment, the state agency liaison reports back on whether the change is included in the submission, or why it is not included. The state agency liaison informs the Indian health care providers when the State's waiver or state plan

TN: 13-34

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Effective Date: January 1, 2014

changes are approved or disapproved by CMS, and would include CMS' rationale for disapproval.

For each state plan or waiver change, the state agency liaison maintains a record of the notification process; the consultation process, including written correspondence from Indian health care providers and notes of meetings or other discussions with them, and the outcome of the process.

~~The state conducted extensive consultation with Indian health providers in the course of developing the formal consultation policy:~~

- ~~•—October 21, 2009: An email describing the new consultation requirements and asking for a discussion of how they should be implemented was sent to all Minnesota Indian health care providers, including 11 tribes, the Indian Health Service, and the Minneapolis Indian Health Board clinic.~~
- ~~•—November 17, 2009: The consultation requirements were an agenda item for the Quarterly state/Indian health meeting. It was determined there that the state would draft a proposed policy for consideration by the providers. Health directors from five tribes and the Indian Health Service were present.~~
- ~~•—February 2, 2010: A draft consultation policy was sent to all Indian health care providers, with request for comments and request for discussion at the next meeting.~~
- ~~• February 18, 2010: At the Quarterly state/Indian health meeting, a discussion of the draft policy resulted in requests for several revisions. Representatives of seven tribes were present. Notes and materials from the meeting were subsequently sent to all Indian health care providers.~~